# Noulinear sigma models for (super-)spin chains

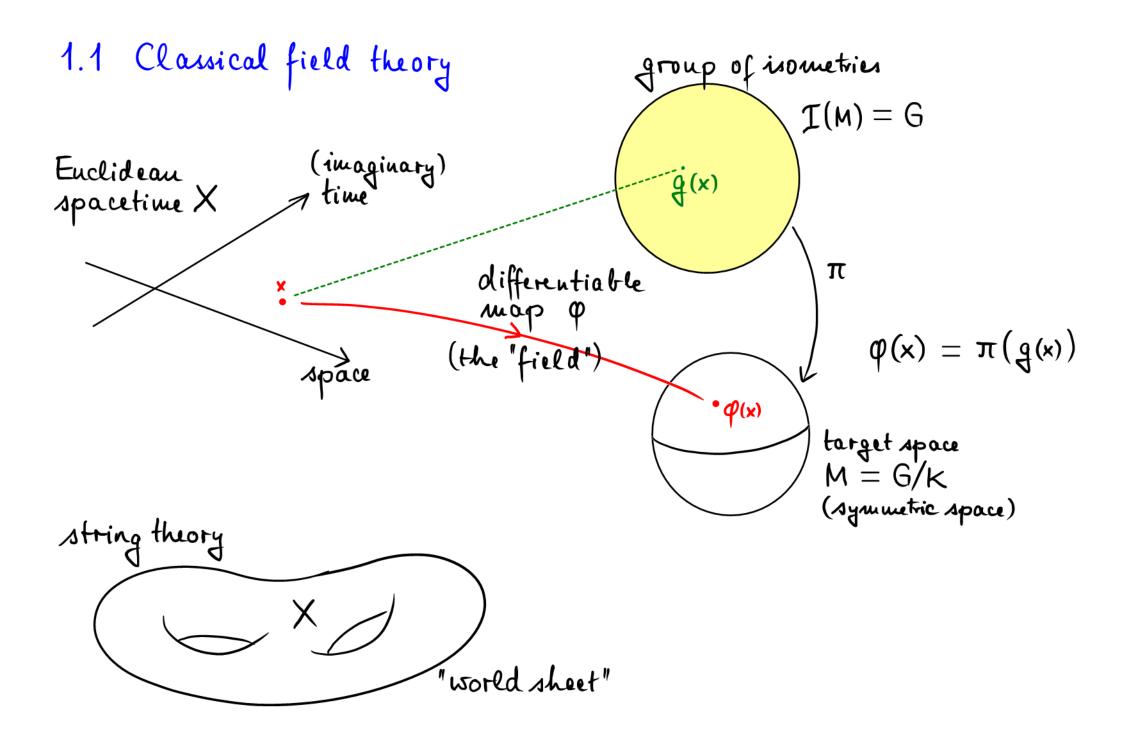
- 1. What's a nonlinear sigma model (NLOM)?
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### 1.1.1 Symmetric spaces

Riemann tensor:  $R^{i}_{jkl} = \partial_{k}\Gamma^{i}_{lj} - \partial_{l}\Gamma^{i}_{kj} + \Gamma^{m}_{lj}\Gamma^{i}_{km} - \Gamma^{m}_{kj}\Gamma^{i}_{lm}$ 

Def.: a locally symmetric space is a Riemannian manifold M with covariantly constant curvature:  $\nabla R = 0$ .

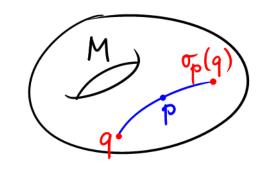
Ex.1: the round two-sphere  $M = S^2$ ,  $d\ell^2 = d\theta^2 + \sin^2\theta d\phi^2$ .

Ex. 2: the set  $M=\mathrm{Gr}_n(\mathbb{C}^N)$  of complex n-planes in  $\mathbb{C}^N$  (endowed with the  $\mathcal{U}(N)-invariant$  geometry).

#### Def.: a globaly symmetric space is a Riemannian manifold M s.t.

for al p∈ M the geodesic inversion

extends to an isometry  $\sigma_p: M \to M$ .



Fact. 
$$M = G/K$$
 with  $K = Fix_G(\theta)$  for  $\theta : G \rightarrow G$  (Cartan involution).

