

Heavy flavour physics from lattice QCD

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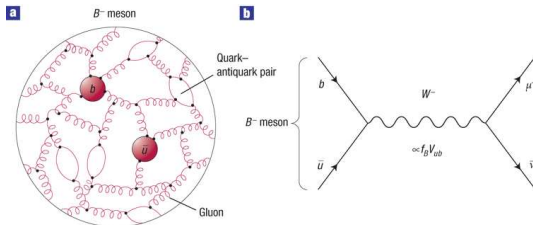
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June 24 & 25, 2011

(Lattice) QCD and the weak interaction

New Physics effects expected in the quark flavour sector, because most extensions of the Standard Model contain

- new CP-violating phases
- new quark flavour-changing interactions



Changes of quark flavour inside a hadron are weak interaction processes

- Due to confinement, QCD corrections to the decay rate are significant
- Non-perturbative QCD effects typically absorbed into hadronic matrix elements such as decay constants, form factors and bag parameters
- ⇒ A task for lattice QCD

Scope of heavy quark physics from LQCD

Current computations / studies include

- Spectroscopy (charmonium, bottomium, beauty-hadrons)
- Heavy quark masses (m_c , m_b)
- Leptonic B-meson decays & B-meson mixing
(e.g., to understand CP-violation in the Standard Model and beyond)
- Semi-leptonic decay form factors of D's & B's
- Analyses of the CKM matrix via the theoretical formula

$$\left(\begin{array}{c} \text{measured} \\ \text{quantity} \end{array} \right) = \left(\begin{array}{c} \text{kinematic} \\ \text{factor} \end{array} \right) \left(\begin{array}{c} \text{short-distance} \\ \text{factor} \end{array} \right) \left(\begin{array}{c} \text{QCD} \\ \text{factor} \end{array} \right)$$

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The need for an *effective theory*

$$m_c \approx 1.27 \text{ GeV} \quad m_b \approx 4.2 \text{ GeV} \quad m_{J/\psi} \approx 3.1 \text{ GeV} \quad m_\gamma \approx 9.46 \text{ GeV}$$

- To reliably describe these states and treat them in numerical simulations, the lattice cutoff $1/a$ should be larger than their m 's
- Comfortable spatial volumes to accommodate heavy hadrons would then amount to lattice sizes $\gtrsim O(100)^4$
- ⇒ **Central idea:**
remove the heavy (valence) quark mass as the dominant scale

The CKM matrix . . .

. . . encodes the mixing between quark flavours under weak interactions

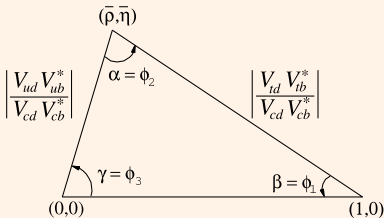
$$\underbrace{\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}}_{\text{weak int.}} = V_{\text{CKM}} \underbrace{\begin{pmatrix} d \\ s \\ b \end{pmatrix}}_{\text{strong int.}} \quad V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Wolfenstein parametrization of the CKM matrix

- Empirically, matrix elements are largest among the diagonal
 → hierarchy gets explicit by expansion in powers of $|V_{us}| = \lambda \simeq 0.22$
- \exists unitarity relations such as $V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$
 → V_{CKM} represented as unitarity triangle in the complex (ρ, η) -plane

up to $O(\lambda^4)$:

$$\begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$



Impact of LQCD on precision heavy flavour physics

Heavy quark sector constrains UT: angles & sides are related to hadronic matrix elements of $\mathcal{H}_{\text{weak}}^{(\text{eff})}$, corresponding to mesonic decays/transitions

$$\Delta m_d \propto F_{B_d}^2 \widehat{B}_{B_d} |V_{td} V_{tb}^*|^2 \quad \frac{\Delta m_s}{\Delta m_d} = \xi^2 \frac{m_{B_s}}{m_{B_d}} \frac{|V_{ts}|^2}{|V_{td}|^2} \quad \xi = F_{B_s} \sqrt{\widehat{B}_{B_s}} / F_{B_d} \sqrt{\widehat{B}_{B_d}}$$

- \exists large number of experimental data from heavy flavour-factories (CLEO, BaBar, Belle, LHCb, ...)
- Inputs of theory and predominantly LQCD computations needed to
 - ▶ interpret results of experimental measurements
 - ▶ determine / pin down heavy quark masses & CKM matrix elements
 - ▶ overconstrain unitarity relations \leftrightarrow unveiling New Physics effects

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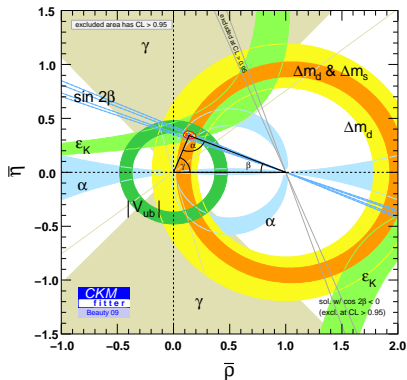
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$$\left(\begin{array}{ccc} \mathbf{V}_{ud} & \mathbf{V}_{us} & \mathbf{V}_{ub} \\ \pi \rightarrow \ell\nu & K \rightarrow \ell\nu & B \rightarrow \pi\ell\nu \\ & K \rightarrow \pi\ell\nu & \\ \mathbf{V}_{cd} & \mathbf{V}_{cs} & \mathbf{V}_{cb} \\ D \rightarrow \ell\nu & D_s \rightarrow \ell\nu & B \rightarrow D\ell\nu \\ D \rightarrow \pi\ell\nu & D \rightarrow K\ell\nu & B \rightarrow D^*\ell\nu \\ \mathbf{V}_{td} & \mathbf{V}_{ts} & \mathbf{V}_{tb} \\ B_d \leftrightarrow \bar{B}_d & B_s \leftrightarrow \bar{B}_s & \end{array} \right)$$

"Gold-plated" lattice processes

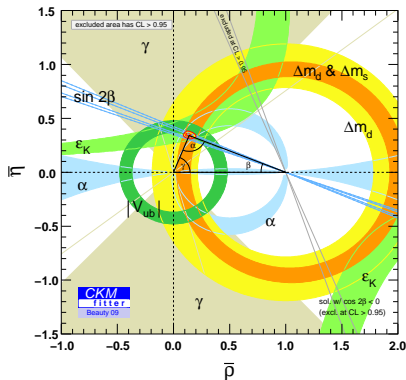
- 1 hadron in the initial state, 0 or 1 hadron in the final state
- stable hadrons (or narrow, far from threshold)
- controlled χ -extrapolation

Impact of LQCD on precision heavy flavour physics



- Constrain apex $(\bar{\rho}, \bar{\eta})$ as precisely as possible by independent processes
- Theory & Exp. sufficiently precise
 \Rightarrow New Physics = inconsistent $(\bar{\rho}, \bar{\eta})$
- LQCD inputs from the heavy sector:
 - ▶ B-meson decays & mixing: F_B, B_B
 - ▶ $B \rightarrow D^{(*)}$ decays:
 $F(1), G(1) \leftrightarrow |V_{cb}|$
 - ▶ semi-leptonic B-meson decays:
 $f_+(q^2) \leftrightarrow |V_{ub}|$

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What is the required precision for key contributions to phenomenology ?

- Experiments reach few-% level, even $\leq 5\%$ \Rightarrow theory error dominates $\Delta m_{d,s} < 1\%$ [PDG,CDF], $\mathcal{B}(D_{(s)} \rightarrow \mu\nu) \leq 4\%$ [CLEO-c], $\mathcal{B}(B \rightarrow D^* \ell\nu) : 1.5\%$ [HFAG]
- Lattice calculations with an accuracy of $O(5\%)$ or better required \rightarrow incl. *all* systematics (unquenching, extrapolations, renormalization, ...)
- **Verification/Agreement of results using different formulations crucial!**

Outline

- 1 **Lecture 1: Introduction to heavy quarks on the lattice**
 - Lattice QCD: Basics & Challenges
 - Effective theories for heavy quarks
 - Heavy Quark Effective Theory (HQET) → 2nd lecture
 - Non-Relativistic QCD (NRQCD)
 - "Fermilab" approach
 - Overview of lattice heavy quark formalisms
- 2 **Lecture 2: Non-perturbative Heavy Quark Effective Theory**
 - Introduction to HQET
 - Non-perturbative formulation of HQET
 - Mass dependence at leading order in $1/m$
 - Strategy to determine HQET parameters at $O(1/m)$
 - First physical results in two-flavour QCD
- 3 **Conclusions & Outlook**

Lecture 1

Introduction to heavy quarks on the lattice

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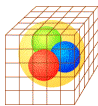
Lattice QCD — The principle

'Ab initio' approach to determine standard model parameters

$$\mathcal{L}_{\text{QCD}}[g_0, m_f] = -\frac{1}{2g_0^2} \text{Tr}\{F_{\mu\nu}F_{\mu\nu}\} + \sum_{f=u,d,s,\dots} \bar{\psi}_f \{\gamma_\mu (\partial_\mu + g_0 A_\mu) + m_f\} \psi_f$$

$$\underbrace{\begin{bmatrix} F_\pi \\ m_\pi \\ m_K \\ m_D \\ m_B \end{bmatrix}}_{\text{Experiment}}$$

$\mathcal{L}_{\text{QCD}}[g_0, m_f]$



$$\underbrace{\begin{bmatrix} \Lambda_{\text{QCD}} \\ M_u, M_d \\ M_s \\ M_c \\ M_b \end{bmatrix}}_{\text{QCD parameters (RGIs)}}$$

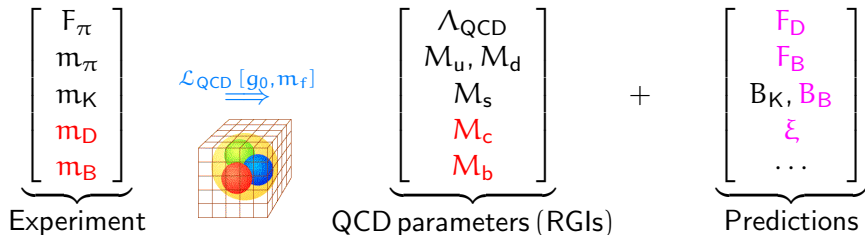
+

$$\underbrace{\begin{bmatrix} F_D \\ F_B \\ B_K, B_B \\ \xi \\ \dots \end{bmatrix}}_{\text{Predictions}}$$

Lattice QCD — The principle

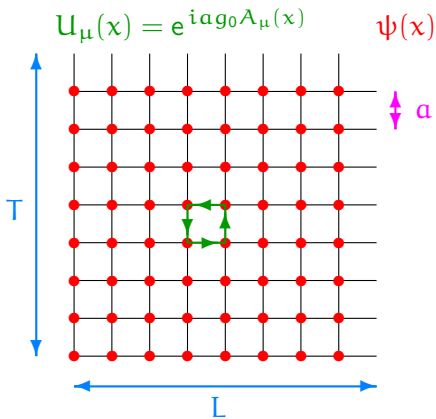
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Sources of systematic uncertainties in LQCD computations:

- Part of the vacuum polarization effects is missed, as long as u, d, s (and ideally also c) sea quarks are not incorporated
- Extrapolations to $m_{u,d}$ guided by χ PT to connect to the physical world
- Discretization errors, notably from heavy quarks: $O[(am_Q)^n]$ effects
- Perturbative vs. non-perturbative renormalization



- Lattice cutoff $a^{-1} \sim \Lambda_{UV}$

- Finite volume $L^3 \times T$

- Lattice action

$$S[U, \bar{\psi}, \psi] = S_G[U] + S_F[U, \bar{\psi}, \psi]$$

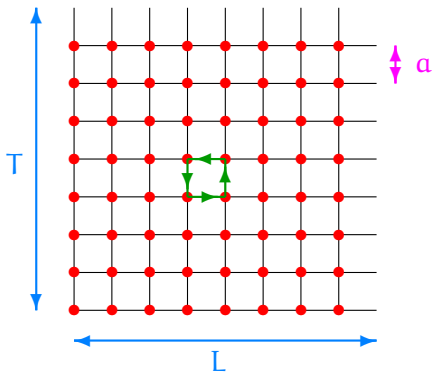
$$S_G = \frac{1}{g_0^2} \sum_p \text{Tr} \{ 1 - U(p) \}$$

$$S_F = a^4 \sum_x \bar{\psi}(x) D[U] \psi(x)$$

- Physical quantities:

