

# Sign Reweighting for finite density QCD

by

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Reference:

<https://arxiv.org/abs/2004.10800>

<https://arxiv.org/abs/2108.09213>

# Content

- Motivation
- General Reweighting strategy and the overlap problem
- Sign Reweighting method
- Numerical results
- Fisher zeros and order of the phase transition
- Severity of the sign problem compared to phase reweighting
- Conclusion

# Motivation

- Lattice QCD at finite density needed for the phase diagram in the  $T$ - $\mu$  plane
  - Harmed by the complex action problem
  - Standard Monte Carlo techniques not applicable
- Different approaches to overcome this :
  - Taylor expansion around vanishing chemical potential
  - Simulation at imaginary chemical potential
  - Reweighting from zero chemical potential
- For small  $\mu$ , these methods give correct results.
- When  $\mu$  is not small becomes unreliable.

# Motivation

- Examples:
  - Taylor expansion method from  $\mu=0$  : No extrapolation beyond radius of convergence
  - Extrapolation from imaginary chemical potential : assumptions about the dependence of observables on the functional form of  $\mu^2$
  - Reweighting from zero chemical potential : overlap problem
- No sign problem but have systematic uncertainties thus not reliable
- Need a method with purely statistical errors
  - Sign Reweighting
  - sign problem is severe : cannot calculate observables.
  - sign problem mild : results with no uncertainties
  - run simulations directly at real chemical potential

# General reweighting strategy

- Desired target theory :

$$Z_t = \int DU w_t(\mathbf{U})$$

- cannot be sampled efficiently.

- Perform simulations in a theory that can be sampled

$$Z_s = \int DU w_s(\mathbf{U})$$

- $$\begin{aligned} \left\langle \frac{w_t}{w_s} O \right\rangle_s &= \frac{1}{Z_s} \int DU \frac{w_t}{w_s} O w_s \\ &= \frac{Z_t}{Z_s} \frac{1}{Z_t} \int DU w_t O \\ &= \frac{Z_t}{Z_s} \langle O \rangle_t \end{aligned} \qquad \begin{aligned} \left\langle \frac{w_t}{w_s} \right\rangle_s &= \frac{1}{Z_s} \int DU \frac{w_t}{w_s} w_s \\ &= \frac{Z_t}{Z_s} \end{aligned}$$

- Observables in desired target theory:

$$\langle O \rangle_t = \frac{\left\langle \frac{w_t}{w_s} O \right\rangle_s}{\left\langle \frac{w_t}{w_s} \right\rangle_s}$$

# Overlap problem

- Reweighting method : Calculate observables in target theory of interest by performing simulations in a theory with weights that can be sampled efficiently.
- Target theory : lattice QCD with finite baryon density; weights :  $w_t = \det M$ 
  - wildly fluctuating phases
  - infamous sign problem
- Example : Phase reweighting
  - weights :  $w_s = |\det M|$
  - reweighting factor :  $\frac{w_t}{w_s} = e^{i\theta}$
  - Probability distribution of reweighting factor has a long tail
- Overlap problem :
  - Sampling the tail of the histogram is prohibitively expensive
  - No reliable error estimate at finite statistics
  - no sharply defined condition to know if overlap problem is present or not

# Sign Reweighting

- Overlap problem - immediate bottleneck in QCD when we try to extend reweighting results to finer lattices
  - Overcome this by Sign Reweighting.