Ex.: M = U (U compact Lie group).

 $G = U \times U$ ,  $\Theta(u_{L}, u_{R}) = (u_{R}, u_{L})$ .

 $K = \operatorname{Fix}_{G}(\theta) \stackrel{\sim}{=} U.$ 

 $\pi: G \to G/K = M$ ,  $(u_L, u_R) \mapsto u_L u_R^{-1}$ .

G-action on  $M: u \mapsto u_L u u_R^{-1}$ .

1.1.2 Energy/action functional.  $S[\varphi] = \int_X \|D\varphi\|^2$ .

In local coordinates  $\xi^i: M \to \mathbb{R}$ ,  $d\xi^i(e_j) = S_i^i$ ,  $\phi^i = \xi^i \circ \phi$ ,  $x^\mu: X = \mathbb{R}^d \to \mathbb{R}$ , one has  $D\phi = dx^\mu \frac{\partial \phi^i}{\partial x^\mu} e_i$ 

and 
$$S[\phi] = \int_{M}^{X} q_{i}^{x} g_{ij}^{(x)}(x) \frac{\partial^{x}_{i}}{\partial \phi_{i}}(x) \frac{\partial^{x}_{i}}{\partial \phi_{i}}(x) \frac{\partial^{x}_{i}}{\partial \phi_{i}}(x) g_{ij}^{(M)}(\phi(x))$$

where  $g_{ij}^{(M)} = (e_i, e_j)_M$  and  $g_{(X)}^{\mu\nu} = (dx^{\mu}, dx^{\nu})_X$ .

1.1.3 Global G-symmetry.  $(g\varphi)(x) := g(x) \cdot \varphi(x)$ .

If  $g(x) = g_0 \in G$  is constant, then  $S[\varphi] = S[g\varphi]$  for any  $\varphi$ .

Conserved current. Let  $Y: X \to \text{Lie}(G)$  be differentiable, and for  $t \in [-\epsilon, \epsilon]$  exponentiate to  $e^{tY}: X \to G$ . Then  $\frac{d}{dt} \left| S[e^{tY} \cdot \varphi] = \int_X dY \wedge J_{\varphi} = \int_X dx \, J_{\varphi} Y^a \, J_{\alpha}^{\mu} \right|_{t=0}^{t=0}$  where  $J_{\varphi}$  is (d-1) form on X with values in  $\text{Lie}(G)^*$ .

If  $\varphi$  is critical point of S  $(\Leftrightarrow)$  solution of classical eqs of motion SS=0), then  $dJ_{\varphi}=0$  (conservation of current).

#### 1.1.4 Some useful formulas.

A. Differential of exponential map: Lie(G) -> G.

$$\frac{d}{dt} \Big| e^{-X} e^{X + tY} = \frac{1 - e^{-ad(X)}}{ad(X)} Y$$

$$= Y - \frac{1}{2} [X,Y] + \frac{1}{6} [X,[X,Y]] - \dots$$

B. Cartan decomposition of Lie algebra.

Cartan involution  $\theta: G \to G$ ,  $\theta(q_1q_2) = \theta(q_1)\theta(q_2)$ , induces  $\theta_*: Lie(G) \to Lie(G)$ ,  $\theta_*([X,Y]) = [\theta_*(X), \theta_*(Y)]$ .

A Lie (G) =  $E_{+1}(\theta_*) \oplus E_{-1}(\theta_*) =: k \oplus p$ with  $[p,p] \subseteq k$  and  $[k,p] \subseteq p$ .

Hence for  $X,Y \in p$  one has  $\left(\frac{1-e^{-ad(X)}}{ad(X)}Y\right)_p = \frac{\sinh ad(X)}{ad(X)}Y$ .

C. Geometry of G/K in (Riemann) normal coordinates.

Let  $o \equiv eK \in G/K$ , and note  $T_o(G/K) = p$ .

G-invariant metric =  $\operatorname{Tr}\left(q^{-1}dq\right)_{p}^{2} \equiv \partial e$ .

Parametrize points:  $q = e^{X} \cdot o \equiv e^{X} K \quad (X \in p).$ 

Tangent vectors:  $v = \frac{d}{dt} \left| e^{X+tY} \cdot o \right| (Y \in p).$ 

 $eg_0(v,v) = e_0(e^{-X}\cdot v, e^{-X}\cdot v) = \operatorname{Tr}\left(\frac{\sinh ad(X)}{ad(X)}Y\right)^2.$ 

G-invariant measure = Det  $\frac{\sinh ad(X)}{ad(X)}\Big|$  · dvol(X).