Expectation values,
represented as path integrals

$$U_\mu(x) = e^{iag_0 A_\mu(x)} \quad \psi(x)$$



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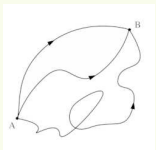
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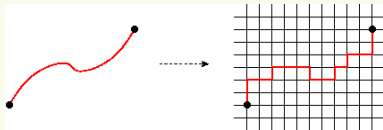
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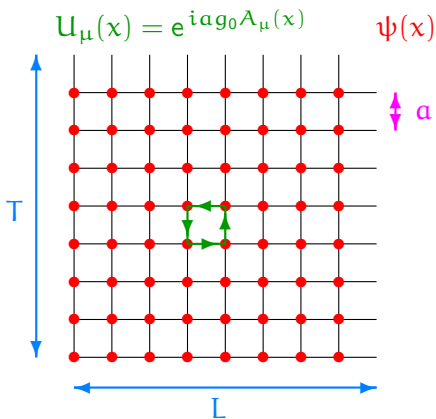
Feynman path (resp. functional) integral



$$\langle B | e^{-H\tau} | A \rangle = \int \mathcal{D}[x(t)] e^{-S_E[x]}$$

$$x(0) = A, \quad x(t) = B$$





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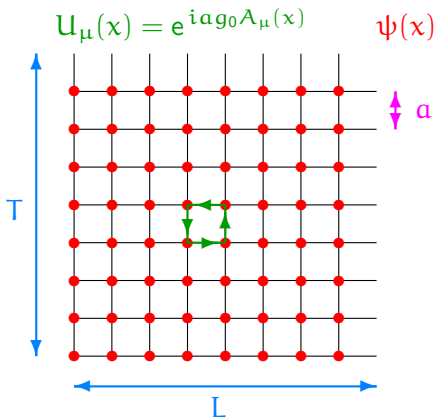
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- Physical quantities:

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$$Z = \int \mathcal{D}[U] \mathcal{D}[\bar{\psi}, \psi] e^{-S[U, \bar{\psi}, \psi]} = \int \mathcal{D}[U] \prod_f \det(\mathcal{D} + m_f) e^{-S_G[U]}$$

$$\langle O \rangle = \frac{1}{Z} \int \prod_{x,\mu} dU_\mu(x) O \prod_f \det(\mathcal{D} + m_f) e^{-S_G[U]} \hat{=} \text{thermal average}$$



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Stochastic evaluation with *Monte Carlo (MC) methods*

→ Observables $\langle O \rangle = \frac{1}{N} \sum_{n=1}^N O_n \pm \Delta_O$ from numerical simulations

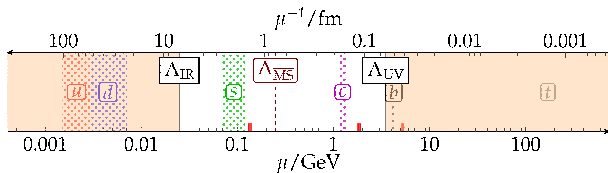
One of the challenges: The multi-scale problem

Predictivity in a quantum field theory relies upon a large scale ratio

interaction range \ll physical length scales

momentum cutoff \gg physical mass scales : $\Lambda_{\text{cut}} \sim \alpha^{-1} \gg E_i, m_j$

This is a challenge in QCD, which has many physical scales:



hierarchy of disparate physical scales to be covered:

$$\Lambda_{\text{IR}} = L^{-1} \ll m_{\pi}, \dots, m_D, m_B \ll \alpha^{-1} = \Lambda_{\text{UV}}$$

↓

$$\left\{ O(e^{-Lm_{\pi}}) \Rightarrow L \gtrsim \frac{4}{m_{\pi}} \sim 6 \text{ fm} \right\} \rightsquigarrow L/a \gtrsim 120 \rightsquigarrow \left\{ am_D \lesssim \frac{1}{2} \Rightarrow a \approx 0.05 \text{ fm} \right\}$$

↓

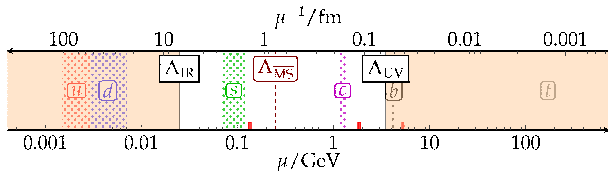
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\Rightarrow Difficult to satisfy simultaneously, clever technologies are required

- ▶ charm just doable, but lattice artefacts may be substantial
- ▶ given the today's computing resources, it seems impossible to work directly with relativistic b-quarks (resolving their propagation) on the currently simulated lattices
- ▶ the b-quark scale ($m_b/m_c \sim 4$) has to be separated from the others in a theoretically sound way before simulating the theory

Illustration: Cutoff effects in the charm sector

High-precision computation of the charm quark's mass and F_{D_s} ($N_f = 0$)

- Large volume and small lattice spacings: $a \approx (0.09 - 0.03)$ fm
- $O(a, am_{q,c})$ cutoff effects relevant & removed NP'ly
- Controlling the CL demands scaling study down to very fine lattices



Lattice artefacts may be large for charm physics

[H. & Jüttner, 2009]

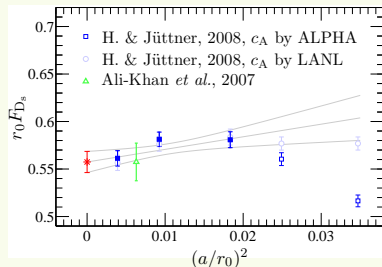
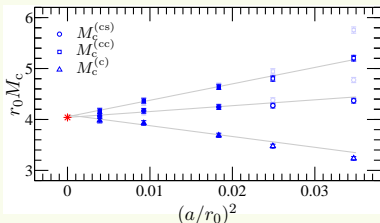


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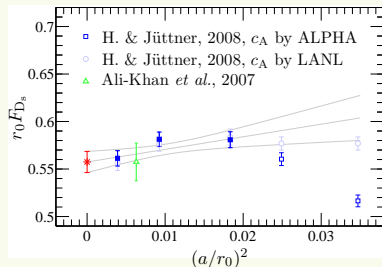
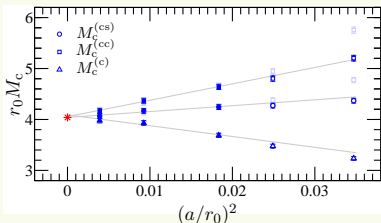
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[ALPHA
Collaboration]

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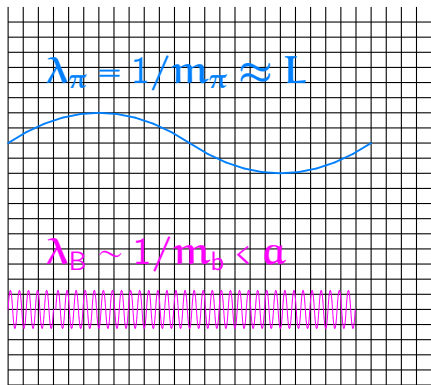
⇒ **Warning from F_{D_s} :**

Symanzik programme works for charm, but $a < 0.08$ fm mandatory

- ▶ Note: small lattice spacings are challenging for $N_f > 0$
- ▶ $M_b \simeq 4M_c$ s.th. beauty is not yet accomodated

→ for b-quarks: can't control $a \rightarrow 0$ his way, **effective theory needed**

Effective theories for heavy quarks — Why ?



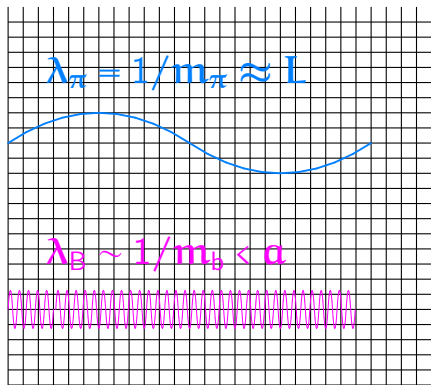
▶ Light quarks: too light

- ▶ Widely spread objects
- ▶ Finite-volume errors due to light pions

▶ b-quark: too heavy

- ▶ Extremely localized object
- ▶ B-mesons with a propagating b-quark on the lattice require finest resolutions ($a m_b \ll 1$), beyond today's computing resources; otherwise:
 - ◇ large discretization errors
 - ◇ "they fall through the lattice"

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⇒ Discretize an *effective* theory for the b-quark in heavy-light systems:

Heavy Quark Effective Theory

[Eichten, 1988; Eichten & Hill, 1990]

⇒ Discretize a non-relat. *effective* Lagrangian for heavy-heavy systems:

Non-Relativistic QCD

[Caswell & Lepage, 1986; Lepage & Thacker, 1988 & 1991]

Philosophy behind effective field theories (EFTs)

- EFTs have become increasingly popular in particle physics, because
 - ▶ they provide a realization of Wilson renormalization group ideas
 - ▶ they fully exploit the properties of local quantum field theories
- An EFT is a quantum field theory with the following properties:
 - ▶ it contains the *relevant* aspects (DOFs) of the "full theory" to describe phenomena occurring in a certain limited range of energies & momenta, while ignoring the *irrelevant* ones
 - ▶ it contains an intrinsic energy scale Λ (e.g., Λ_{QCD}) that sets the limit of its applicability

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- Lagrangian \mathcal{L}_{EFT} is organized in operators of increasing dimension
 - ⇒ it is in general non-renormalizable in the usual sense, but
 - ▶ it can be made finite to any finite order in $1/\Lambda$ by renormalizing (*matching*) the constants (*matching coefficients*) in front of the operators in \mathcal{L}_{EFT}
 - ▶ more renormalization conditions needed as order in $1/\Lambda$ increases
- Fixing these constants, e.g., by some experimental input(s), reduces but does not spoil the predictive power of the EFT

Concepts of EFTs for heavy quarks

- As effects of a heavy particle get irrelevant at low energy, it's useful to construct some "easier" *low-energy EFT*, where it no longer appears
 - ▶ particle physics example: Fermi's theory of weak interactions
 - ▶ limitation: with increasing E , structure of intermediate particles and interactions is more and more resolved s.th. EFT is no longer adequate
- Technically, integrate out the heavy field's DOFs in the generating functional of the Green functions of the theory
 - ▶ *non-local* effective action S_{EFT} , rewritten as series of *local* terms (OPE)
 - ▶ disentangle physics at long distances (i.e. low E), where S_{EFT} correctly reproduces the full theory, from that at short distances (i.e. high E)

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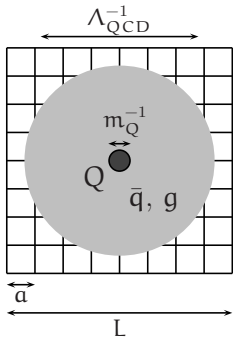
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- HQET & NRQCD distinguished by the way they classify interactions, as dictated by the physics (underlying dynamics) of heavy-light and heavy-heavy hadrons
 - ▶ HQET applies to heavy-light systems only
 - ▶ NRQCD can be used for both heavy-light and heavy-heavy systems (e.g., heavy quarkonia)
 - ▶ both receive power-law and logarithmic m_Q -dependences
 - ▶ only in HQET: $1/m_Q$ -terms can be dealt with as operator insertions

HQET — The physical picture

Energy scale governing dynamics of quarks & gluons inside *light* hadrons:

- QCD scale Λ_{QCD} , characterizing the momentum scale where the QCD coupling α_s becomes large

A *heavy* quark (Q) introduces a new scale \rightarrow different QCD dynamics :



In the **heavy-light meson** the motion of the heavy quark of mass m_Q is hardly affected by the light DOFs of typical momentum Λ_{QCD} , if $m_Q \gg \Lambda_{\text{QCD}}$

$$p_Q^\mu = m_Q v^\mu + k^\mu \quad v^2 = 1$$

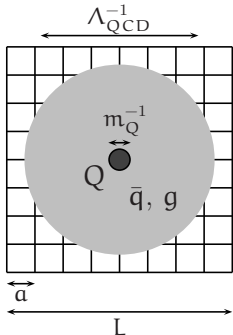
with residual momentum: $k \sim O(\Lambda_{\text{QCD}}) \ll m_Q v$

HQET — The physical picture

Energy scale governing dynamics of quarks & gluons inside *light* hadrons:

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Typical momentum scales in heavy-light ($Q\bar{q}$) mesons:

- Q almost at rest at bound state's center, surrounded by the light DOFs
- Motion of the heavy quark is suppressed by Λ_{QCD}/m_Q

HQET — The physical picture

More formally, e.g., in case of the B-meson system:

$\mathcal{L}_{\text{HQET}}$ = asymptotic $1/m_b$ -expansion of continuum QCD

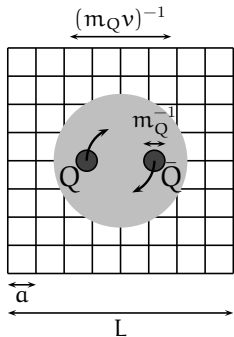
$$\bar{\psi}_b \{ \gamma_\mu D_\mu + m_b \} \psi_b \longrightarrow$$

$$\begin{aligned} \mathcal{L}_{\text{HQET}}(x) &= \bar{\psi}_h(x) \left[\underbrace{D_0 + m_b}_{\text{static limit}} - \underbrace{\omega_{\text{kin}}}_{\sim \frac{1}{m_b}} \mathbf{D}^2 - \underbrace{\omega_{\text{spin}}}_{\sim \frac{1}{m_b}} \boldsymbol{\sigma} \cdot \mathbf{B} \right] \psi_h(x) + \dots \\ &= \mathcal{L}_{\text{stat}}(x) + \mathcal{O}\left(\frac{1}{m_b}\right) \end{aligned}$$

- $P_+ \psi_h = \psi_h$ with $P_+ = \frac{1}{2} (1 + \gamma_0) \Rightarrow$ only 2 independent DOFs
- $\mathcal{L}_{\text{stat}}$ represents a non-moving heavy quark, acting only as a static color source
- Systematic & accurate expansion for $m_b/\Lambda_{\text{QCD}} \gg 1$
- Respects heavy quark spin-symmetry in the static limit
- . . .

