

***Random matrices and the
epsilon-regime at finite density***

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Blockcourse on Aspects of QCD at Finite Density

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Motivation & Philosophy

- **Road map:**

QCD → low energy: effective QFT = chiral perturbation theory (XPT)

→ epsilon-regime of XPT \Leftrightarrow Random Matrix Theory (RMT)

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- **What can we compute - despite sign problem?**
 - partition function
 - spectral density, distribution of smallest eigenvalue, average phase
as functions of: Σ , F , χ SB pattern, gauge field topology = ν zero modes
of D (index Theorem)

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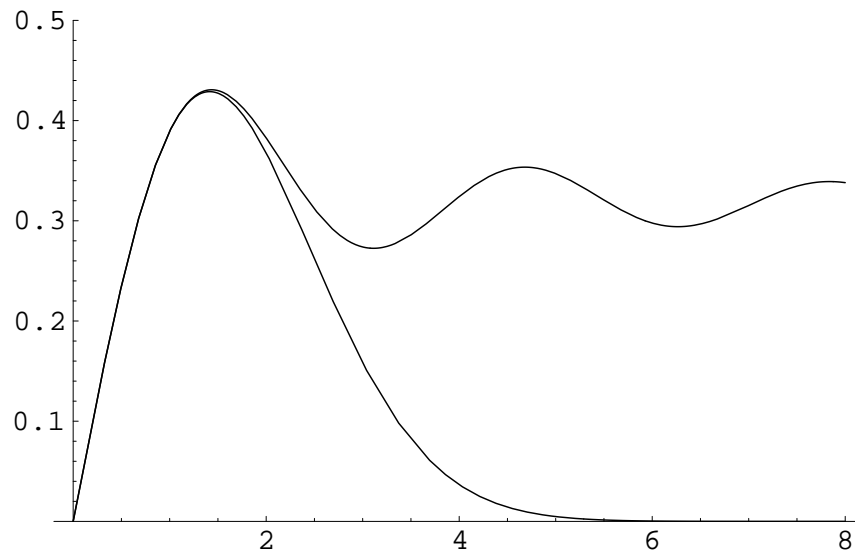
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- **What cannot be computed?**

- actual value of Σ or F in **QCD**
- chiral symmetry broken or not: $\beta(N_f)$

Example I: Lattice vs spectrum of real Dirac eigenvalues



density $\rho_D(\lambda) \equiv \langle \text{Tr} \delta(D - \lambda) \rangle_{\text{QCD}}$

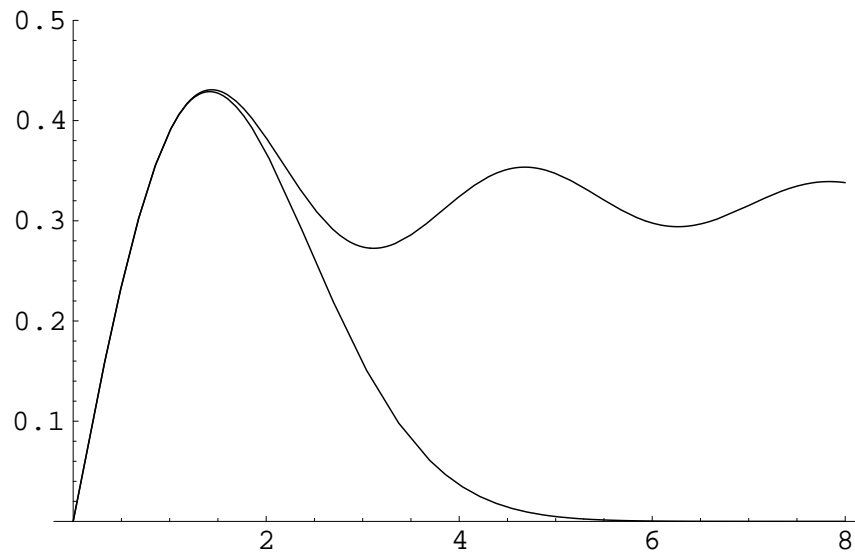
$$\lim_{V \rightarrow \infty} \frac{1}{V\Sigma} \rho(x) = \frac{x}{2} [J_0(x)^2 + J_1(x)^2]$$