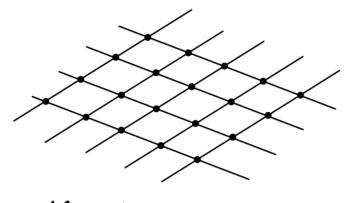
#### Exercises

- A.1 Argue that the round two-sphere is a globally symmetric space.
- A.2 Argue that  $Gr_n(\mathbb{C}^N) \cong U(N)/U(n)\times U(N-n)$ .
- A.3 For G = SU(2) with Cartan involution  $\theta(u) = \sigma_3 u \sigma_3$ compute the decomposition of Lie SU(2) into  $\theta_+$  even/odd parts.
- For a compact Lie group G of matrices g with Cartan involution  $\theta$  consider the NLoM with target space M=G/K and energy functional  $S=\frac{1}{4}\int_{X}^{d^{2}x} Tr \partial_{\mu}Q \; \partial_{\mu}Q^{-1}$  where  $Q(x)=g(x)\; \theta(g(x))^{-1}$ . Verify the following claim:
- B.1 S indeed depends only on  $\varphi(x) = \pi(g(x)) \equiv g(x) K \in G/K$ .
- 13.2 In terms of g(x), S has the expression  $S = -\int_{\mathbf{y}} d^dx \operatorname{Tr}(g^{-1}\partial_{\mu}g)_{p}^{2}$ .
- 10.3 The conserved current is  $J^{\mu} = -2g(g^{-1}\partial^{\mu}g)_{p}g^{-1}$ .
- (Cartan decomposition Lie  $G \ni Y = Y_k + Y_p$ .)

# 1.2 Quantum field theory

One wants to take averages (with weight  $e^{-S/h}$ ) over all maps (?!)

### 1.2.1 Lattice définition/régularization.



(finite)

Cubic lattice  $\Lambda = \mathbb{Z}_{L}^{d}$   $|\Lambda| = L^{d}, \quad L \rightarrow \infty$ 

Discretized maps 
$$\varphi: \Lambda \to M$$
,  $\times \mapsto \varphi_{\times}$ .

Require S, to be local, A-symmetric, G-invariant.

This still leaves a vast number of possible choices ...

$$S_{\Lambda,\alpha}[\varphi] = \alpha_1 \sum_{\substack{x,y \in \Lambda^2 \\ \text{neighbors}}} dist^2(\varphi_x,\varphi_y) + \dots$$

parameters 
$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_{\sim}).$$

Gibbs measure =  $\frac{1}{Z} e^{-\frac{1}{\hbar} S_{\Lambda,\alpha}[\varphi]} \frac{\pi}{\pi} dvol(\varphi_*)$ is a G-invariant density on  $M^{|\Lambda|}$ ; th ≡ T (Planck's constant, or temperature).

Observables A, B: M -> R.

Correlation functions:  $\langle A(\varphi_x) B(\varphi_y) \rangle_{S_{\Lambda,\alpha}} =: C_{A,B}(x,y,\alpha)$ .

1.2.2 Universality hypothesis (rough statement).

In the continuum limit ( $\alpha$ , large) there exists a function  $\xi(\alpha)$ (called the correlation length) and for each pair A,B a function  $\chi_{A,B}: \mathbb{R}^2_+ \to \mathbb{R}$  such that  $C_{A,B}(x,y;\alpha) \stackrel{c.l.}{=} \chi_{A,B}(|x-y|;\xi(\alpha))$ .

(In this sense the multitude of lattice theories is governed by a single master theory — the nonlinear sigma model.)

#### 1.2.3 Main results/conjectures

• Mernin-Wagner-Coleman Theorem.

Let d=1,2 and M compact. If  $A:M\to \mathbb{R}$  is orthogonal to the constants  $\left(\int_M A \, dvol_M = 0\right)$ , then  $\left\langle A(\phi_x) \, A(\phi_y) \right\rangle \to 0$  for  $|x-y| \to \infty$ .