NRQCD — The physical picture

The dynamics of *quarkonium* is governed by different energy scales:



Classically, in the **heavy-heavy meson** the non-relativistic kinetic energy $\langle p^2 \rangle / (2m_Q)$ and the potential energy $-\frac{4}{3}\alpha_s \langle \frac{1}{r} \rangle$ have to be balanced, and the heavy quarks move around each other

$\langle p \rangle$: typ. size of the relative spatial momentum

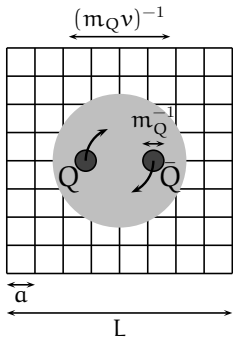
$\langle \frac{1}{r} \rangle$: typ. distance between Q and \bar{Q}

$\Rightarrow \langle p \rangle \sim \alpha_s m_Q$ (uncertainty relation $\langle p \rangle \sim \langle 1/r \rangle$)

\Rightarrow typical velocity $v \sim \langle p \rangle / m_Q \sim O(\alpha_s)$

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Typical momentum scales in heavy-heavy ($Q\bar{Q}$) mesons:

- Heavy quark's mass m_Q (hard)
- Spatial momentum $\langle p \rangle \approx m_Q v$ (soft)
- Binding energy $\langle p^2 \rangle / m_Q \approx m_Q v^2$ (ultrasoft)
- Scale hierarchy, ordered via velocity $v \ll 1$: $m_Q \gg m_Q v \gg m_Q v^2$

Effective Lagrangian for heavy quarks

Non-pert. QCD dynamics gets important only in low-energy regime ($\sim \Lambda_{\text{QCD}}$)

- ▶ idea: remove / separate dominant scale m_Q from low-energy DOFs
- ▶ sufficient to work with an *effective Lagrangian*, which only treats the low-energy part in the lattice simulation
- ▶ high-energy part may be reliably treated in perturbation theory

Consider a heavy hadron in its rest frame ($v^\mu = (1, 0)$) and decompose

$$p_Q^\mu = (m_Q + k^0, \mathbf{k})$$

⇒ Decomposition of the 4-component heavy quark Dirac spinor into

$$\psi_b = e^{-i m_Q t} \begin{pmatrix} \psi_h \\ \Psi_H \end{pmatrix} \quad \left. \begin{matrix} \psi_h \\ \Psi_H \end{matrix} \right\} = \begin{cases} e^{i m_Q t} P_+ \psi_b(x) \\ e^{i m_Q t} P_- \psi_b(x) \end{cases}$$

in terms of 2-component "large" and "small" spinors ψ_h and Ψ_H

- ▶ separates the phase factor with the trivial dependence on m_Q due to the heavy quark's free motion with $m_Q v^\mu$

Effective Lagrangian for heavy quarks

⇒ Dirac equation $(i\gamma^\mu D_\mu - m_Q)\psi_b = 0$ splits into two parts:

$$\begin{aligned}iD_0 \psi_h &= i\boldsymbol{\sigma} \cdot \mathbf{D} \Psi_H \\(2m_Q + iD_0) \Psi_H &= i\boldsymbol{\sigma} \cdot \mathbf{D} \psi_h\end{aligned}$$

⇒ small component field Ψ_H is suppressed w.r.t. ψ_h by a factor $\propto \frac{1}{m_Q}$

- ▶ upon neglecting the small time-dependence of Ψ_H (i.e. $iD_0 \Psi_H$) and substituting $\Psi_H = [i\boldsymbol{\sigma} \cdot \mathbf{D}/(2m_Q)] \psi_h$ into the 1st equation:

$$iD_0 \psi_h = - \left[\frac{\mathbf{D}^2}{2m_Q} + \frac{\boldsymbol{\sigma} \cdot g\mathbf{B}}{2m_Q} \right] \psi_h \quad (\text{NR Schrödinger eq. \& Pauli term})$$

g : QCD coupling, $B^i = \frac{1}{2}\epsilon^{ijk}F^{jk}$: magnetic QCD field strength components

- ▶ repeating this, finally translates into a (classical) Lagrangian as:

$$\begin{aligned}\mathcal{L}_{\text{heavy}} &= \bar{\Psi}_h \left[iD_0 + \frac{\mathbf{D}^2}{2m_Q} + \frac{\boldsymbol{\sigma} \cdot g\mathbf{B}}{2m_Q} + \frac{\mathbf{D} \cdot g\mathbf{E} - g\mathbf{E} \cdot \mathbf{D}}{8m_Q^2} \right. \\ &\quad \left. + \frac{\boldsymbol{\sigma} \cdot (i\mathbf{D} \times g\mathbf{E} - g\mathbf{E} \times i\mathbf{D})}{8m_Q^2} + \frac{(\mathbf{D}^2)^2}{8m_Q^3} + \dots \right] \psi_h\end{aligned}$$

The NRQCD Lagrangian

In a heavy-light hadron:

Light DOFs (light quarks and gluons) have $k \sim O(\Lambda_{\text{QCD}})$, while exchange of spatial momenta with Q occurs through $1/m_Q$ - and higher-order terms
 \Rightarrow motion of Q suppressed by powers of $\Lambda_{\text{QCD}}/m_Q \rightarrow$ HQET: 2nd lecture

In a heavy-heavy hadron:

- ▶ balance between potential and kinetic energy determines the momentum of the heavy (anti-)quark
- ▶ to satisfy the Pauli equation, one must have

$$\langle i D_0 \psi_h \rangle \sim \left\langle \frac{\mathbf{D}^2}{2m_Q} \psi_h \right\rangle$$

- ▶ the heavy quark potential, described by the Coulomb form, implies

$$\left\langle \frac{\alpha_s}{r} \right\rangle \sim \langle \alpha_s p \rangle \sim \frac{\langle p^2 \rangle}{m_Q} \Rightarrow \langle p \rangle \sim \alpha_s m_Q, \quad v \sim \frac{\langle p \rangle}{m_Q} \sim O(\alpha_s)$$

NRQCD is an effective theory constructed such as to explicitly separate the dominant energy scales $m_Q \gg m_Q v \gg m_Q v^2$

The NRQCD Lagrangian

Separation of the energy scales is provided by the *velocity* $v \ll 1$:

(heavy quark mass m_Q) \gg (momentum $m_Q v$) \gg (binding energy $m_Q v^2$)

\Rightarrow In NRQCD the counting of terms in $\mathcal{L}_{\text{heavy}}$ is done by powers of v :

- ▶ power counting of the various operators

$$\begin{array}{lll} \psi_h \sim (m_Q v)^{3/2} & \mathbf{D} \sim m_Q v & D_0 \sim m_Q v^2 \\ g\mathbf{E} \sim m_Q^2 v^3 & & g\mathbf{B} \sim m_Q^2 v^4 \end{array}$$

- ▶ therefore, the leading contributions in $\mathcal{L}_{\text{NRQCD}}$ are

$$\bar{\psi}_h (i D_0) \psi_h \quad \bar{\psi}_h \left(-\frac{\mathbf{D}^2}{2m_Q} \right) \psi_h$$

other terms are suppressed by a relative power v^2 , and higher-order ones can also be included systematically

- ▶ α_s to be evaluated at the momentum scale of the exchanged gluons, i.e., at $m_Q v \sim \alpha_s m_Q$

$\Rightarrow v^2$ -expansion effective for $b\bar{b}$ ($v^2 \sim 0.1$), but marginal for $c\bar{c}$ ($v^2 \sim 0.3$)

The NRQCD Lagrangian

Remarks

- Previous NR expansion of the heavy quark's Dirac Lagrangian corresponds to a *Foldy-Wouthuysen-Tani transformation (FWT)*
 - ⇒ decoupling of heavy quarks & anti-quarks in 2-comp. spinors $\psi_h, \psi_{\bar{h}}$
 - ◇ in QFT/functional integral language, the FWT trafo is just a change of variables (corresponding to integrating out the small component field Ψ_H)
 - ◇ physics described by theories before & after this trafo is the same up to neglected higher-order terms

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 - ◇ physics described by theories before & after this trafo is the same up to neglected higher-order terms
- NRCD = Expansion of the heavy quark action around its NR limit
 - ⇒ infinitely massive (static) quark is only a colour source, carries no spin
- $\mathcal{L}_{\text{HQET}}^{(n)}$ consists of all interactions of dimension $n + 4$,
 $\mathcal{L}_{\text{NRQCD}}^{(n)}$ consists of all terms that scale like v^{2+n}
 - ⇒ kinetic energy term $\bar{\psi}_h \left(-\frac{D^2}{2m_Q} \right) \psi_h$ is essential effect in NRQCD, but sub-leading in HQET
 - ◇ leading $O(m_Q v^2)$ terms: e.g., spin-independent splittings in quarkonia
 - ◇ relativistic $O(m_Q v^4)$ corrections:
 - spin-independent & spin-dependent contributions
 - spin-splittings are $O(v^2)$ smaller than spin-independent splittings

The NRQCD Lagrangian

Remarks

- Power-counting rules
 - ⇒ truncate # operators included in $\mathcal{L}_{\text{NRQCD}}$ at fixed order in v^2/c^2 ($\ll 1$)
- On the quantum level, ultraviolet divergences appear through loops, which render EFTs such as HQET and NRQCD non-renormalizable
 - ⇒ In practice, $\mathcal{L}_{\text{heavy}}$ is truncated at some finite order (in $1/m_Q$ or v^2) s.th.
 - ◇ # renormalization conditions is finite & calculations are feasible
 - ◇ # EFT parameters is still finite and its predictivity thus remains

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 - ◇ # renormalization conditions is finite & calculations are feasible
 - ◇ # EFT parameters is still finite and its predictivity thus remains
- Relevant effect of the integrated-out high-energy modes on the low-energy physics is encoded in *matching coefficients* c_i (multiplying the operators in $\mathcal{L}_{\text{heavy}}$) and new local interactions
 - ⇒ to obtain useful results: restrict momenta to $p < \Lambda < m_Q$ (Λ : cutoff)
 - ◇ $c_i = 1$ at tree-level
 - ◇ excluded momenta (e.g., in gluon loops) reappear in renormalization of the coefficients c_i
 - ◇ c_i are dominated by ultraviolet scales for $\Lambda \gg \Lambda_{\text{QCD}}$ and can thus be computed in perturbation theory by matching low-energy scattering amplitudes to full QCD to some order in α_s and p/m_Q

NRQCD on the lattice

The lattice discretization of $\mathcal{L}_{\text{NRQCD}}$ is straightforward

- ▶ Wick rotation to Euclidean space-time ($x^0 \equiv i x_4$)
- ▶ Covariant (forward and backward) derivatives:

$$\begin{aligned}\Delta_{\mu}^{(+)}\psi_h(x) &\equiv U_{\mu}(x)\psi_h(x + \hat{\mu}) - \psi_h(x) & \Delta_{\mu}^{(-)}\psi_h(x) &\equiv \dots \\ \Delta_{\mu}^{(\pm)} &\equiv \frac{1}{2}\left(\Delta^{(+)} + \Delta^{(-)}\right) & \Delta^{(2)} &\equiv \sum_i \Delta_i^{(+)}\Delta_i^{(-)}\end{aligned}$$

- ▶ Hamiltonian $H = H_0 + \delta H$ ordered in powers of Q's squared velocity:

$$H_0 = -\frac{\Delta^{(2)}}{2m_Q} \quad (\text{leading NR \& spin-independent, kinetic term})$$

$$\delta H = -c_1 \frac{(\Delta^{(2)})^2}{8m_Q^3} - c_2 \frac{\Delta^{(\pm)} \cdot g\mathbf{E} - g\mathbf{E} \cdot \Delta^{(\pm)}}{8m_Q^2}$$

(spin-independent relativistic corrections)

$$- c_3 \frac{\boldsymbol{\sigma} \cdot (\Delta^{(\pm)} \times g\mathbf{E} - g\mathbf{E} \times \Delta^{(\pm)})}{8m_Q^2} - c_4 \frac{\boldsymbol{\sigma} \cdot g\mathbf{B}}{2m_Q}$$

(leading spin-dependent corrections)

$$+ \dots$$

NRQCD on the lattice

- ▶ In the $m_Q \rightarrow \infty$ limit the heavy quark Q is static:
world line = string of $SU(3)$ link matrices in time direction (Wilson line)



- ▶ The heavy quark propagator (as a function of spatial indices) on a given time slice obeys an evolution equation:

$$\begin{aligned} U_{4,t} G_{t+1} - G_t &= -\alpha H G_t \\ \Leftrightarrow G_{t+1} &= U_{4,t-1}^\dagger (1 - \alpha H) G_t \end{aligned}$$