- At finite chemical potential :

$$Z(\mu) = \int dU \det D(U, \mu) e^{-S_g(U)}$$

$$\langle \mathcal{O} \rangle_\mu = \frac{1}{Z(\mu)} \int dU \mathcal{O}(U) \det D(U, \mu) e^{-S_g(U)}$$

- $\det D(U, \mu)$  is complex but the partition function is real :

$$Z(\mu) = \int dU \operatorname{Re} \det D(U, \mu) e^{-S_g(U)}$$

$$\langle \mathcal{O} \rangle_\mu = \frac{1}{Z(\mu)} \int dU \mathcal{O}(U) \operatorname{Re} \det D(U, \mu) e^{-S_g(U)}$$

- Note : Replacing the determinant by its real part is not permitted for arbitrary expectation value but it is allowed for observables obtained as derivatives of  $Z$  with respect to real parameters like  $m_q$ .
- Target theory with weight :  $w_t = \operatorname{Re} \det D(U, \mu) e^{-S_g(U)}$

# Sign Reweighting

- Idea : the sign of the weights is split from the absolute value

$$\epsilon(U, \mu) = \text{sign}[\text{Re Det } D(U, \mu)]$$

- Simulated theory :

$$Z_{SQ}(\mu) = \int dU |\text{Re Det } D(U, \mu)| e^{-S_g(U)}$$

$$\langle O \rangle_{SQ} = \frac{1}{Z_{SQ}(\mu)} \int dU O |\text{Re Det } D(U, \mu)| e^{-S_g(U)}$$

- weights :  $w_s = |\text{Re Det } D(U, \mu)| e^{-S_g(U)}$

- reweighting factor:

$$\frac{w_t}{w_s} = \frac{\epsilon(U, \mu) |\text{Re Det } D(U, \mu)| e^{-S_g(U)}}{|\text{Re Det } D(U, \mu)| e^{-S_g(U)}} = \epsilon(U, \mu)$$

- reweighting factors have values +1 or -1 - discrete sectors
- no probability distribution over continuous variables
- no tails by construction; no inaccurate sampling



# Sign reweighting

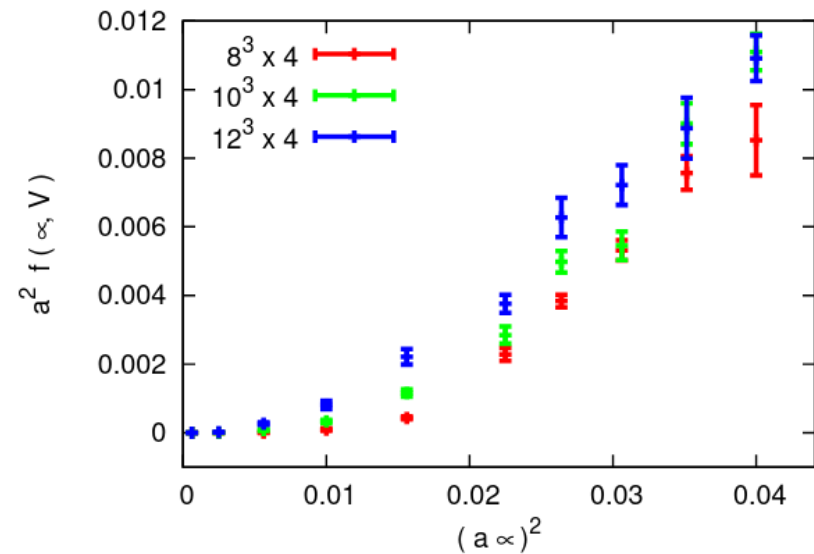
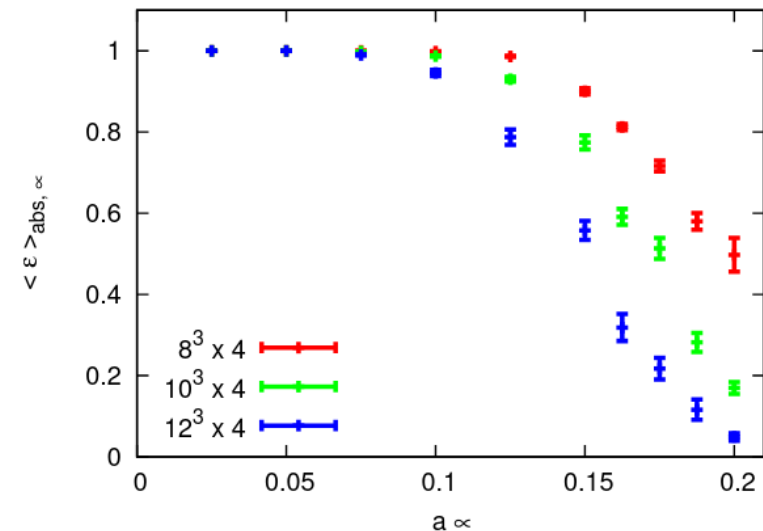
- No overlap problem
- Sign problem ?
  - positive and real weights
  - Observable in target theory :
$$\langle O \rangle_{\mu} = \frac{\langle \epsilon O \rangle_{SQ}}{\langle \epsilon \rangle_{SQ}}$$
  - only meaningful if the denominator is non-zero
  - The denominator becoming zero is due to the sign problem.
- Only problem is the sign problem which is under control as long as  $\langle \epsilon \rangle_{SQ}$  is not zero (within errors).
- Sufficient and necessary condition for the correctness of the results:
  - If  $\langle \epsilon \rangle_{SQ}$  is zero within errors : no result.
  - Otherwise, the result has only statistical errors without any systematic uncertainties.