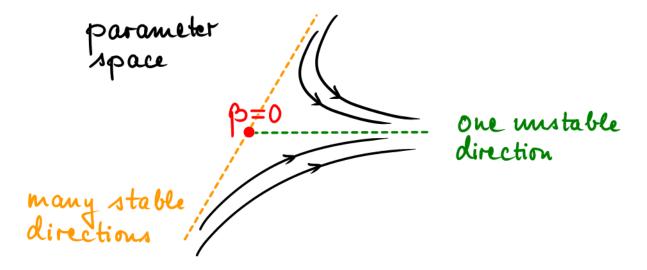
· Mars gap conjecture.

For d=1,2 and M compact with positive curvature correlations decay exponentially:  $\langle A(\varphi_x) A(\varphi_y) \rangle \sim e^{-C_A|x-y|/3}$  for all temperatures.

• In d≥3 spontaneous symmetry breaking occurs for low temperatures.

### 1.2.4 Perturbative renormalization group (RG)

- K.G. Wilson:  $\exists$  vector field (the "beta function") in parameter space s.t.  $\left(\frac{\partial}{\partial s} + \beta^{i}(x)\frac{\partial}{\partial x^{i}}\right) C_{A,B}(e^{-s}x, e^{-s}y; x) = 0$ . dynamical-systems view point:  $\dot{\alpha}^{i} = \beta^{i}(x)$ .
- Universality occurs near Zeroes of  $\beta$  (or fixed points of the RG flow): there, the flow is contracting in all directions but very few (just one for d=1,2 and M compact).



- $\beta$ -function of nonlinear models in d=2(M Riemannian manifold with metric  $\frac{1}{7}$   $g_{ij}$ ):  $\frac{d}{ds}\left(\frac{1}{7}g_{ij}\right) = -\operatorname{Ric}_{ij} - \frac{1}{2}\operatorname{R}_{iklm}\operatorname{R}_{jklm} + \mathcal{O}(1^2)$ .
- M compact symmetric space (choose  $q_{ij} \equiv Ric_{ij}$ ):  $\frac{d}{ds} \left(\frac{1}{1}\right) = -1 + O(1)$

- 2. Antiferromagnetic quantum spin chains
- 2.1 Motivation/background
- Provide a physical example realizing NLOM.
- Key words: topological quantum matter, classification, interacting topological insulators, AKLT spin chain, Haldane phase, matrix product states...
- Phenomenology:

Ferromagnetic spin chains have low-energy excitations with dispersion  $E(k) \sim k^2$ .

Antiferromagnetic spin chains (naively) have  $\varepsilon(k) \sim |k|$  (relativistic dispersion)  $\Lambda$  NLoM (Haldane).

(c.f. Affleck, Les Houches 1988)

### 2.2 Heisenberg model

V highest-weight irrep for (compact) Lie group G.

 $\cdots \otimes V \otimes V^* \otimes V \otimes V^* \otimes \cdots$  Hilbert space of spin chain.

{Xa} orthonormal basis of Lie(G).

 $Cas_2 = \sum_a X_a X_a \in \mathcal{U}(Lie(G)).$ 

 $H = -\sum_{n} \sum_{a} X_{a}^{(V)}(2n) \left( X_{a}^{(V^{*})}(2n-1) + X_{a}^{(V^{*})}(2n+1) \right)$ 

Hamiltonian of antiferromagnetic quantum spin chain ("Heisenberg").

#### 2.3 G/K spin-coherent states

 $\Theta: G \to G$  Cartan involution

| Vo > ∈ V highest-weight vector for G-action.

Let  $\mathbb{C} \cdot | v_0 \rangle$  be one-dimensional repur for  $K = Fix_G(\theta)$ .

 $\left\{ \mathbb{P} g|v_{o} \right\}_{g \in G} \cong G/K$  the G-orbit of coherent states.

Resolution of the identity.