1st eigenvalue $p_1(x) = \frac{x}{2} e^{-x^2/4}$

at $\nu = 0$, with $\lambda V \Sigma = x$

spacing $1/V \neq 1/L$ free case

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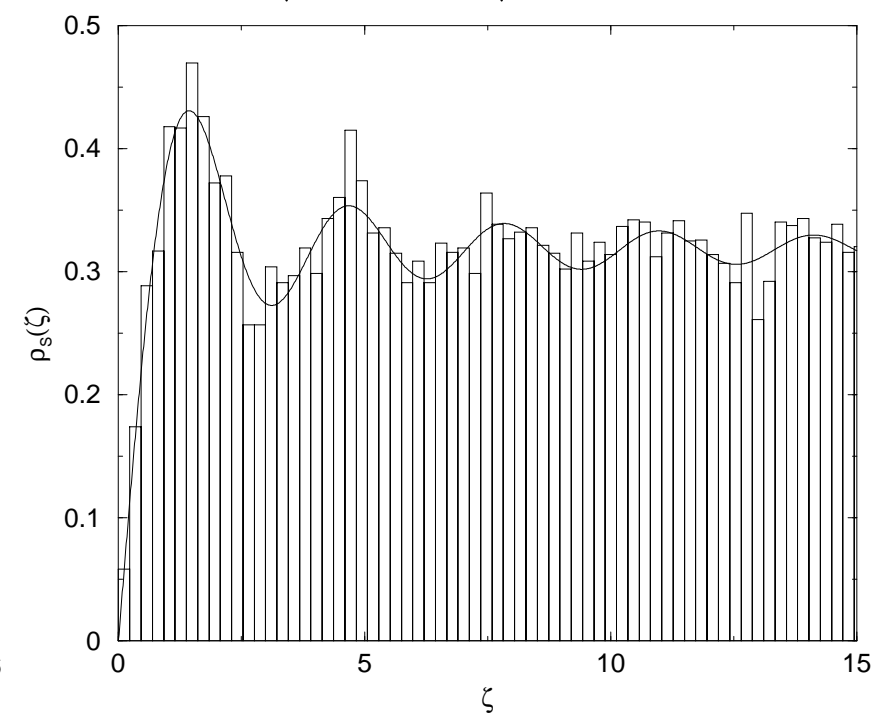
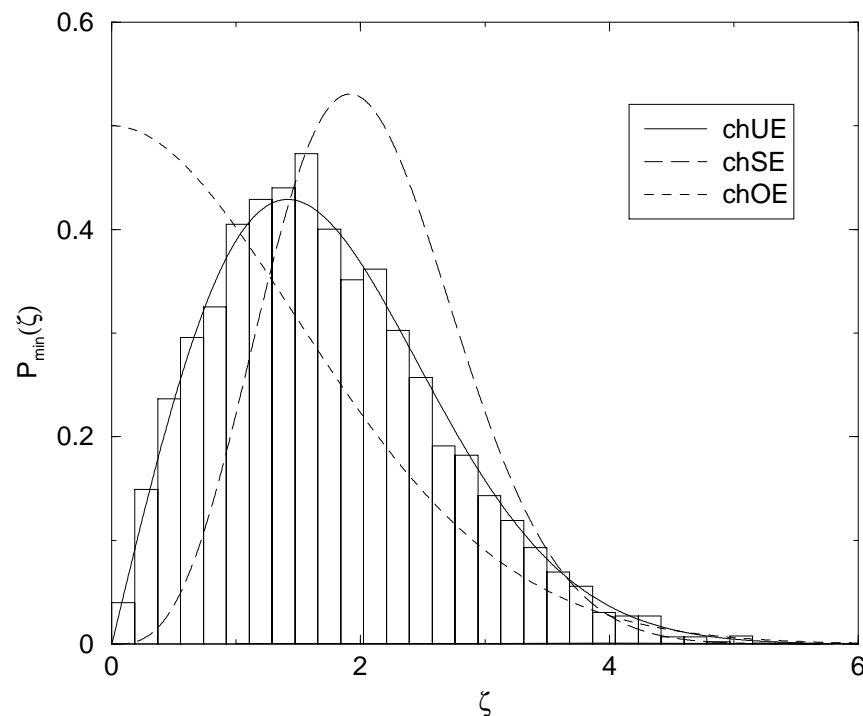
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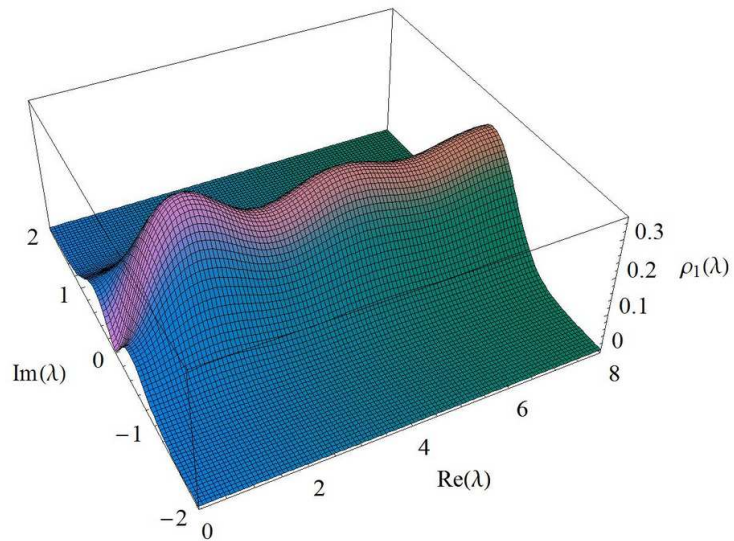
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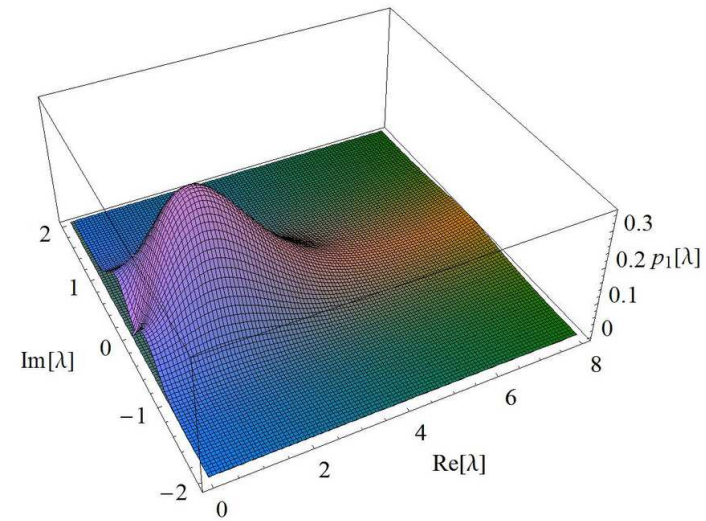