→ calculable on one pass through the lattice in the time direction

- ▶ **Explicit form of the lattice action via a time-evolution kernel K_t :**

$$\begin{aligned} S &= \sum_{t,x} \bar{\psi}_h(t, \mathbf{x}) [\psi_h(t, \mathbf{x}) - K_t \psi_h(t-1, \mathbf{x})] \\ K_t &= \left(1 - \frac{\alpha H_0}{2n}\right)_t^n \left(1 - \frac{\alpha \delta H}{2}\right)_t U_{4,t-1}^\dagger \left(1 - \frac{\alpha \delta H}{2}\right)_{t-1} \left(1 - \frac{\alpha H_0}{2n}\right)_{t-1}^n \end{aligned}$$

(parameter n affects only the cutoff scale and stabilizes the evolution equation by suppressing unphysical momenta s.th. reasonable $\alpha m_Q \sim O(1)$ can be reached)

NRQCD on the lattice

Remarks

- Coefficients c_i have to be determined s.th. $H = H_0 + \delta H$ matches the Hamiltonian of QCD
 - ⇒ adjustment to compensate for neglected high-momentum interactions
 - ◇ investigated in lattice perturbation theory by matching scattering amplitudes between lattice NRQCD and full QCD in the continuum
 - ◇ c_i have expansion in $\alpha_s(1/a)$, where the \mathbf{p}^2/m_Q -term in the heavy quark propagator gives additional explicit $1/(am_Q)$ -contributions (power ultraviolet divergences) that diverge as $a \rightarrow 0$
 - ◇ tadpole-improvement captures most of the renormalization of the c_i
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 - ◇ tadpole-improvement captures most of the renormalization of the c_i
 - actual computations mostly employ tadpole-improved tree-level c_i
- **Since NRQCD is non-renormalizable and the c_i diverge as $a \rightarrow 0$, the continuum limit cannot be taken**
 - ⇒ demonstrate results to be independent of the lattice spacing a within some limited scaling window, staying at relatively large a ($am_Q \gtrsim 0.8$)
- **Generically, lattice actions of EFTs contain higher-dimensional operators inducing $1/(am_Q)^n$ power divergences that spoil the CL in case of only *perturbative matching & renormalization***

Aspects of lattice NRQCD calculations

Problem of discretization errors:

Since the lattice spacing has to be kept finite in NRQCD, discretization errors must be corrected for (e.g., momenta are $O(1 \text{ GeV})$ in heavyonia)

- ▶ improved discretization of derivatives to include higher-order terms
- ▶ yields improvement terms to be added s.th. residual discretization errors become negligible against other sources of error:

$$\delta H \rightarrow \delta H + \delta H_{\text{disc}} \quad \delta H_{\text{disc}} = c_5 a^2 \frac{\sum_i \Delta_i^{(4)}}{24m_Q} - c_6 a \frac{(\Delta^{(2)})^2}{16nm_Q^2}$$

(only sensible to correct for discretization up to an order, which is comparable with the order of included relativistic corrections)

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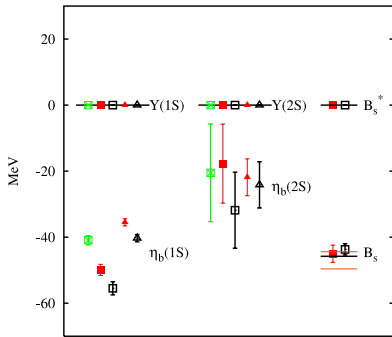
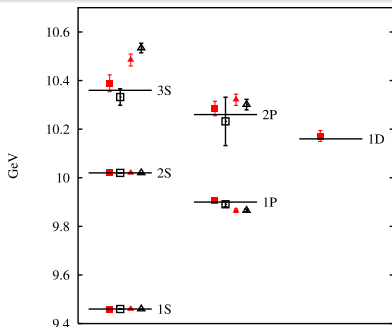
Meson correlation functions:

$\psi^\dagger, \chi^\dagger$: 2-component quark/anti-quark creation operators, Ω : (2×2) spin matrix

$$\langle (\chi \phi^\dagger \Omega^\dagger \psi)_T (\psi^\dagger \Omega \phi \chi^\dagger)_0 \rangle = \langle \text{Tr} [G \Omega^\dagger \phi^\dagger G^\dagger \phi \Omega] \rangle \xrightarrow{T \rightarrow \infty} \Phi_1 e^{-E_1 T} + \Phi_2 e^{-E_2 T} + \dots$$

→ smearing techniques to optimize computation of radial & orbital excitations

Typical applications of lattice NRQCD include . . .



- Heavy quarkonium spectra; radial, orbital & spin splittings, ...
- **Left:** radial & orbital levels of the bottomonium (Υ), hyperfine splittings in Υ , B_s in $(2+1)$ -flavour QCD
[from HPQCD, Gray et al., PRD(2005)094507]
- Heavy hybrid mesons
- Heavy-light (resp. B-meson) systems
 - ▶ different dynamics and power counting rules (i.e., in Λ_{QCD}/m_Q)
 - ▶ properties of states determined by the light quarks & glue
 - ▶ **Quantities under study:**
 - ◇ B-meson masses & splittings (B , B_s , B_c , B_c^*)
 - ◇ decay constants F_B , F_{B_s} ;
B-meson mixing parameters

[see recent work of HPQCD Collab., Davies et al.]

Adapts standard Wilson light fermion action plus higher-dim. operators (spatial derivatives) to reduce $(ap_Q)^n$ -errors & better match contin. QCD

- Different split of Symanzik's expansion into "large" + "small" suitable for $\alpha m_Q > 1$, rearrangement absorbed in short-distance coefficients of \mathcal{L}_{int}

$$\mathcal{L}_{\text{Symanzik}} = \mathcal{L}_{\text{gauge}} + \bar{\psi}_b \left(\gamma_4 D_4 + \sqrt{\frac{m_1}{m_2}} \boldsymbol{\gamma} \cdot \mathbf{D} + m_1 \right) \psi_b + \mathcal{L}'_{\text{int}}$$

- ▶ Coefficients are perturbative series in α_s , but to all orders in αm_Q
- ▶ rest mass & kinetic mass m_1, m_2 in $E(\mathbf{p}) = m_1 + \mathbf{p}^2/(2m_2) + \mathcal{O}(\mathbf{p}^4)$ differ sizably, lattice parameters to be NP'ly adjusted s.th. $m_1 = m_2$
- ▶ finite- α effects estimated via Symanzik effective theory interpretation

"Fermilab" approach

[El-Khadra, Kronfeld & Mackenzie, PRD55(1997)3933]

Adapts standard Wilson light fermion action plus higher-dim. operators (spatial derivatives) to reduce $(\alpha p_Q)^n$ -errors & better match contin. QCD

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- ▶ finite- α effects estimated via Symanzik effective theory interpretation
- Formalism covers entire mass regions (from light to heavy) with a single fermion action, achievable accuracy varies depending on αm_Q :
 - ▶ at *large* αm_Q (heavy quark regime) the Lagrangian becomes EFT-like, (i.e., its accuracy is estimated in terms of HQET/NRQCD counting rules)
 - ▶ at *small* αm_Q it reduces to Symanzik improvement of light quarks

⇒ Fermilab action "smoothly interpolates" the static & light quark

- As discretization & perturbative errors depend on αm_Q , continuum limit of B-meson observables is non-trivial and source of systematic uncertainty

Overview of lattice heavy quark formalisms

Lattice heavy quark physics has to deal with the presence of

strong lattice artefacts : $a m_c \lesssim 1$ $a m_b > 1$

Heavy quarks introduced as valence quarks = "Partially quenched" setting

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Relativistic formulations \rightarrow mainly for D-physics applications

- Wilson-like (clover or twisted mass) quarks
 - ▶ $O[(a m_c)^2]$ discretization effects
 - ▶ $a m_c \leq 1/2 \ll 1$ desirable ALPHA, ETMC
- Fermilab approach & its variants = RHQ actions
 - ▶ relativistic clover actions with HQET interpretation
 - ▶ adopted for charm & beauty FNAL & MILC, PACS-CS, RBC & UKQCD
- *Highly Improved Staggered Quarks* = HISQ [HPQCD, Follana et al., 2007]
 - ▶ perturbative Symanzik-improvement/smearing of the gauge fields
 \Rightarrow no tree-level $O(\alpha^2)$, $O[(a m_Q)^4, \alpha_s (a m_Q)^2]$ errors to LO in v/c
 - ▶ 1-loop taste-changing interactions reduced by a factor ~ 3
 - ▶ e.g., allows in principle a direct computation of heavy-to-light quark mass ratios $(\overline{m}_c/\overline{m}_s)$, as both fermion discretizations are the same
 - ▶ now also being tried towards the bottom region HPQCD

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Heavy quarks introduced as valence quarks = "Partially quenched" setting

Non-relativistic/ effective field theory strategies \rightarrow B-physics applications

- NRQCD = Discretized, non-relativistic expansion of continuum \mathcal{L}_D
 - ▶ improved through $O(1/m_Q^2, a^2)$ and leading relativistic $O(1/m_Q^3)$
 - ▶ $O[\alpha_s^n / (am_Q)]$ divergences HPQCD
- Static approximation = Leading-order HQET
 - ▶ HQET-guided extrapolations (HQ scaling laws) of relativistic simulations in the charm regime, turning into interpolations if the static limit known
 - ▶ also in conjunction with finite-volume / finite-size scaling techniques INFN-TOV, ALPHA, ETMC
- HQET for the b-quark = Systematic expansion in Λ_{QCD}/m_b
 - ▶ NP fine-tuning of parameters to $O(1/m_b)$ & impr. statistical precision
 - ▶ connect different volumes iteratively with "step scaling functions" ALPHA

Overview of light sea quark configurations in use

[in current studies of lattice heavy quark physics]

Quenched approximation ($N_f = 0$)

- No dynamical fermions, not suitable for phenomenology
- Still useful test laboratory, e.g., to understand methodologies etc.

Two-flavour QCD ($N_f = 2$)

- NP'ly $O(\alpha)$ improved Wilson (= clover) fermions ALPHA, QCDSF
 - ▶ theoretically sound and "simple"
 - ▶ algorithmic progress (e.g., "Hasenbusch trick" and M. Lüscher's DD-HMC) render simulations competitive in the chiral regime
- Twisted mass Wilson fermions ETMC
 - ▶ tree-level Symanzik-improved gluon action
 - ▶ $O(\alpha)$ improved by tuning to maximal twist; keep an exact χ -symmetry at the price of breaking part of the flavour symmetries and parity
- Stout-smearred, chirally improved fermions BGR
 - ▶ 1-loop improved Lüscher-Weisz gluon action

Overview of light sea quark configurations in use

[in current studies of lattice heavy quark physics]

Three-flavour QCD ($N_f = 2 + 1$)

- AsqTad-improved staggered quarks MILC & FNAL, HPQCD
 - ▶ Lüscher-Weisz-improved gluon action
 - ▶ computationally "cheap", permit simulations within the chiral regime
 - ▶ debated rooting prescription $[\det^{(4)}(D_{st} + m)]^{\frac{1}{4}} \equiv \det^{(1)}(\gamma_\mu D_\mu + m)$, but effects seem to disappear in the CL; results agree with experiment
- Domain wall fermions RBC & UKQCD
 - ▶ Iwasaki gauge action
 - ▶ chirality preserving (realized as 5th dimension $L_s = \infty$)
- NP'ly $O(a)$ improved Wilson fermions PACS-CS
 - ▶ Iwasaki gauge action

Four-flavour QCD ($N_f = 2 + 1 + 1$)

in progress, e.g., by ETMC

Light *valence* quarks usually discretized in the same way as the sea

Summary of current LHQP calculations

| group | α [fm] | $m_{\pi}^{(\min)}$ [MeV] | q | Q |
|-------------------|--------------------------|--------------------------|--------|----------------|
| $N_f = 2$ | | | | |
| ETMC | 0.05, 0.065, 0.085, 0.10 | 270 | TM | static/TM |
| Regensburg | 0.08 | 170 | clover | clover |
| ALPHA | 0.08, 0.07, 0.05 | 250 | clover | static + 1/m |
| $N_f = 2 + 1$ | | | | |
| FNAL & MILC I | 0.09, 0.12, 0.15 | 230 | AsqTad | Fermilab |
| FNAL & MILC II | 0.06, 0.09, 0.12, 0.15 | 230 | AsqTad | Fermilab |
| HPQCD I | 0.09, 0.12 | 260 | AsqTad | NRQCD |
| HPQCD II | 0.09, 0.12, 0.15 | 320 | HISQ | NRQCD |
| HPQCD III | 0.045, 0.06, 0.09, ... | 320 | HISQ | HISQ |
| RBC & UKQCD | 0.08, 0.11 | 330 (300) | DW | static/RHQ |
| PACS-CS | 0.09 | 200 | clover | RHQ |
| $N_f = 2 + 1 + 1$ | | | | |
| ETMC | 0.06, 0.079, 0.09 | 270 (230) | TM | Osterw.-Seiler |

static \equiv smeared static (HYP, APE)

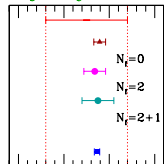
[Status: Lattice Conference 2010]

A glimpse of the status of B-physics parameters

Heavy quark masses

= Inputs to many (B)SM calculations

$\bar{m}_c^{\text{MS}}(\bar{m}_c)$ [GeV]



PDG-2008

Karlsruhe-'09, QCD SRs

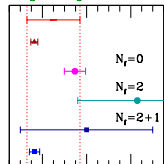
ALPHA-'09, Wilson-clover

ETMC-'10, TM Wilson

HPQCD-'10, HISQ + CCCF's

1.1 1.2 1.3 1.4

$\bar{m}_b^{\text{MS}}(\bar{m}_b)$ [GeV]



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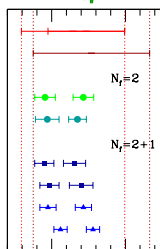
HPQCD-'10, HISQ + CCCF's

4.2 4.4 4.6

Hadronic weak matrix elements F_B & F_{B_s}

→ Extract $|V_{ub}|$ via $\underbrace{\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)}_{\text{experiment}} \propto \underbrace{|V_{ub}|^2}_{\text{lattice}} F_B^2$

F_B F_{B_s} [MeV]



Belle-'06/'08 (via $|V_{ub}|$)

BaBar-'08/'09 (via $|V_{ub}|$)

ETMC-'09, TM charm + stat.