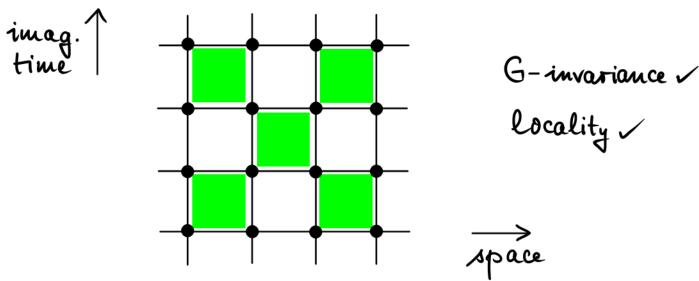
For G compact there exists a G-invariant density dg & s.th.

$$1_{V} = \int_{G/K} dg_{K} g|V_{o}\rangle\langle V_{o}|g^{-1}.$$

Proof as an exercise.

#### 2.4 From spin chain to NLOM

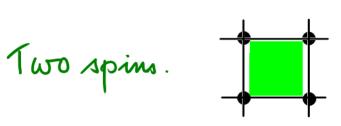
Use the Feynman-Troter-Suzuki method to convert the quantum partition function  $Z = Tr e^{-\beta H} = Tr (e^{-\frac{\beta}{L}H})^{L}$  (and correlation fcts) into an integral over many copies of G/K.



Continum limit = NLOM?

Control achievable only in a semiclassical limit:

A scale the highest weight  $(|V_0\rangle \rightarrow |NV_0\rangle)$  and consider  $N \rightarrow \infty$  based on  $\cdots \otimes |NV_0\rangle \otimes |-NV_0\rangle_* \otimes |NV_0\rangle \otimes |-NV_0\rangle_* \otimes \cdots$ 



$$H_2 = -\frac{1}{N} \sum_a X_a^{(V)} X_a^{(V^*)}$$

For N large, approximate  $G/K \times G/K \stackrel{!}{=} T(G/K) = G \times_{k} p$ ,

i.e. parametrize coherent states as

$$g\,e^{Y/2}\,|\mathsf{N}\mathsf{V}_{o}\rangle\,\otimes\,g\,e^{-Y/2}\,|-\mathsf{N}\mathsf{V}_{o}\rangle_{\!\!\!/\,\!\!\!*}\,\equiv\,|g\,;\mathsf{Y}\,\rangle\,=\,|g\,k\,;\,k^{\!-1}\mathsf{Y}\,k\rangle\,.$$

Matrix element:  $\langle g e^{\frac{z}{2}}, Y' | e^{-\frac{l^2}{L}H_2} | g e^{-\frac{z}{2}}, Y \rangle$  $= \exp\left(\frac{\beta}{2L} N \operatorname{Tr} \left(Y^2 + Y'^2\right) + iN \omega_0 (Z_{/}Y + Y') + \ldots\right).$ 

Integration over Y, Y' gives  $e^{\frac{L}{B}N \operatorname{Ir} Z^2 + \dots}$  Kähler form Berry curvature

Haldane conjecture (Neven).

### 3. Superspin chain

### 3.1 Exterior algebra.

 $V = \mathbb{C}^N$  complex vector space,  $V^*$  dual space. (the linear fets on V)

Def.: The Grassmann algebra  $\Lambda(V^*)=\bigoplus_{k=0}^N \Lambda^k(V^*)$  is the associative algebra generated by  $\mathbb{C}\equiv \Lambda^0(V^*)$  and  $V^*\equiv \Lambda^1(V^*)$  with relations  $\alpha\beta+\beta\alpha=0$  for any  $\alpha,\beta\in V^*$ .

Note:  $\omega^2 = 0$  for  $\omega \in \Lambda^{odd}(V^*)$ .

The inner product of  $v \in V$  with  $\alpha \in V^*$  extends to an odd derivation  $\iota(v) \colon \bigwedge^k(V^*) \longrightarrow \bigwedge^{k-1}(V^*)$ ,  $\iota(v)(\alpha\beta) = (\iota(v)\alpha)\beta + (-1)^l \alpha (\iota(v)\beta), \quad \alpha \in \bigwedge^l(V^*).$ 

Note: 1(v)1(v') + 1(v')1(v) = 0 and  $1(v)^2 = 0$ .

Fermi integral is a linear mapping  $\Omega: \Lambda(V^*) \longrightarrow \mathbb{C}$  with "translation' invariance:  $\Omega[\iota(v)\Psi] = 0$ .

 $\Omega\left[\Psi\right]=\iota(e_1)\cdots\iota(e_N)\Psi \text{ for some basis } e_1,\ldots,e_N \text{ of } V.$ 

Remark.  $\Omega \in \Lambda^{N}(V) \cong \mathbb{C}$ .