Example II: Lattice vs spectrum of complex Dirac eigenvalues

One-point density $\rho_1(\lambda)$, for $\nu = 0$ and $\mu = 0.1$ ($\alpha = 0.591$)

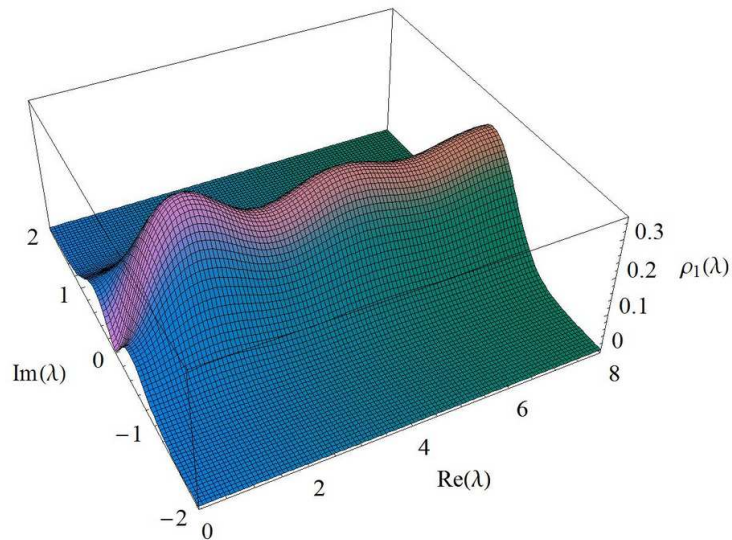


The distribution of the first eigenvalue $p_1(\lambda)$ for $\nu = 0$ and $\mu = 0.1$ ($\alpha = 0.591$)