ETMC-'09, HQ scaling laws

HPQCD-'09, NRQCD

HPQCD-'09, NRQCD + new r_1

FNAL&MILC-'08, Fermilab

FNAL&MILC-'10, Fermilab

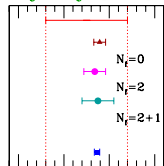
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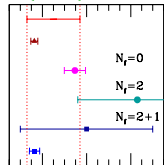
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Caveat:

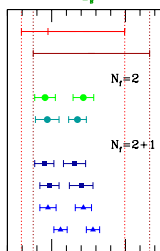
Lattice computations based on NRQCD, Fermilab and HQ scaling laws are standard, however, they all involve *perturbative* renormalization / matching

⇒ Is this accurate enough for precision flavour physics ?

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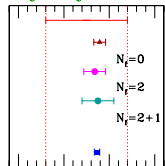
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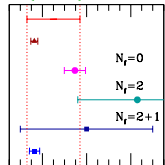
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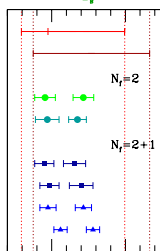
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⇒ Are the claimed small (particularly systematic) errors too optimistic?

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200 300

Non-perturbative Heavy Quark Effective Theory

- ▶ Introduction to HQET
- ▶ Non-perturbative formulation of HQET
- ▶ Mass dependence at leading order in $1/m$
- ▶ Strategy to determine HQET parameters at $O(1/m)$
- ▶ First physical results in two-flavour QCD
→ PoS LATTICE2010 (2010) 308 & in progress by

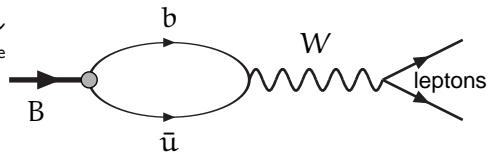
Motivation — Precision CKM physics

● F_B

▶ $\underbrace{\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)}_{\text{experiment}} \propto |V_{ub}|^2 \underbrace{F_B^2}_{\text{lattice}}$

▶ Process is sensitive probe of charged Higgs boson effects

▶ 1.9 σ deviation of exp. determ. from LQCD (when using $|V_{ub}|$ exclusive from the lattice)



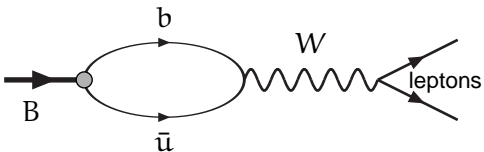
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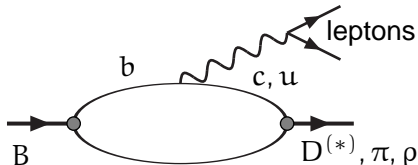
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Semi-leptonic decay form factor $B \rightarrow D^* \ell \nu_\ell$

- ▶ Determination of $|V_{cb}|$, which normalizes the whole UT
- ▶ $\sim 2.3\sigma$ tension between inclusive and exclusive $|V_{cb}|$ (latter relying on $B \rightarrow D^* \ell \nu_\ell$ from FNAL & MILC 2008)

Introduction to HQET

HQET is constructed to provide a simplified description of processes, in which a heavy quark (Q) strongly interacts with light DOFs by exchange of soft gluons that can only resolve distances $\gg 1/m_Q \Leftrightarrow EFT$

$m_Q \gg \Lambda_{\text{QCD}} =$ high-energy scale

$\Lambda_{\text{QCD}} \sim 1/R_{\text{had}} =$ low-energy scale of hadronic physics of interest

Lagrangian = systematic expansion in powers of Λ_{QCD}/m_Q

$\lambda_Q \sim 1/m_Q \ll R_{\text{had}} \sim 1 \text{ fm} \Rightarrow m_Q$ unimportant for low- E properties of $Q\bar{q}$

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- ▶ Light DOFs are blind to flavour & spin of Q and only experience its colour field extending over large distances because of confinement
- ▶ *Heavy quark symmetry*: invariance under changes of flavour & spin orientation of Q (leading symmetry breaking corrections at $O(1/m_Q)$)

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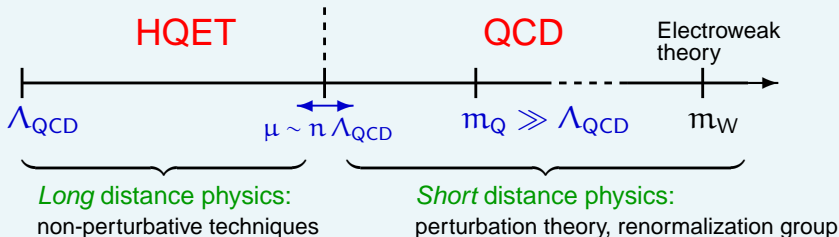
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Heavy Quark Effective Theory



Introduction to HQET

Derivation of the HQET Lagrangian :

Start from the Euclidean Dirac-Lagrangian in the continuum

$$\mathcal{L} = \bar{\psi}(D_{\mu}\gamma_{\mu} + m)\psi = \psi^{\dagger}\mathcal{D}\psi$$

$$\mathcal{D} \equiv m\gamma_0 + D_0 + \gamma_0 D_k \gamma_k$$

At the classical level:

One can assume smooth fields and thus can perform an expansion in D_{μ} , counting $D_{\mu} = O([1/m]^0)$

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Kinematical situation

- Dynamics of a hadron at rest containing one heavy quark
- $m = \infty$: heavy quark propagates only in time

$$\Rightarrow \quad D_0/m = O(1) \quad D_k/m = O(\epsilon)$$

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- At lowest order, the "large components" (anti-)quark field propagates forward (backward) in time:

$$\begin{aligned} P_+ \psi_h &= \psi_h & \bar{\psi}_h P_+ &= \bar{\psi}_h & P_\pm &= \frac{1 \pm \gamma_0}{2} \\ P_- \psi_{\bar{h}} &= \psi_{\bar{h}} & \bar{\psi}_{\bar{h}} P_- &= \bar{\psi}_{\bar{h}} \end{aligned}$$

Introduction to HQET

Quark and anti-quark fields are connected by the $O(1/m)$ terms in \mathcal{L}

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_h^{\text{stat}} + \mathcal{L}_{\bar{h}}^{\text{stat}} + O(1/m) \\ \mathcal{L}_h^{\text{stat}} &= \bar{\psi}_h(D_0 + m)\psi_h \quad \mathcal{L}_{\bar{h}}^{\text{stat}} = \bar{\psi}_{\bar{h}}(-D_0 + m)\psi_{\bar{h}}\end{aligned}$$

but they can be decoupled through a field rotation (Foldy-Wouthuysen transformation):

$$\begin{aligned}\psi &\rightarrow \phi = e^S \psi & \psi^\dagger &\rightarrow \phi^\dagger = \psi^\dagger e^{-S} \\ \Rightarrow \mathcal{L} &= \phi^\dagger \mathcal{D}' \phi \\ \text{with } \mathcal{D}' &= e^S \mathcal{D} e^{-S}, \quad S \equiv \frac{1}{2m} D_k \gamma_k = -S^\dagger = O(1/m)\end{aligned}$$

(Recall that $\mathcal{D} = O(m)$ and that in this way the $D_k \gamma_k$ -term is rotated away)

Introduction to HQET

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Explicitly:

$$\begin{aligned} \mathcal{D}' &= \mathcal{D} + \frac{1}{2m} [D_k \gamma_k, \mathcal{D}] + \frac{1}{8m^2} [D_l \gamma_l, [D_k \gamma_k, \mathcal{D}]] + \mathcal{O}(1/m^2) \\ &= \mathcal{D} + \frac{1}{2m} [D_k \gamma_k, \mathcal{D}] - \frac{1}{4m} [D_l \gamma_l, \gamma_0 D_k \gamma_k] + \mathcal{O}(1/m^2) \\ &= \gamma_0 \left\{ \gamma_0 D_0 + m + \frac{1}{2m} \left(-D_k D_k - \frac{1}{2i} F_{kl} \sigma_{kl} \right) + \frac{1}{2m} F_{k0} \gamma_0 \gamma_k \right\} \\ &\quad + \mathcal{O}(1/m^2) \end{aligned}$$

$$\mathcal{L} = \mathcal{L}_h^{\text{stat}} + \mathcal{L}_{\bar{h}}^{\text{stat}} + \frac{1}{2m} \left\{ \mathcal{L}_h^{(1)} + \mathcal{L}_{\bar{h}}^{(1)} + \mathcal{L}_{h\bar{h}}^{(1)} \right\} + \mathcal{O}(1/m^2)$$

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with

$$\begin{aligned}\mathcal{L}_h^{(1)} &= \bar{\psi}_h \left(-D_k D_k - \frac{1}{2i} F_{kl} \sigma_{kl} \right) \psi_h \\ &= -\frac{1}{2m} \bar{\psi}_h (\mathbf{D}^2 + \boldsymbol{\sigma} \cdot \mathbf{B}) \psi_h \equiv -\frac{1}{2m} (\mathcal{O}_{\text{kin}} + \mathcal{O}_{\text{spin}})\end{aligned}$$

$$\sigma_{\mu\nu} = \frac{i}{2} [\gamma_\mu, \gamma_\nu] \quad F_{kl} = [D_k, D_l]$$

Introduction to HQET

- Only double insertions of $\mathcal{L}_{h\bar{h}}^{(1)}$ contribute for heavy-light hadrons
 $\Rightarrow O(1/m^2)$ and may be dropped in \mathcal{L} here
- $\mathcal{L} \equiv \mathcal{L}_{\text{eff}} =$ low-energy effective Lagrangian
 - describes long wave length modes of the fields accurately and has truncation errors of increasing relevance for shorter wave lengths
 - removal of the mass terms
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 - are not smooth, but rather than modifying the structure of \mathcal{L}_{eff} , the coefficients of the various terms receive non-trivial renormalizations due to these *short-distance* fluctuations
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- Therefore:
Prefactors of the various operators to be determined by a non-trivial (— ideally non-perturbative —) matching of HQET to QCD in the quantum theory

The effective quantum field theory

$\mathcal{L}_h^{\text{stat}}$ contains local fields of a mass dimension $d \leq 4$

\Rightarrow power-counting renormalizable, counterterms restricted by symmetries

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● Symmetries of the static theory:

- ▶ heavy quark spin-symmetry
- ▶ local conservation of heavy quark flavour number
- ▶ gauge invariance, parity & cubic symmetry

⇒ Only one invariant counterterm that is $\propto \bar{\psi}_h \psi_h$:

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h (D_0 + \delta m) \psi_h \quad (\text{continuum}) \text{ quantum Lagrangian}$$

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Lattice formulation:

Straightforward discretization prescriptions

$$D_0 \rightarrow \nabla_0^* : \text{backward lattice derivative} \quad , \quad D_k D_k \rightarrow \nabla_k^* \nabla_k \quad , \quad F_{kl} \rightarrow \hat{F}_{ij}$$

The effective quantum field theory

Lattice formulation :

- Static quark lattice action

$$S_h[W, \bar{\psi}_h, \psi_h] = a^4 \frac{1}{1 + a \delta m} \sum_x \bar{\psi}_h(x) (\nabla_0^* + \delta m) \psi_h(x)$$
$$\nabla_0^* \psi_h(x) = \frac{1}{a} [\psi_h(x) - W^\dagger(x - a\hat{0}, 0) \psi_h(x - a\hat{0})]$$

$W(x, 0) = U(x, 0)$: Eichten-Hill action, but more clever choices for parallel transporters are possible

[ , 2004 & 2005]

- Static quarks propagate only forward in time

$$\Rightarrow \Delta_h(x, y) = W(x - a\hat{0}, 0)^{-1} W(x - 2a\hat{0}, 0)^{-1} \dots W(y, 0)^{-1}$$
$$\times \theta(x_0 - y_0) \delta(\mathbf{x} - \mathbf{y}) (1 + a \delta m)^{-(x_0 - y_0)/a} P_+$$

(timelike Wilson line, δm cancels divergence in the static quark self-energy)

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[ , 2004 & 2005]

- **$O(a)$ improvement:**

Preserving on the lattice the above symmetries of the static theory guarantees that both universality class and $O(a)$ improvement are unchanged w.r.t. the static action, i.e. the static-light action is already improved if the light quark sector is


[Kurth & Sommer, 2001]

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$$S_h[W, \bar{\psi}_h, \psi_h] = a^4 \frac{1}{1 + a \delta m} \sum_x \bar{\psi}_h(x) (\nabla_0^* + \delta m) \psi_h(x)$$
$$\nabla_0^* \psi_h(x) = \frac{1}{a} [\psi_h(x) - W^\dagger(x - a\hat{0}, 0) \psi_h(x - a\hat{0})]$$

$W(x, 0) = U(x, 0)$: Eichten-Hill action, but more clever choices for parallel transporters are possible [ , 2004 & 2005]

- $O(a)$ improvement:

Preserving on the lattice the above symmetries of the static theory guarantees that both universality class and $O(a)$ improvement are unchanged w.r.t. the static action, i.e. the static-light action is already improved if the light quark sector is [Kurth & Sommer, 2001]

- Renormalization:

energy shift δm included but $\delta m \propto 1/a$ for dimensional reasons (!)