Change the notation.  $\mathbf{z}^1, ..., \mathbf{z}^N$  dual basis of  $\mathbf{V}^*$ ;  $\mathbf{1}(\mathbf{e}_{\mathbf{j}}) \equiv \frac{\partial}{\partial \mathbf{z}^{\mathbf{j}}}$  and  $\Omega[\Psi] = \frac{\partial}{\partial \mathbf{z}^1} \cdots \frac{\partial}{\partial \mathbf{z}^N} \Psi \equiv \int_{\mathbf{z}} \Psi.$ 

Pfaffian. For  $A: V \times V \longrightarrow \mathbb{C}$  skew let  $\xi A \xi \equiv \xi^i A(e_i, e_j) \xi^j \in \Lambda^2(V^*)$ .

$$Pf(A) := \int_{\xi} e^{\frac{1}{2}\xi A\xi}$$

$$= \begin{cases} 0 & \text{N odd} \\ \frac{2^{-N/2}}{(N/2)!} \sum_{\pi \in S_N} \text{sign}(\pi) \ A(e_{\pi(N)}, e_{\pi(N-1)}) \cdots A(e_{\pi(2)}, e_{\pi(1)}) & \text{N even} \end{cases}$$

Determinant as Gaussian integral.

For  $B: V \longrightarrow V$  linear let  $\bar{\xi}B\bar{\xi} \equiv \bar{\xi}_i B^i_{\dot{q}}\bar{\xi}\dot{\delta}$   $(\bar{\xi}_i = e_i)$ . Then  $Det B = \prod_{i=1}^{N} \frac{\partial^2}{\partial \bar{\xi}^i \partial \bar{\xi}_i} e^{\bar{\xi}B\bar{\xi}}$ . 3.2 Bosonization formula (Od).

Let  $V = \operatorname{Hom}(\mathbb{C}^n, \mathbb{C}^N) \cong \mathbb{C}^N \otimes (\mathbb{C}^n)^*$ ;  $V^* = \operatorname{Hom}(\mathbb{C}^N, \mathbb{C}^n)$ . Write  $\Psi(\overline{\xi}_a^i, \xi_a^b) \equiv \Psi(\overline{\xi}, \xi)$  for  $\Psi \in \Lambda(V^* \oplus V)$ .

Thm. Assume U(N) - invariance:  $\psi(\bar{\xi}, \xi) = \psi(\bar{\xi}u^{-1}, u\xi)$ . Then

- (i)  $\exists \Psi : \operatorname{End}(\mathbb{C}^n) \xrightarrow{\operatorname{entire}} \mathbb{C} \text{ s.th. } \psi(\overline{\xi}, \xi) = \Psi(\overline{\xi}, \xi), \text{ and }$
- (ii) ∃ c<sub>n,N</sub> ∈ C: for any such I one has

$$\int_{f} \Psi = c_{n,N} \int_{u(n)} \Psi(u) \operatorname{Det}^{-N}(u) du.$$
Haar measure

Idea of proof for (ii). Let 
$$(h\psi)(\xi,\bar{\xi}) := \psi(h_{L}\xi,\bar{\xi}h_{R}^{-1})$$
.

$$\Omega_{1}[h\psi] \equiv \int_{I} h\psi = \frac{\operatorname{Det}^{N}(h_{L})}{\operatorname{Det}^{N}(h_{R})} \Omega_{1}[\psi].$$

$$\Omega_{2}[h\psi] \equiv c_{n,N} \int_{\mathcal{U}(n)} \Psi(h_{L}uh_{R}^{-1}) \operatorname{Det}^{-N}(u) du = \frac{\operatorname{Det}^{N}(h_{L})}{\operatorname{Det}^{N}(h_{R})} \Omega_{2}[\psi].$$

#### Exercises

- C.1 Check that  $\operatorname{Tr}(g^{-1}dg)_p^2 \equiv \partial e$  really defines a G-invariant metric tensor on G/K.
- C.2 For G compact and V irreducible there exists a G-invariant density  $dg_{K}$  s.th.  $I_{V} = \int_{G/K} dg_{K} g |V_{0}\rangle\langle V_{0}|g^{-1}$ .