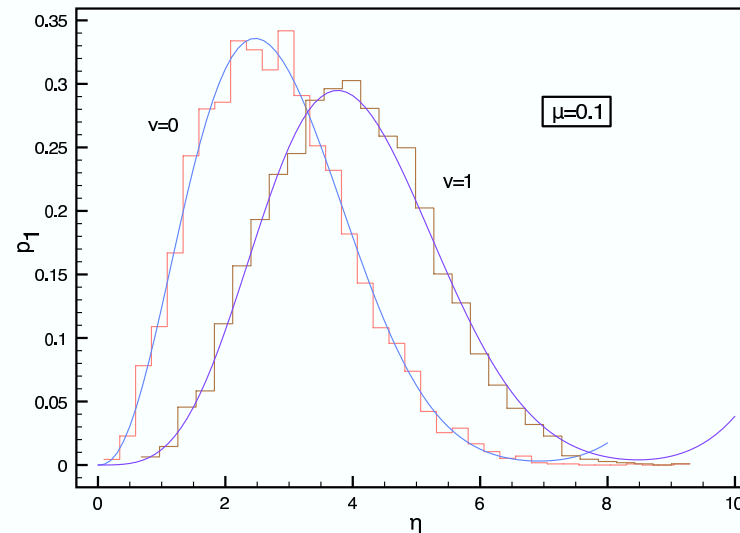
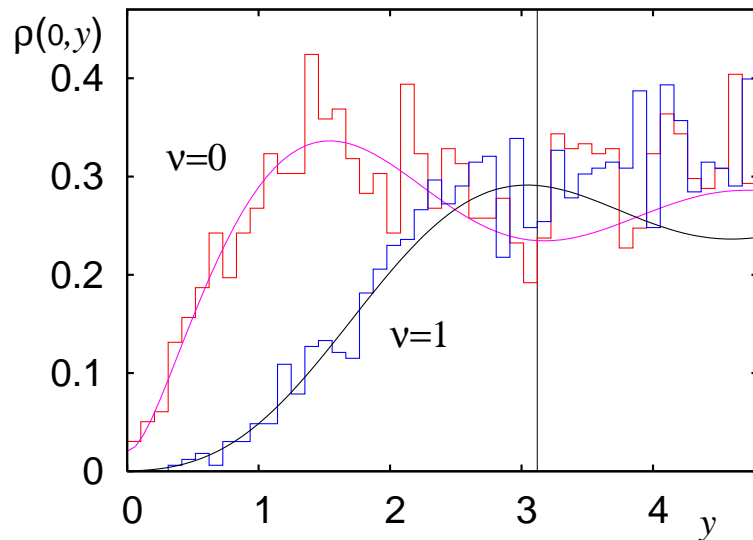
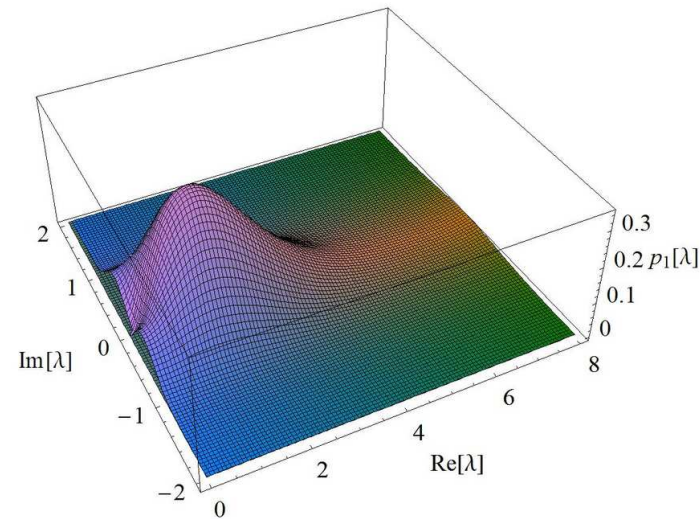