The effective quantum field theory

Composite fields involving b-quarks also translate to the effective theory:

$$A_0(x) = \bar{\psi}_l(x) \gamma_0 \gamma_5 \psi_b(x) \quad \longrightarrow \quad A_0^{\text{stat}} = \bar{\psi}_l(x) \gamma_0 \gamma_5 \psi_h(x)$$

A_0  : Correlation function of the axial current

$$\int d^3x \langle A_0(x) A_0^\dagger(0) \rangle_{\text{QCD}} \stackrel{x_0 \gg 1/M_b}{\sim} [C_{\text{PS}}(M_b/\Lambda)]^2 \int d^3x \langle A_0^{\text{stat}}(x) (A_0^{\text{stat}})^\dagger(0) \rangle_{\text{stat}} + \mathcal{O}(1/M_b) \quad \Lambda \equiv \Lambda_{\text{QCD}}$$

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: Correlation function of the axial current

$$\int d^3x \left\langle A_0(x) A_0^\dagger(0) \right\rangle_{\text{QCD}} \stackrel{x_0 \gg 1/M_b}{\sim} [C_{\text{PS}}(M_b/\Lambda)]^2 \int d^3x \left\langle A_0^{\text{stat}}(x) (A_0^{\text{stat}})^\dagger(0) \right\rangle_{\text{stat}} + \mathcal{O}(1/M_b) \quad \Lambda \equiv \Lambda_{\text{QCD}}$$

Generic structure of the HQET-expansion of QCD matrix elements

$$\Phi = \langle B | A_0 | 0 \rangle : \quad \Phi^{\text{QCD}} \equiv F_B \sqrt{m_B} = \underbrace{C_{\text{PS}}(M_b/\Lambda)}_{\substack{\text{conversion function} \\ \leftarrow \text{renormalization}}} \times \underbrace{\Phi_{\text{RGI}}^{\text{stat}}}_{\substack{\text{RGI matrix element} \\ \text{in effective theory}}} + \mathcal{O}(1/M_b)$$

- **In HQET:** Absence of chiral symmetry as it is met in (massless) QCD implies a scale dependence $\Phi^{\text{stat}}(\mu) \equiv Z_A^{\text{stat}}(\mu) \langle B | A_0^{\text{stat}} | 0 \rangle$
- $M_b =$ scale & scheme independent (RG-invariant) quark mass

Non-perturbative formulation of HQET

Action: $S_{\text{HQET}}(\chi) = a^4 \sum_{\mathbf{x}} \mathcal{L}_{\text{HQET}}(\chi)$ for the b-quark (zero velocity HQET)

[Eichten, 1988; Eichten & Hill, 1990]

$$\mathcal{L}_{\text{HQET}}(\chi) = \mathcal{L}_{\text{stat}}(\chi) - \omega_{\text{kin}} \mathcal{O}_{\text{kin}}(\chi) - \omega_{\text{spin}} \mathcal{O}_{\text{spin}}(\chi)$$

$$\mathcal{L}_{\text{stat}}(\chi) = \bar{\psi}_h(\chi) [D_0 + m_{\text{bare}}] \psi_h(\chi) \quad \frac{1}{2}(1 + \gamma_0)\psi_h(\chi) = \psi_h(\chi)$$

$$\mathcal{O}_{\text{kin}}(\chi) = \bar{\psi}_h(\chi) \mathbf{D}^2 \psi_h(\chi)$$

→ kinetic energy from heavy quark's residual motion

$$\mathcal{O}_{\text{spin}}(\chi) = \bar{\psi}_h(\chi) \boldsymbol{\sigma} \cdot \mathbf{B} \psi_h(\chi)$$

→ chromomagnetic interaction with the gluon field

Composite fields: axial current, related to the B-meson decay constant

$F_B \sqrt{m_B} = \langle B(\mathbf{p} = 0) | A_0(0) | 0 \rangle$, where $A_0 = \bar{\psi}_1 \gamma_0 \gamma_5 \psi_b \rightarrow A_0^{\text{HQET}}$

$$A_0^{\text{HQET}}(\chi) = Z_A^{\text{HQET}} \left[A_0^{\text{stat}}(\chi) + c_A^{\text{HQET}} \delta A_0^{\text{stat}}(\chi) \right]$$

$$A_0^{\text{stat}}(\chi) = \bar{\psi}_1(\chi) \gamma_0 \gamma_5 \psi_h(\chi)$$

$$\delta A_0^{\text{stat}}(\chi) = \bar{\psi}_1(\chi) \frac{1}{2} (\overleftarrow{\nabla}_i + \overleftarrow{\nabla}_i^*) \gamma_i \gamma_5 \psi_h(\chi)$$

EVs = Functional integral representation at the quantum level :

$$\langle O \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}[\varphi] O[\varphi] e^{-(S_{\text{rel}} + S_{\text{HQET}})} \quad \mathcal{Z} = \int \mathcal{D}[\varphi] e^{-(S_{\text{rel}} + S_{\text{HQET}})}$$

Instead of including the NLO term in $1/m$ of $\mathcal{L}_{\text{HQET}}$ in the action (as this theory wouldn't be renormalizable), the *FI weight* is expanded in a *power series* in $1/m$

$$\exp\{-S_{\text{HQET}}\} =$$

$$\exp\left\{-a^4 \sum_x \mathcal{L}_{\text{stat}}(x)\right\} \\ \times \left\{1 - a^4 \sum_x \mathcal{L}^{(1)}(x) + \frac{1}{2} [a^4 \sum_x \mathcal{L}^{(1)}(x)]^2 - a^4 \sum_x \mathcal{L}^{(2)}(x) + \dots\right\}$$

$$\Rightarrow \langle O \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}[\varphi] e^{-S_{\text{rel}} - a^4 \sum_x \mathcal{L}_{\text{stat}}(x)} O \left\{1 - a^4 \sum_x \mathcal{L}^{(1)}(x) + \dots\right\}$$

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Explicitly:

$$\langle O \rangle = \langle O \rangle_{\text{stat}} + \omega_{\text{kin}} a^4 \sum_x \langle O \mathcal{O}_{\text{kin}}(x) \rangle_{\text{stat}} + \omega_{\text{spin}} a^4 \sum_x \langle O \mathcal{O}_{\text{spin}}(x) \rangle_{\text{stat}}$$

$$\equiv \langle O \rangle_{\text{stat}} + \omega_{\text{kin}} \langle O \rangle_{\text{kin}} + \omega_{\text{spin}} \langle O \rangle_{\text{spin}}$$

$$\langle O \rangle_{\text{stat}} = \frac{1}{\mathcal{Z}} \int_{\text{fields}} O \exp\left\{-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_{\text{h}}^{\text{stat}}(x)]\right\}$$

EVs = Functional integral representation at the quantum level :

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Important implications of this definition of HQET

- $1/m$ -terms appear only as *insertions* of local operators in CFs
⇒ Power counting: **Renormalizability** at any given order in $1/m$
- ⇔ Existence of the **continuum limit** with **universality**
- Effective theory = **Continuum asymptotic** expansion in $1/m$ of QCD

Renormalization & Matching

Renormalization

- The mixing of operators of different dimension in $\mathcal{L}_{\text{HQET}}$ induces power divergences [Maiani, Martinelli & Sachrajda, 1992]
 - $\mathcal{L}_{\text{stat}}$: linearly divergent additive mass renormalization δm originates from mixing of $\bar{\psi}_h D_0 \psi_h$ with $\bar{\psi}_h \psi_h \Rightarrow E_{h,\bar{h}}^{\text{QCD}} = E_{h,\bar{h}}^{\text{stat}}|_{\delta m=0} + m_{\text{bare}}$
$$m_{\text{bare}} = \delta m + m, \quad \delta m = \frac{c(g_0)}{a} \sim e^{1/(2b_0 g_0^2)} \times \{c_1 g_0^2 + c_2 g_0^4 + \dots\}$$
 - PT: uncertainty = truncation error $\sim e^{1/(2b_0 g_0^2)} c_{n+1} g_0^{2n+2} \xrightarrow{g_0 \rightarrow 0} \infty!$
 - ⇒ Non-perturbative $c(g_0)$ needed, i.e., *NP renormalization of HQET (resp. fixing of its parameters) required for the continuum limit to exist*
- Power-law divergences even worse at the level of $1/m$ -corrections: $a^{-1} \rightarrow a^{-2}$ (e.g., δm picks up a contribution $a^{-2} \omega_{\text{kin}}$)

Matching

- The finite parts of renormalization constants must be fixed s.th. the effective theory describes the underlying theory, QCD
- Proper conditions for these must be imposed from QCD with finite m_b

Mass dependence at leading order in $1/m$

The rôle of perturbative anomalous dimensions

Consider matrix elements of composite fields involving b-quarks as, e.g., obtained from a QCD correlation function of the heavy-light axial current

$$\begin{aligned} C_{AA}^{\text{QCD}}(\chi_0) &= Z_A^2 \alpha^3 \sum_{\mathbf{x}} \langle A_0(\mathbf{x})(A_0)^\dagger(0) \rangle_{\text{QCD}} \\ [\Phi^{\text{QCD}}]^2 &\equiv F_B^2 m_B = |\langle B | Z_A A_0 | 0 \rangle|^2 \\ &= \lim_{\chi_0 \rightarrow \infty} \left[2 \exp \{ \chi_0 m_B^{\text{eff}}(\chi_0) \} C_{AA}^{\text{QCD}}(\chi_0) \right] \end{aligned}$$

- ▶ B-meson state dominates spectral representation of C_{AA}^{QCD} at large χ_0
- ▶ $Z_A(g_0)$ fixed by chiral Ward identities, renormalization scale independent

In the static approximation this translates into

$$[\Phi(\mu)]^2 = |\langle B | Z_A^{\text{stat}} A_0^{\text{stat}} | 0 \rangle|^2 = \lim_{\chi_0 \rightarrow \infty} \left[2 \exp \{ \chi_0 E_{\text{stat}}^{\text{eff}}(\chi_0) \} C_{AA}^{\text{stat}}(\chi_0) \right]$$

- ▶ Absence of chiral symmetry in HQET implies a scale dependence
→ μ -dependence in $Z_A^{\text{stat}}(g_0, \alpha\mu) = 1 + g_0^2 [B_0 - \gamma_0 \ln(\alpha\mu)] + O(g_0^4)$
- ▶ Better alternative: work with the RGI operator $(A_{\text{RGI}}^{\text{stat}})_0$

How does one get from $\Phi_{\text{RGI}} = Z_{\text{A,RGI}}^{\text{stat}} \langle B | A_0^{\text{stat}} | 0 \rangle$ to F_B ?