C.3 Pf (A) = 
$$\begin{cases} 0 & \text{N odd} \\ \frac{2^{-N/2}}{(N/2)!} \sum_{\pi \in S^N} \text{sign}(\pi) \ A(e_{\pi(N)}, e_{\pi(N-1)}) \cdots A(e_{\pi(2)}, e_{\pi(1)}) \ N \text{ even} \end{cases}$$

C. 4 Prove the bosonization identity and determine 
$$c_{n,N}$$
 for  $n=1$ :
$$\int_{\Gamma} \Psi = c_{1,N} \int_{\Gamma} \Psi(u) u^{-N} du.$$

#### 3.3 A glimpse of super

Supertrace. 
$$V = V_0 \oplus V_1$$
  $\mathbf{Z}_2$ -graded vector space 
$$\text{S1r}: \text{ End}(V) \longrightarrow \mathbb{C}_{,} \quad X \longmapsto \text{1r}_{V_0}X - \text{1r}_{V_1}X \ .$$

Superdeterminant.

supermatrix (AB); A,D have matrix entries from 
$$\Lambda^{\text{even}}$$
, B,C from  $\Lambda^{\text{odd}}$ .

If D is invertible,

$$SDet\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \frac{Det(A-BD^{-1}C)}{Det(D)} = \frac{Det(A)}{Det(D-CA^{-1}B)}$$
.

Berezin integral = Fermi integral followed by ordinary integration.

Most features of ordinary analysis carry over (mutatis mutandi) to superanalysis, e.g. the substitution rule (for compact supports).

Example. Superbosonization identity  $(n_0 = n_1 = 1, Od)$ Complex variables  $\rho^i$ ,  $\bar{\rho}_i$  and anticommuting variables  $\gamma^i, \bar{\gamma}_i$   $p = \bar{\phi} \cdot \rho$ ,  $\bar{\gamma} = \bar{\phi} \cdot \gamma$ ,  $\gamma = \bar{\gamma} \cdot \rho$ ,  $q = \bar{\gamma} \cdot \gamma$ . (i = 1, ..., N).  $F\left(\begin{array}{cc} P & \frac{3}{9} \\ \frac{3}{9} & \frac{3}{9} \end{array}\right) = \left(F_0 + \frac{3}{3}F_1 + \frac{1}{9}F_2 + \frac{3}{3}F_3\right)(P, 9).$  $SDet^{N}\left(\begin{array}{cc} P & \overline{3} \\ \gamma & q \end{array}\right) = \frac{P^{N}}{q^{N}} - N \overline{3} \gamma \frac{P^{N-1}}{q^{N+1}}.$  $\pi^{N} \int_{i=1}^{N} d\rho^{i} d\bar{\rho}_{i} \quad \prod_{i=1}^{N} \frac{\partial^{2}}{\partial \psi^{i} \partial \bar{\psi}_{i}} \quad \mathcal{F} \begin{pmatrix} \bar{\varphi} \cdot \varphi & \bar{\varphi} \cdot \psi \\ \bar{\psi} \cdot \varphi & \bar{\psi} \cdot \psi \end{pmatrix}$ 

#### 3.4 Random band matrices and NLOM

For a quasi-1D random matrix model of length L and band width W the approximation by a NLOM

predicts (i)  $\frac{3}{3} = \text{count} \cdot W^2$ 

(ii) crossover from Wigner-Dyson statistics for  $L/\xi \ll 1$  to Poisson statistics for  $L/\xi \gg 1$ .

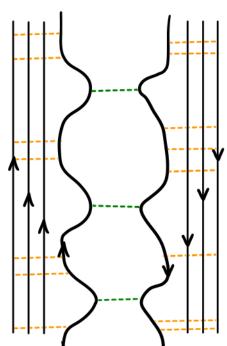
Challenge: establish this rigorously!

#### 3.5 Suggestion

Consider a quantum Hall bar ('chiral'edges) with a sequence of constictions:



back scattering



Model by discrete-time evolution operator U.

Heuristically (at least) this translates into an

"antiferromagnetic" two-superspin problem on V⊗V\*.

NLOM predicts localization length 3 ~ N and

Crossover between Wigner-Dyson and Poisson regime.

Attractive features: (i) Laboratory realization exists, (ii) No "massive" modes (NLoM approximation exact).

 $U = U_{back} U_{forw}$ 

N channels