# Simulation setup

- Wilson plaquette action; 2+1 flavors of rooted staggered fermions
- $N_t = 4$ ;  $N_\sigma = 8, 10, 12$
- Fermion masses set to physical values
- Chemical potential for light quarks only
- Monte Carlo runs with  $\mu > 0$  :
  - configurations generated with weight  $|Re Det D(U, \mu)| e^{-S_g(U)}$
  - non-trivial problem
  - can be written as
$$\frac{|Re Det D(U, \mu)|}{|Re Det D(U, 0)|} Det D(U, 0) | e^{-S_g(U)}$$
  - standard HMC algorithm at  $\mu=0$
  - include  $\mu$ -dependent ratio in the metropolis accept/reject step at the end of the trajectory
  - calculate observables by calculating the reweighting factor  $\varepsilon$ .

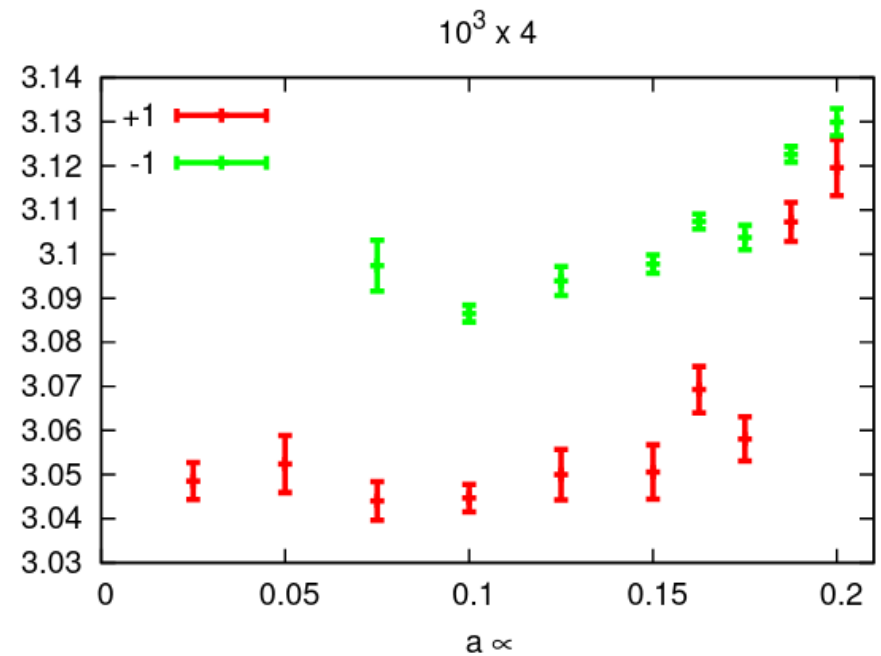
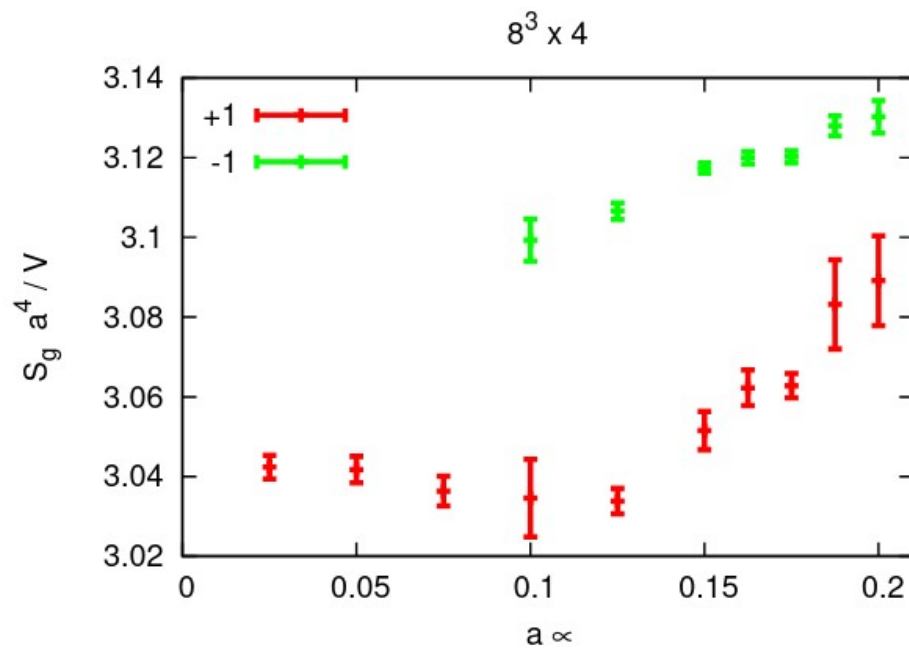
# Numerical results

- Expensive algorithm
  - full determinant calculated
- Measure whether the results are reliable:
  - $\langle \epsilon \rangle_{SQ}$  : strength of the sign problem
  - $\langle \epsilon \rangle_{SQ}$  away from zero: sign problem is mild, perform sign reweighting
- Since  $\langle \epsilon \rangle_{SQ} \rightarrow 1$ ;  $\mu \rightarrow 0$ 
  - parametrize it as
 
$$\langle \epsilon \rangle_{SQ} = e^{-V\mu^2 f(\mu, V)}$$
  - $f(\mu, V)$  depends mildly on  $V$  but non-trivially on  $\mu$



# Numerical results

- Effect of sign reweighting on some observables
  - Difference in  $\varepsilon=+1$  and  $\varepsilon=-1$  sectors
- Gauge action per unit space-time volume :



- For low chemical potentials no configurations in the -1 sector which is the case when  $\mu=0$