QCD

$$Z_A \langle B | A_0(0) | 0 \rangle_{\text{QCD}}$$

$$F_B \sqrt{m_B}$$

LO HQET

$$C_{\text{PS}}(M_b/\Lambda) Z_{\text{A,RGI}}^{\text{stat}} \langle B | A_0^{\text{stat}}(0) | 0 \rangle_{\text{stat}}$$

$$F_B \sqrt{m_B} + \mathcal{O}(1/m_b)$$

- ▶ Renormalization problem solved non-perturbatively (via interm. SF scheme)

$\Rightarrow Z_{\text{A,RGI}}^{\text{stat}}$: NP'ly known (to $\approx 1\%$ accuracy)

[$N_f = 0$: H., Kurth & Sommer, 2003; $N_f = 2$: Della Morte, Fritzsche & H., 2007]

- ▶ $\langle B_{(s)} | A_0^{\text{stat}} | 0 \rangle$: known for $N_f = 0$ and in progress for $N_f = 2$

[$\overline{\text{ALPHA}}$ Collaboration, Blossier et al., arXiv:1006.5816]

$\Rightarrow \langle B_{(s)} | A_0^{\text{stat}} | 0 \rangle_{\text{RGI}} \rightarrow F_B, F_{B_s}$ by multiplying with C_{PS}

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A closer look at the "conversion function" C_{PS} and γ^{match} :

$$\text{Matching} \Leftrightarrow \Phi^{\text{QCD}}(m) = \tilde{C}_{\text{match}}(m, \mu) \times \Phi(\mu) + \mathcal{O}(1/m)$$

$$\tilde{C}_{\text{match}}(m, \mu) = 1 + c_1(m/\mu) \bar{g}^2(\mu) + \dots$$

- ▶ $m \leftrightarrow$ heavy (b) quark mass dependence on the QCD side
- ▶ $\mu \leftrightarrow$ (arbitrary) renormalization scale dependence in the effective theory
- ▶ this fixes the (finite) renormalization $\tilde{C}_{\text{match}} \longleftrightarrow$ "matching scheme"

QCD observables F_B, F_{B_s} : independent of renormalization scheme & scale

⇒ the μ -dependence is artificial, only the mass dependence is for real

⇒ choose a convenient and common scale:

$$\begin{aligned}\mu &= m_\star = \bar{m}(m_\star) & g_\star &= \bar{g}(m_\star) \\ \tilde{C}_{\text{match}}(m_\star, m_\star) &= C_{\text{match}}(g_\star) &= 1 + c_1(1) g_\star^2 + \dots\end{aligned}$$

Eliminate the scheme dependence by passing to the RGI matrix element:

$$\begin{aligned}\Phi_{\text{RGI}} &= \exp \left\{ - \int^{\bar{g}(\mu)} dx \frac{\gamma(x)}{\beta(x)} \right\} \Phi(\mu) \\ \Rightarrow \Phi^{\text{QCD}} &= C_{\text{match}}(g_\star) \Phi(\mu) = C_{\text{match}}(g_\star) \exp \left\{ \int^{g_\star} dx \frac{\gamma(x)}{\beta(x)} \right\} \Phi_{\text{RGI}} \\ &\equiv \exp \left\{ \int^{g_\star} dx \frac{\gamma^{\text{match}}(x)}{\beta(x)} \right\} \Phi_{\text{RGI}} \quad \text{defines } \gamma^{\text{match}}\end{aligned}$$

▶ $\gamma^{\text{match}}(g_\star) = \frac{m_\star}{\Phi^{\text{QCD}}} \frac{\partial \Phi^{\text{QCD}}}{\partial m_\star}$ describes the full physical mass dependence . . .

▶ . . . but there is still a scheme dependence through the choice of \bar{m}, \bar{g}

Remove this renormalization scheme dependence by reparametrization in terms of **renormalization group invariants** Λ , M (= RGI heavy quark mass) :

$$\Phi^{\text{QCD}} = C_{\text{PS}}(M/\Lambda) \times \Phi_{\text{RGI}}, \quad C_{\text{PS}}(M/\Lambda) = \exp \left\{ \int^{g_*(\frac{M}{\Lambda})} dx \frac{\gamma^{\text{match}}(x)}{\beta(x)} \right\}$$

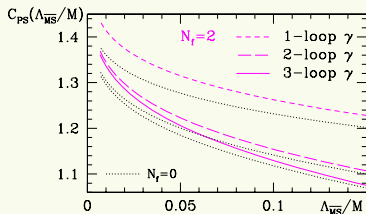
To evaluate C_{PS} , insert $\gamma^{\text{match}}(g_*) \stackrel{g_* \rightarrow 0}{\sim} -\gamma_0 g_*^2 - \gamma_1^{\text{match}} g_*^4 - \gamma_2^{\text{match}} g_*^6 + \dots$

\Rightarrow leading large-mass behaviour via $\frac{M}{\Phi} \frac{\partial \Phi}{\partial M} \Big|_{\Lambda} = \frac{M}{C_{\text{PS}}} \frac{\partial C_{\text{PS}}}{\partial M} \Big|_{\Lambda} = \frac{\gamma^{\text{match}}(g_*)}{1-\tau(g_*)}$:

$$C_{\text{PS}} \stackrel{M \rightarrow \infty}{\sim} (2b_0 g_*^2)^{-\gamma_0/(2b_0)} \sim [\log(M/\Lambda)]^{\gamma_0/(2b_0)}$$

C_{PS} perturbatively under control?

[3-loop AD by Chetyrkin & Grozin, 2003]



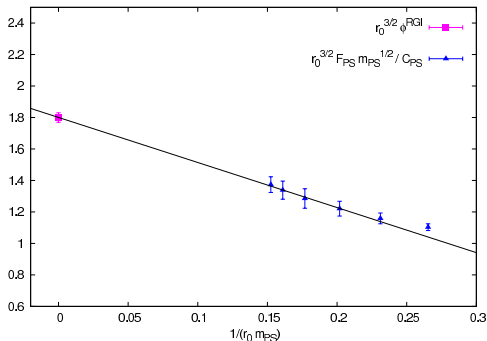
$N_f = 0, 2$

- RGI-ratio M/Λ : can be fixed in numerical simulations without perturbative errors
- Full (logarithmic) mass dependence $\in C_{\text{PS}}$
- Fig. seems to indicate that the remaining $O(\bar{g}^6(m_b))$ errors are relatively small
 \rightarrow however: a premature conclusion . . .
- For B-Physics: $\Lambda_{\overline{\text{MS}}}/M_b \approx 0.04$

An application ($N_f = 0$)

Interpolation between the static limit and the charm region

Della Morte, Dürr, Guazzini, H., Jüttner & Sommer, JHEP0802(2008)078



Looks good: under a reasonable smoothness assumption, *interpolate* the mass dependence (linearly) in the inverse PS mass to the physical point

- F_{PS} follows the heavy quark scaling law, no $1/(r_0 m_{PS})^2$ – effects are visible
→ $1/m$ – expansion appears to work very well even for charm quarks
← surprising; needs further confirmation, as the perturbative C_{PS} is used
- Question: What is the accuracy of perturbation theory involved in this ?

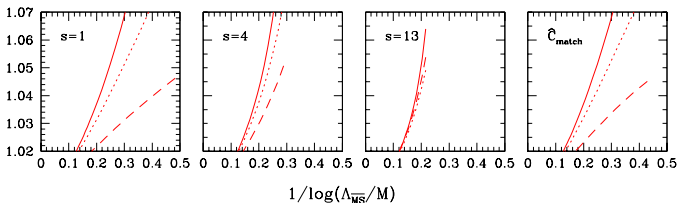
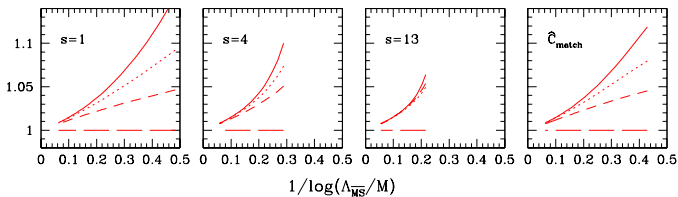
Accuracy of perturbation theory in the matching

Bekavac, Grozin, Marquard, Piclum, Seidel & Steinhauser, NPB833(2010)46

$C_{\text{match}}(g_*)$ now known to N³LO for various bilinears $\mathcal{O}_\Gamma = \bar{\psi}_l(x) \Gamma \psi_h(x)$
→ $\gamma_\Gamma^{\text{match}}$: 3-loop, $\gamma_\Gamma^{\text{match}} - \gamma_{\Gamma'}^{\text{match}}$: 4-loop (unknown 4-lp AD in HQET cancels)

⇒ Ratios of conversion functions reflect perturbative 4-loop precision:

$$C_{\Gamma/\Gamma'} = C_{\text{match}}^\Gamma(m, \mu) / C_{\text{match}}^{\Gamma'}(m, \mu)$$



Example

$$C_{\text{PS}/V} = C_{\text{PS}} / C_V$$

x-axis $\propto g_*^2(M/\Lambda)$

For B-physics:

$$\Lambda_{\overline{\text{MS}}}/M_b \approx 0.04$$

$$1/\ln(\Lambda_{\overline{\text{MS}}}/M_b) \approx 0.3$$

PT is badly behaved
for beauty and even
worse for charm

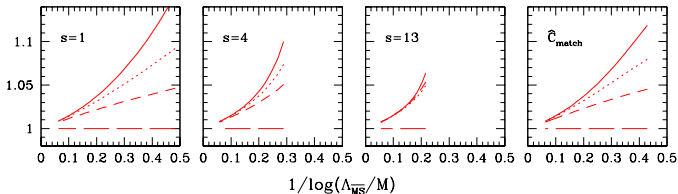
"We find that the perturbative series for f_{B^*}/f_B and $f_{B^*}^T/f_{B^*}$ converge very slowly at best."

[quote from Bekavac et al., 2010]

Freedom to "optimize" the scale

[R. Sommer, private communication]

$$\mu = s^{-1} m_\star = \bar{m}(m_\star), \quad \hat{g} = \bar{g}(s^{-1} m_\star) \quad C_\Gamma(M/\Lambda) = \exp \left\{ \int^{\hat{g}} dx \frac{\hat{\gamma}_\Gamma^{\text{match}}(x)}{\beta(x)} \right\}$$

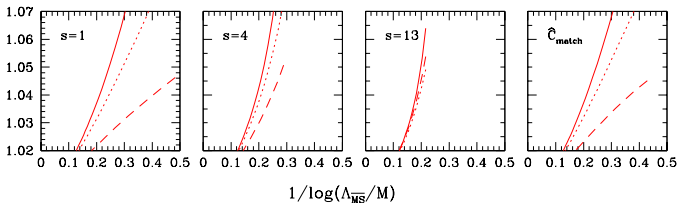


Matching below m_\star ,
expect $s > 1$ is better

Decrease of terms in
perturbative series
improved, once $s \gtrsim 4$

However:

$\alpha(m_b/4)$ is not small,
series unreliable again



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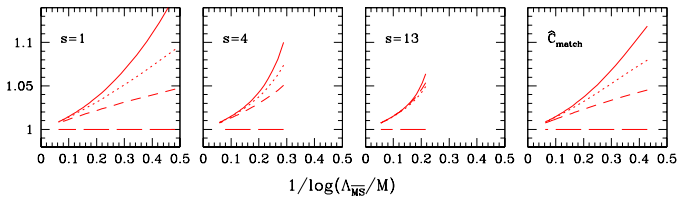
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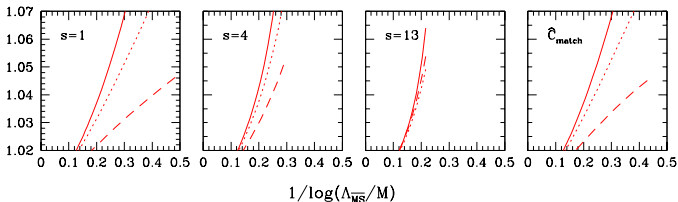
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→ Effective scale is well below $\mu = m_b$; asymptotic convergence of PT only improved far beyond m_b , where it is of limited use for us

⇒ Accuracy of perturbative matching is hard to assess for b- and c-physics

"We find that the perturbative series for f_{B^*}/f_B and $f_{B^*}^T/f_{B^*}$ converge very slowly at best."

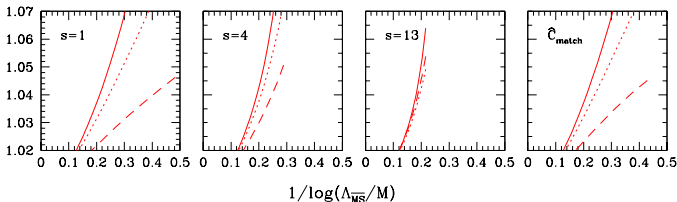
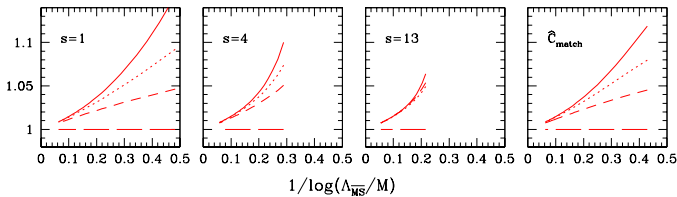
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⇒ Error estimates in the literature seem much too optimistic . . .

"We find that the perturbative series for f_{B^*}/f_B and $f_{B^*}^T/f_{B^*}$ converge very slowly at best."