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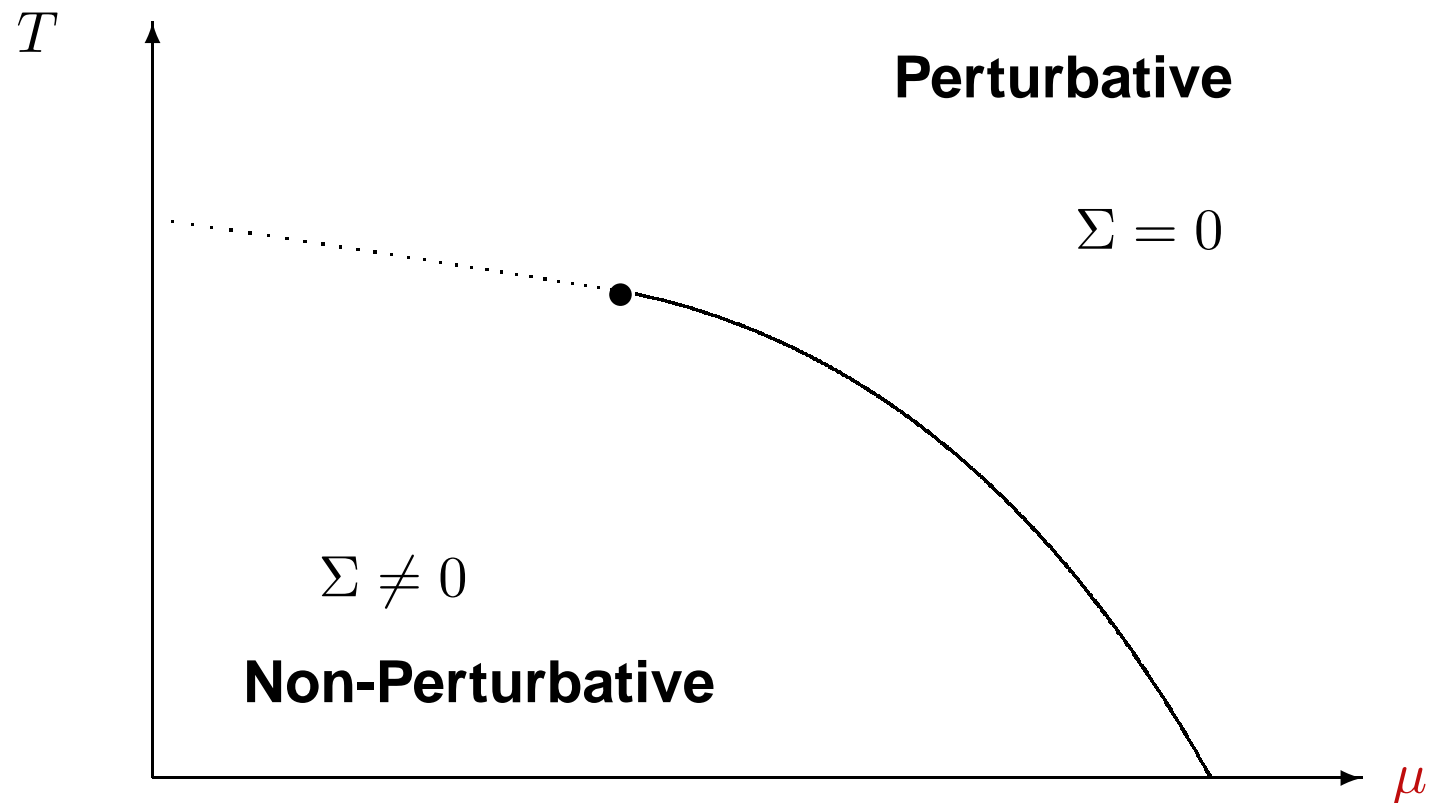


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- density (left) [Bloch, Wettig 06], smallest eigenvalue p_1 (right) [+ G.A., Shifrin 07]

Example III: Cartoon of the QCD Phasediagram



- schematic phase diagram for **QCD**+ $N_f = 2$ light flavors [Halasz et al. 98]
 - derived from a very simple phenomenological Random Matrix Model

- **Chiral Perturbation Theory**
- **The Epsilon Regime**
- **Main Result: Partition Function**
- **What Can We Learn From That?**

Chiral Perturbation Theory

Chiral Symmetry in QCD

- symmetry of the QCD Lagrangian under global rotation

quarks $\mathcal{L} = \bar{\Psi} D \Psi + \bar{\Psi} M \Psi$, D Dirac op., $M = \text{diag}(m_u, m_d, \dots)$

$$\Psi = \begin{pmatrix} u \\ d \\ \vdots \end{pmatrix} \rightarrow U \Psi, \quad \bar{\Psi} = \Psi^\dagger \gamma_0 \rightarrow \bar{\Psi} U^\dagger \quad U \in U(N_f)$$

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chiral symmetry: rotate Ψ $R(L)$ independently:

$$\Psi_L \rightarrow U_L \Psi_L, \quad \bar{\Psi}_L \rightarrow \bar{\Psi}_L U_L^\dagger; \quad \Psi_R \rightarrow U_R \Psi_R, \quad \bar{\Psi}_R \rightarrow \bar{\Psi}_R U_R^\dagger$$

with $U_R, U_L \in U(N_f)$

symmetry group

$$U_L(N_f) \times U_R(N_f) = SU_L(N_f) \times SU_R(N_f) \times U_V(1) \times U_A(1)$$

Chiral Symmetry Breaking in QCD

- explicit breaking:

$$\text{mass term } \bar{\Psi} M \Psi = \bar{\Psi}_R M \Psi_L + \bar{\Psi}_L M \Psi_R$$

invariant if $U_L = U_R$ & $M = m1$ | $U_L(N_f) \times U_R(N_f) \rightarrow U(N_f)$