# Fisher zeros

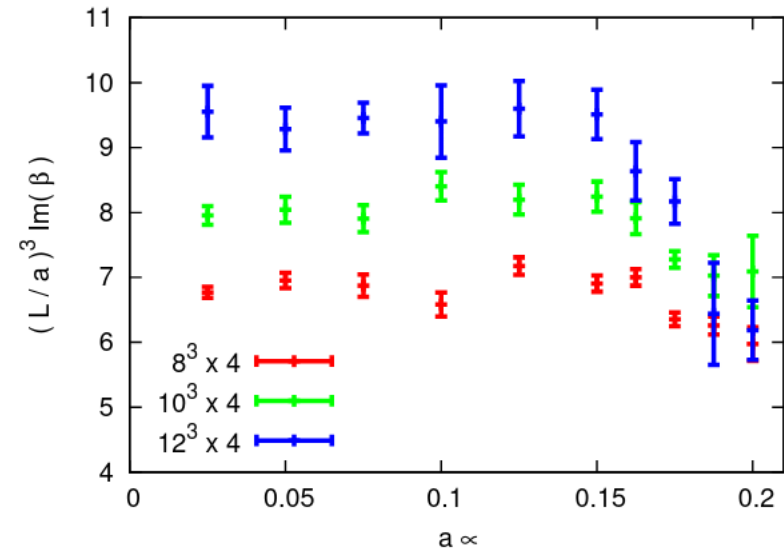
- Determined volume and chemical potentials for sign reweighting
  - Calculate observables in this regime
- Goal : know order of the phase transition
  - calculate Fisher zeros in  $\beta$  : roots of  $Z$
  - find complex  $\beta$  such that  $Z(\mu, \beta) = 0$
  - $Z(\mu, \beta)$  has several zeros as a function of complex  $\beta$
  - need closest to real axis : coincide to  $(\beta_c, 0)$  at infinite volume (leading zero)
- Volume scaling of  $\text{Im}(\beta)$  determines the order of the transition :
  - $\text{Im}(\beta) = \text{constant}$  : crossover
  - $\text{Im}(\beta) = a^3/L^3$  : first order
  - $\text{Im}(\beta) = (a/L)^\alpha$  : second order

# Fisher zeros

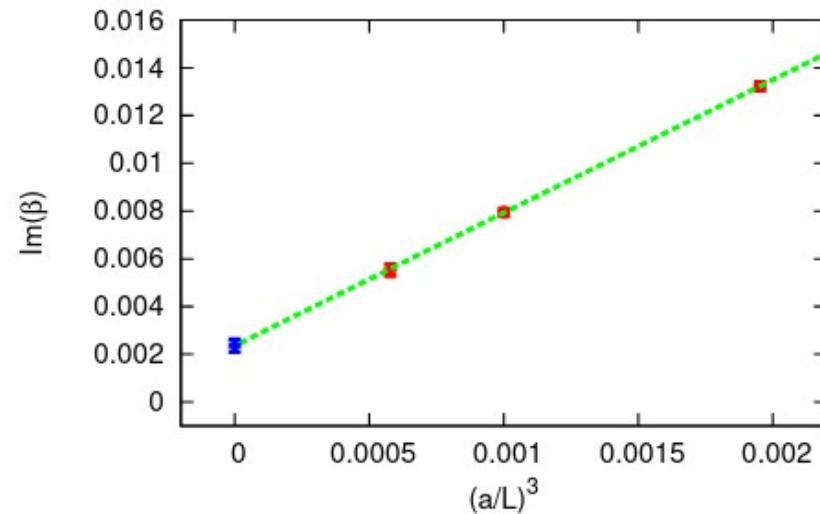
- $\text{Im}(\beta)$  decreases when  $\mu$  is sufficiently large
- $\text{Im}(\beta)$  extrapolated to infinite volume by the ansatz.

$$\text{Im} \beta = A + B(a/L)^3$$

- fit function has acceptable statistical fits
- for a true phase transition,  $A \sim 0$  for infinite volume