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$$\text{chiral condensate } \Sigma \equiv |\langle \bar{\Psi} \Psi \rangle| = |\langle \bar{\Psi}_R \Psi_L + \bar{\Psi}_L \Psi_R \rangle| \neq 0$$

- non-perturbative phenomenon

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- in **QCD**: $U_A(1)$ explicitly broken by anomaly

- Witten-Veneziano: Vector (V) symmetries remain unbroken

$$\boxed{SU_L(N_f) \times SU_R(N_f) \rightarrow SU_V(N_f)} \text{ **QCD** XSB pattern}$$

- Peskin: pattern for all QCD-like theories with gauge group $SU(N_C) \geq 3$ (and $U(1)$) in fundamental representation

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- **analogy Ferromagnet:** ground state breaks rotation symmetry of \mathcal{H}

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- **example** $N_f = 2$ up and down quark only:
3 Goldstone Bosons π_0, π_{\pm} very light
non-Goldstone scale $\Lambda \approx 1$ Gev
- for $N_f > 2$ more mesons are considered as Goldstone Bosons:
 ρ, η, K, \dots
we will loosely speaking call all these "pions"

Construction of Chiral Perturbation Theory (XPT)

- **determined by symmetries:**

1) standard kinetic term, 2) no interaction at zero energy, 3) inv. under chiral rotations

- Goldstone Bosons live in Goldstone manifold:

$U(x) = \exp[i\sqrt{2}\xi(x)/F] \in SU(N_f)$ with F Pion decay constant,

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- **effective Lagrangian**

$$\mathcal{L} = \frac{1}{4}F^2\text{Tr}[\partial_\alpha U\partial_\alpha U^\dagger] + L_1(\text{Tr}[\partial_\alpha U\partial_\alpha U^\dagger])^2 + \dots$$

with invariance $U(x) \rightarrow U_R U(x) U_L^\dagger$

- kinetic term ok: $\mathcal{L} = \frac{1}{2}\text{Tr}[\partial_\alpha\xi(x)\partial_\alpha\xi(x)] + \dots$ expanded in ξ 's

- coupling constants F, L_1, \dots : difficult to get from **QCD**(\rightarrow Lattice)

- in the limit we consider later (epsilon regime) all but the first term will be subleading

Mass terms and LO XPT

- we want to keep mass terms as probes of XSB (and $m_\pi \neq 0$)
 - mass term in **QCD**: $\bar{\Psi}_R M \Psi_L + \bar{\Psi}_L M^\dagger \Psi_R$ can be made invariant if $M \rightarrow U_L M U_R^\dagger$ transform like a field, "spurion technique"

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- **invariant mass term in XPT:**

$$\mathcal{L}_m = \frac{1}{2} \Sigma \text{Tr}[MU + M^\dagger U^\dagger]$$

- **leading order Lagrangian in XPT**

$$\mathcal{L}^{(2)} = \frac{1}{4} F^2 \text{Tr}[\partial_\alpha U \partial_\alpha U^\dagger] + \frac{1}{2} \Sigma \text{Tr}[MU + M^\dagger U^\dagger]$$

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- **Gell-Mann – Oaks – Renner relation**

$$m_\pi^2 = N_f \Sigma m / F^2 \quad \text{from quadratic term } \frac{1}{2} m_\pi^2 \xi(x)^2 \quad (\text{equal quark mass})$$

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- \exists **higher order terms in $U(x)$** : e.g.

$$\mathcal{L}^{(2)} = a W_8 \text{Tr}[U^2 + U^\dagger{}^2] + \dots$$

effect of finite lattice spacing a from D -Wilson in XPT

Chemical Potential and XPT

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- we will consider $\mu\Gamma = \text{diag}(\mu 1|_{N_1}, -\mu 1|_{N_2})$

with $N_f = N_1 + N_2$

(e.g. $N_1 = N_2$ isospin, $N_2 = 0$ baryon chemical potential)

- incorporate in XPT as **covariant derivative**

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- note that for $\Gamma = 1|$ the commutator vanishes and \mathcal{L} is μ -independent

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- Summary **starting point Euclidean path integral of XPT**

$$\mathcal{Z}_{\text{XPT}} \equiv \int_{SU(N_f)} [dU(x)] \exp\left[-\int d^4x \mathcal{L}(U, \mathcal{D}U)\right]$$

parameters: N_f, m_q, μ and low energy constants (LEC) F, Σ (W_8, \dots etc.) to be determined

The Epsilon-Regime

Finite-Volume QFT (XPT)

- consider **box** $V = L^4$ ($= TL^3$ for finite Temperature):
 - momenta $p \sim 1/L \equiv \epsilon$
 - XPT valid for $1/L \ll \Lambda$ non-Goldstone scale
 - dimensionless kinetic term: $\partial_\alpha \sim 1/L$ and $\xi(x) \sim 1/L$
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- **p -regime counting:** $m_\pi \sim 1/L$ like momenta

$$\text{propagator } \Delta(p) = \frac{1}{V(p^2 + m_\pi^2)} \sim 1/L^2$$

BUT: diverges for the zero-momentum modes $p = 0$ if we take the massless limit $m, m_\pi \rightarrow 0$ (chiral limit)

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- **ϵ -regime counting:** $m_\pi \sim 1/L^2 \ll 1/L$ [Gasser, Leutwyler 87]

- **new regime**, need to treat zero-modes non-perturbatively

The ε -Regime of Gasser and Leutwyler

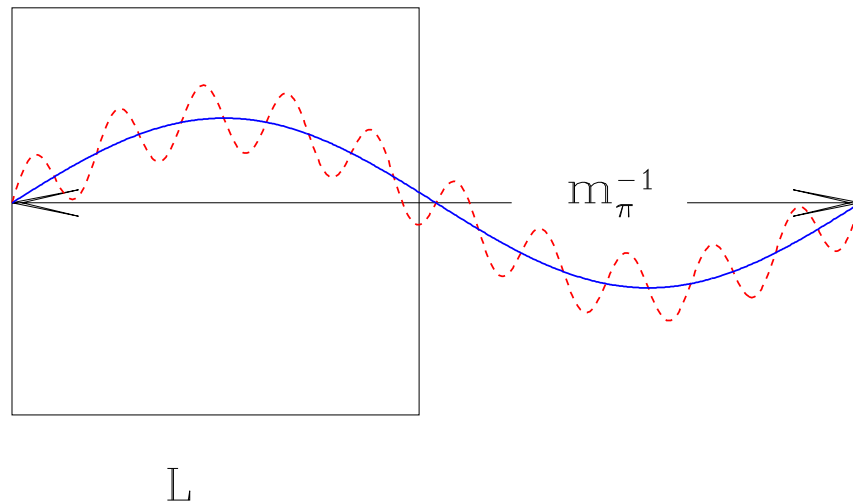
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 U_0 **group integral** \times **fluctuating Gaussian fields**

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- leading order Lagrangian $\mathcal{L}^{(2)}$ exact & factorises
 U_0 **group integral** \times **fluctuating Gaussian fields**
- the ε -regime is an **unphysical regime**:(fig. from [\[physics/0309072\]](#))



Compton wave length

- Lattice-**QCD**: can **choose parameters to be in the ε -regime**
 - determine LECs F, Σ etc. \rightarrow use in physical p -regime
 - check algorithms with exact lattice chiral symmetry

1. Main Result: Partition Function of Epsilon-Regime

Partition function of $\varepsilon\chi$ PT

- in the ε counting $M \sim 1/L^4$ (from m_π) and $\mu \sim 1/L^2$ we obtain

$$\begin{aligned} Z_{\varepsilon\chi\text{PT}} &= \int_{SU(N_f)} dU_0 \exp \left[-\frac{1}{2} \Sigma V \text{Tr} \left(M (U_0 + U_0^\dagger) \right) \right] \\ &\times \exp \left[+\frac{1}{4} V F^2 \mu^2 \text{Tr} [\Gamma, U_0] [\Gamma, U_0^\dagger] \right] \\ &\times \int [d\xi(x)] \exp \left[-\int d^4x \frac{1}{2} (\partial\xi)^2 \right] \end{aligned}$$

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- after Fourier trafo (fixing topology) the group integrals can be done exactly

$$\int d\Theta e^{i\nu\Theta} \int_{SU(N_f)} dU = \int_{U(N_f)} dU \det[U]^\nu$$

Examples for exact group integrals

- $N_f = 2$ flavours with equal mass $\hat{m} = V\Sigma m$, at $\mu = 0$ (or $\mu_B \neq 0$)

$$\mathcal{Z}_\nu = \begin{vmatrix} I_\nu(\hat{m}) & I_{\nu+1}(\hat{m}) \\ I_{\nu-1}(\hat{m}) & I_\nu(\hat{m}) \end{vmatrix} = 2 \int_0^1 dt t I_\nu(t\hat{m})^2$$

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- $N_f = 2$ flavours with $\mu_{iso} \neq 0 \Rightarrow F$ dependence

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- general Γ : [Splittorff, Verbaarschot 03; Fyodorov, Vernizzi, G.A. 04]