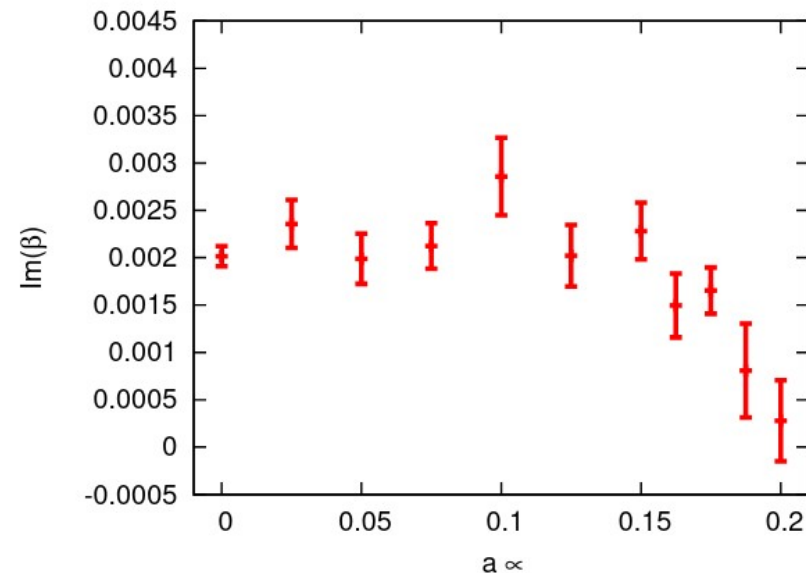
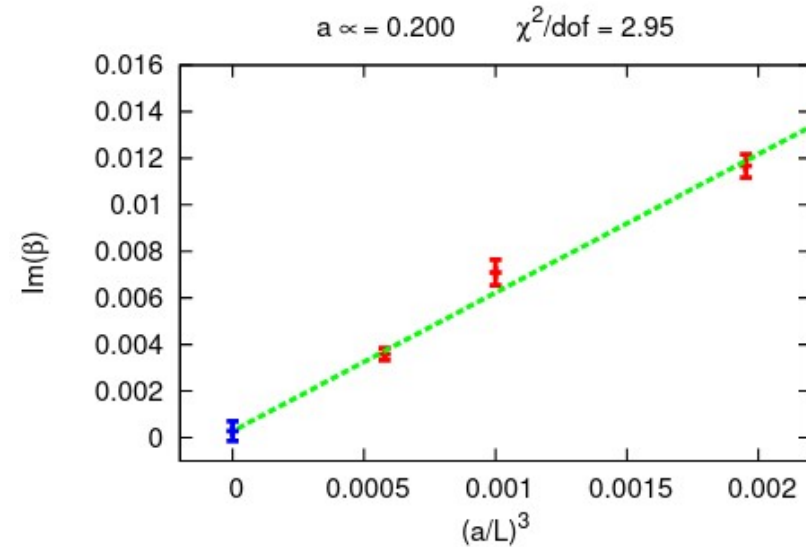


$a \propto = 0.025$      $\chi^2/\text{dof} = 0.09$



# Fisher zeros

- $\mu=0.2 \sim \mu_B/T=2.4$  plot :
  - Infinite volume extrapolation of  $\text{Im}(\beta)$  consistent with zero.
- Existence of a critical end point would suggest that  $\text{Im}(\beta)$  at infinite volume is a decreasing function of  $\mu$  and as  $\mu=\mu_c$  it is zero
  - Infinite volume extrapolation of  $\text{Im}(\beta)$  is flat upto  $\mu=0.15$  and then there is a sharp decrease for  $0.15 < \mu < 0.2$ .
  - Singularity of  $\ln Z$  is moving closer to the real axis
  - Strength of the transition is increasing
  - Suggests a true phase transition around  $\mu=0.2$