- continuation $\mu \rightarrow i\mu$ trivial

Examples for exact group integrals

- $N_f = 2$ flavours with equal mass $\hat{m} = V\Sigma m$, at $\mu = 0$ (or $\mu_B \neq 0$)

$$\mathcal{Z}_\nu = \begin{vmatrix} I_\nu(\hat{m}) & I_{\nu+1}(\hat{m}) \\ I_{\nu-1}(\hat{m}) & I_\nu(\hat{m}) \end{vmatrix} = 2 \int_0^1 dt t I_\nu(t\hat{m})^2$$

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- **tools for evaluation:**

- expansion in group characters for $\mu = 0$ (e.g. Balantekin)

- direct parametrisation

- asymptotic of orthogonal polynomials in map to random matrices

Corrections and Universality

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- **1-loop corrections (NLO)** from non-zero modes:
→ effective LECs with corrections in $1/\sqrt{V} \sim \bar{\Delta}(0)$

$$\Sigma_{eff} = \Sigma \left(1 - \frac{N_f^2 - 1}{N_f F} \bar{\Delta}(0) \right) \quad [\text{Gasser, Leutwyler 87}]$$

$$F_{eff} = F \left(1 - \frac{1}{N_f F} (\bar{\Delta}(0) - \int d^4x [\partial_0 \bar{\Delta}(x)]^2) \right) \quad [\text{G.A., Basile, Lellouch; Damgaard, DeGrand, Fukaya 08}]$$

- \Rightarrow factorised eXPT partition functions etc. holds to NLO!
- at NNLO factorisation and universality destroyed [Lehner, Wettig, et al.]

What can we learn from the partition function of $\varepsilon\chi$ PT?

Duality and Sum Rules

- "flavour-topology duality" [Verbaarschot]:

$$\boxed{\lim_{m \rightarrow 0} \mathcal{Z}_\nu^{(N_f)} / m^\nu \sim \mathcal{Z}_{\nu+1}^{(N_f-1)}} \quad [\text{G.A., Damgaard, Dalmazi, Verbaarschot 01}]$$

example

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- **Leutwyler-Smilga sum rules:**

expectation values of Dirac operator eigenvalues \rightarrow **QCD**

Setup QCD Partition Function

- full theory (Euclidean) $+\varepsilon^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}$ topological term

$$\mathcal{Z}_{\text{QCD}} \equiv \int [dA][d\Psi] \exp[-\text{Tr} \int \bar{\Psi}(D + M)\Psi + FF + i\Theta F\tilde{F}]$$

with N_f quarks Ψ of masses M , gauge fields A and field strength F

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- diagonalise: $D\psi_k = i\lambda_k\psi_k$ in finite V , Euclidean $D^\dagger = -D$

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→ that's why we Fourier trafo

Leutwyler Smilga Sum Rules

- **compare quark mass dependence**

- Taylor expand in m_q formal expression for \mathcal{Z}_{QCD} in terms of λ_k

$$\mathcal{Z}_\nu(\{m_q\}) = \int_0^\infty [d\lambda] \prod_{f=1}^{N_f} m_f^\nu \prod_k (\lambda_k^2 + m_f^2) \exp[-\text{Tr} \int F^2[\lambda]]$$

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$$\mathcal{Z}_{\nu,\epsilon\chi\text{PT}} \sim \det_{1,\dots,N_f} \left[\hat{m}_k^{j-1} I_{\nu+j-1}(\hat{m}_k) \right] / \Delta_{N_f}(\hat{m}_f^2)$$

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- the same should hold for $\mu_B \neq 0$: density of **complex Dirac eigenvalues**

Exercises

- Compute the partition function of $\varepsilon\chi$ PT for $N_f = 1$ starting from the group integral, both with and without Fourier trafo.

Does the one without Fourier trafo have zero's as a function of m , and what does that imply for chiral symmetry breaking? (hint: Yang-Lee zero's)

- Compute the partition function of $\varepsilon\chi$ PT for $N_f = 2$ at equal mass after Fourier trafo (you may use that diagonalising $U \rightarrow \text{diag}(e^{i\theta_1}, e^{i\theta_2})$ has a Jacobian $\sim |e^{i\theta_1} - e^{i\theta_2}|^2$). [The inversion of the Fourier trafo can be found in hep-th/0108166]

Some More Literature

- H. Leutwyler, A. Smilga, Phys. Rev. **D46** (1992) 5607-5632
- Maarten Golterman, Applications of chiral perturbation theory to lattice QCD, Les Houches Lecture Notes, arXiv:0912.4042v4 [hep-lat]
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