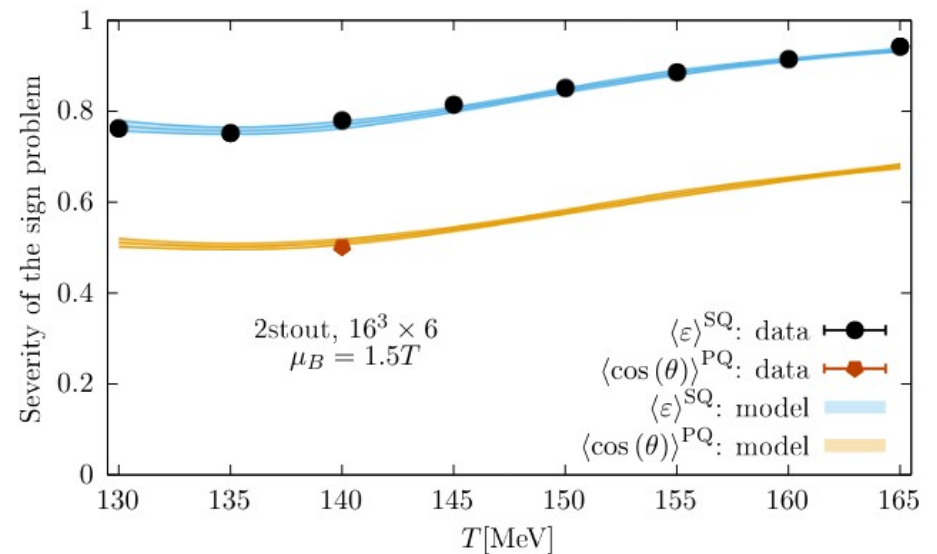
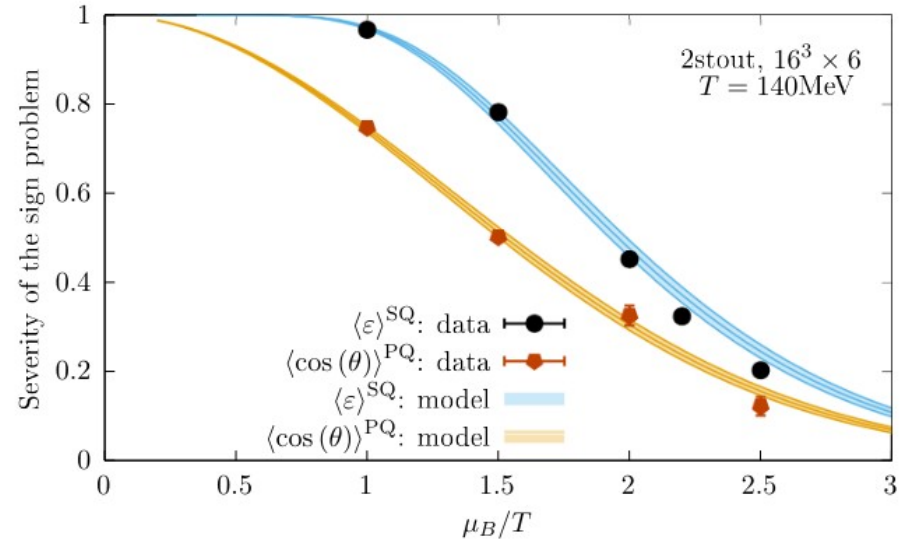


# Severity of the sign problem

- Weakest sign problem :
  - compare with phase reweighting
  - severity of sign factor measured by average reweighting factor
  - phase factor for phase reweighting

$$\langle \cos(\theta) \rangle_{PQ}$$

- sign reweighting :  $\langle \varepsilon \rangle_{SQ}$ .
- Sign problem is more severe in phase reweighting than sign reweighting





# Conclusion

- Sign reweighting is a new technique for evaluating path integral at finite baryon chemical potential.
- It generates configurations by the absolute value of the real part of the fermionic determinant and takes the sign into account by a discrete reweighting.
- The results do not have an overlap problem and are perfectly reliable when the sign problem is not too severe.
- The sign problem is the least severe with this method compared to other reweighting strategies.
- $\text{Im}(\beta)$  stays flat within  $0 < \mu < 0.15$  and decreases sharply for  $0.15 < \mu < 0.2$ . This tells us that the strength of the phase transition increases as chemical potential increases.
- The infinite volume extrapolation of  $\text{Im}(\beta)$  at  $\mu \sim 0.2$  corresponding to  $\mu_B/T \sim 2.4$  is zero which is consistent with a true phase transition.

Thank You