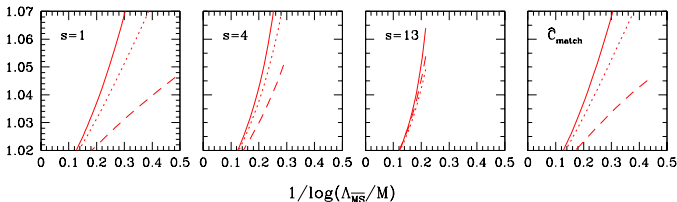
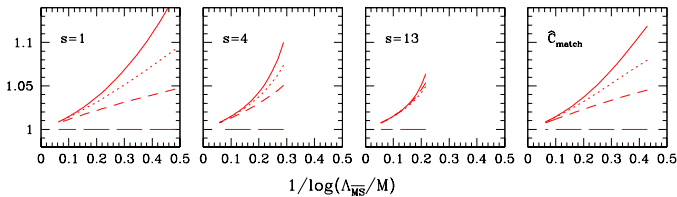
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expect $s > 1$ is better

Decrease of terms in
perturbative series
improved, once $s \gtrsim 4$

However:
 $\alpha(m_b/4)$ is not small,
series unreliable again

$\Rightarrow \bar{g}^{2l}(m_b) \propto [2b_0 \ln(m_b/\Lambda_{\text{QCD}})]^{-l} \xrightarrow{m_b \rightarrow \infty} \Lambda_{\text{QCD}}/m_b$: Pert. matching theor.
consistent only at LO in $1/m_b$, a few-% error budget requires NP matching

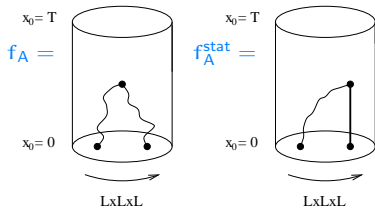
Mass dependence in finite-volume QCD ($N_f = 2$)

Della Morte, Fritsch, H. & Sommer, PoS LATTICE2008(2008)226
Fritsch & H., in progress

Non-perturbative computation of the *heavy quark mass dependence* of heavy-light meson observables in the continuum limit of finite-volume QCD

- Explicit pure theory tests that HQET is an *effective* theory of QCD
- Constraining the large-mass behaviour of QCD by the static limit

- QCD with **S**chrödinger **F**unctional boundary conditions (T, L, θ):



- Renormalization

[**ALPHA** Collaboration, 2005-2008]

- ▶ Fix $\bar{g}^2(L_1) = 4.484$ s.th. $L_1 \approx 0.5$ fm, $L_1/\alpha = 20, 24, 32, 40$, $L_2 = 2L_1$
- ▶ Fix RGI (heavy) quark masses via its NP relation to bare parameters:

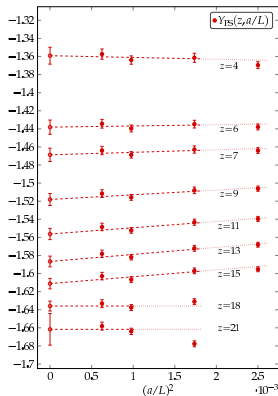
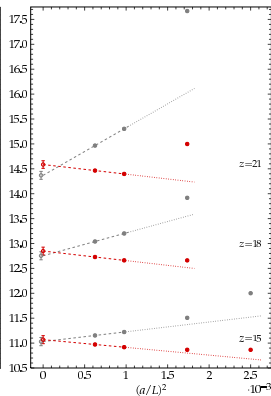
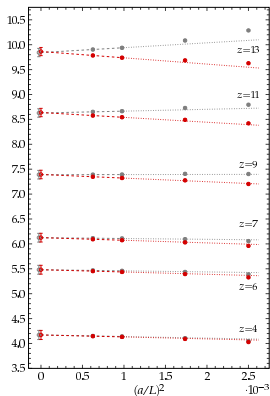
$$z \equiv L_1 M = Z_m \frac{M}{\bar{m}(\mu_0)} (1 + b_m \alpha m_q) \times L_1 m_q \quad Z_m = \frac{Z(g_0) Z_A(g_0)}{Z_P(g_0, \alpha \mu_0)}$$

[Fritsch, H. & Tantalò, arXiv:1004.3978]

Mass dependence in finite-volume QCD ($N_f = 2$)

The B-system in finite-volume QCD ($L = L_1$)

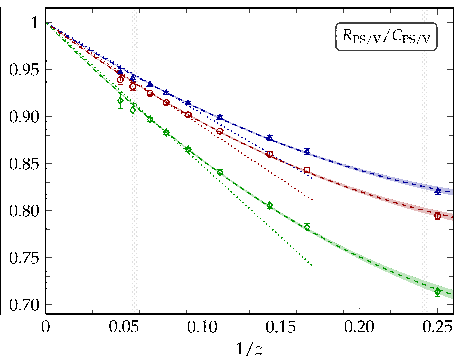
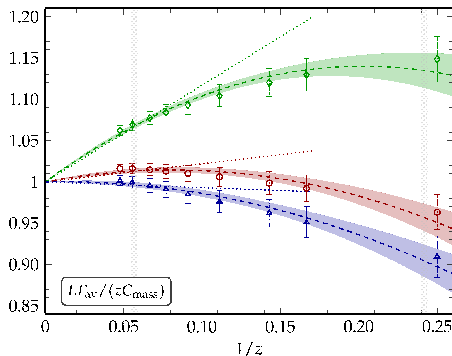
- ▶ $L_1 = 0.5$ fm, z -values covering the b-quark down to the charm quark region
- ▶ Removal of all $O\left(\left(\frac{a}{L}\right)^n\right)$ effects at tree-level: $O \rightarrow O_{\text{impr}}(a/L) = \frac{O(a/L)}{1+\delta(a/L)}$
- ▶ Examples of continuum extrapolations (B-meson mass & decay constant):



Mass dependence in finite-volume QCD ($N_f = 2$)

The B-system in finite-volume QCD ($L = L_1$)

- ▶ **Tests of HQET:** validating and demonstrating the applicability of HQET
- ▶ Verification of the approach to the spin-symmetric limit:
(B-meson mass & ratio of PS to V decay constants)



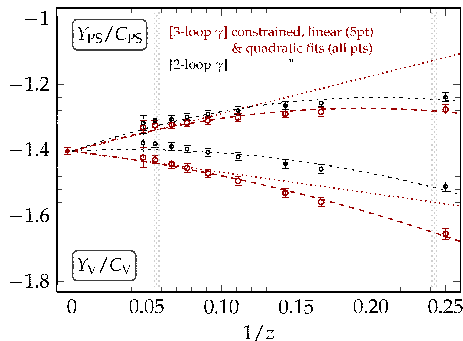
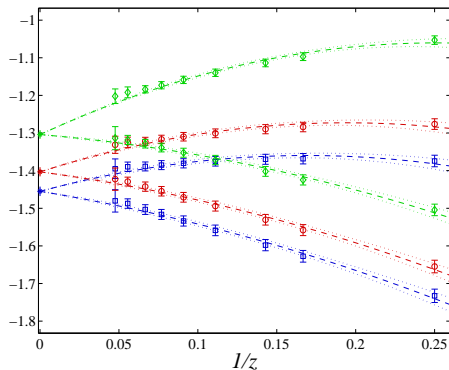
⇒ Large-mass asymptotics ($1/z \rightarrow 0$) confirms HQET predictions

Mass dependence in finite-volume QCD ($N_f = 2$)

The B-system in finite-volume QCD ($L = L_1$)

- ▶ But: some numerical evidence for the previous doubts in the reliability of PT in the b-quark region is found with Y_{PS} , Y_V and its effective theory predictions

$$Y_{PS}(L, z)/C_{PS}(M/\Lambda) = X_{RGI}(L) + O(1/z)$$
$$Y_{PS}(L, z; \theta) \propto Z_A \frac{f_A(L/2, \theta)}{\sqrt{f_1(\theta)}} \quad X_{RGI}(L; \theta) \propto Z_{A, RGI}^{\text{stat}} \underbrace{\frac{f_A^{\text{stat}}(L/2, \theta)}{\sqrt{f_1^{\text{stat}}(\theta)}}}_{= X^{\text{stat}}(\theta)}$$

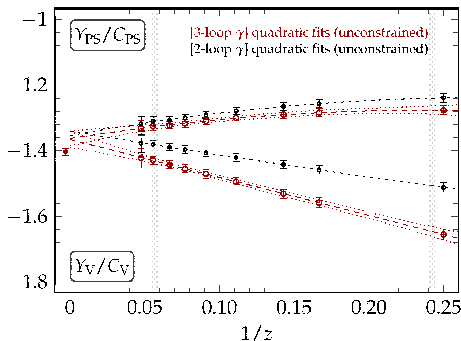
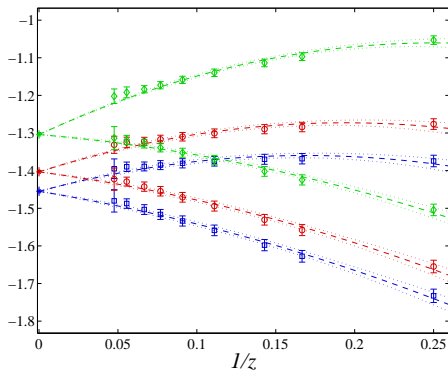


Mass dependence in finite-volume QCD ($N_f = 2$)

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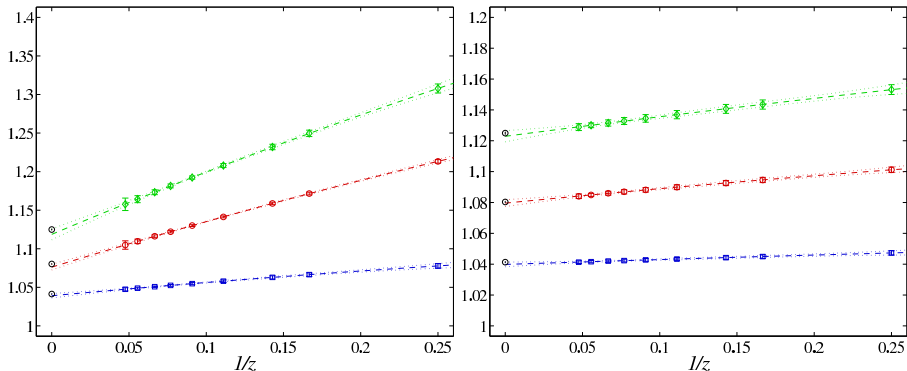


Mass dependence in finite-volume QCD ($N_f = 2$)

The B-system in finite-volume QCD ($L = L_1$)

- Consider *ratios* instead, where C_{PS} cancels completely:

$$\frac{Y_{PS}(z; \theta_1)}{Y_{PS}(z; \theta_2)} = \frac{\chi^{\text{stat}}(\theta_1)}{\chi^{\text{stat}}(\theta_2)} + O(1/z)$$



⇒ These turn smoothly & unconstrained into effective theory predictions

Determination of HQET parameters at $O(1/m)$

Blossier, Della Morte, Garron & Sommer, arXiv:1001.4783

Vector of the $N_{\text{HQET}} = 5$ parameters in $S_{\text{HQET}}, \Lambda_0^{\text{HQET}}$ up to $O(1/m_b)$:

$$\omega = \begin{pmatrix} \omega^{\text{stat}} \\ \omega^{(1/m)} \end{pmatrix}$$

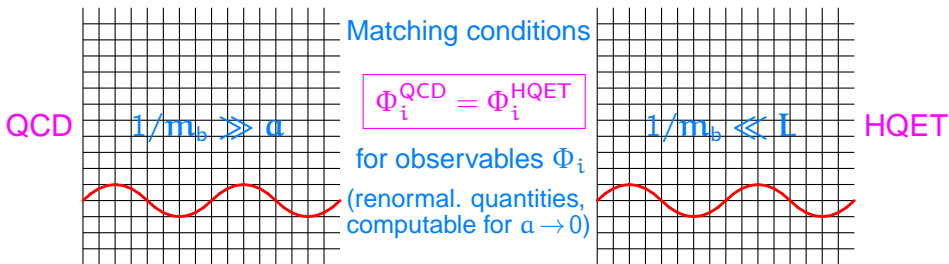
$$\omega^{\text{stat}} = \left(m_{\text{bare}}, \ln(Z_A^{\text{HQET}}) \right)^t$$

$$\omega^{(1/m)} = \left(c_A^{\text{HQET}}, \omega_{\text{kin}}, \omega_{\text{spin}} \right)^t$$

| ω_i | classical value | static value |
|--------------------------|-----------------|---|
| m_{bare} | m_b | $m_{\text{bare}}^{\text{stat}}$ |
| $\ln(Z_A^{\text{HQET}})$ | 0 | $\ln(Z_{A,\text{RGI}}^{\text{stat}} C_{\text{PS}})$ |
| c_A^{HQET} | $-1/(2m_b)$ | αc_A^{stat} |
| ω_{kin} | $1/(2m_b)$ | 0 |
| ω_{spin} | $1/(2m_b)$ | 0 |

\Rightarrow Trick: non-perturbative matching of HQET to QCD in a *finite* volume

[H. & Sommer, JHEP0402(2004)022]



NP matching in $L = L_1$

Suitable observables in the Schrödinger functional, $L = T = L_1 \approx 0.5 \text{ fm}$

$$\Phi_i(L_1, M, \alpha) \quad i = 1, \dots, N_{\text{HQET}}$$

Matching conditions for $i = 1, \dots, N_{\text{HQET}}$ (note: $\alpha \leftrightarrow g_0$)

$$\lim_{\alpha \rightarrow 0} \Phi_i^{\text{QCD}}(L_1, M, \alpha) = \Phi_i^{\text{QCD}}(L_1, M, 0) = \Phi_i^{\text{HQET}}(L_1, M, \alpha)$$

Conveniently, one chooses observables linear in ω_i , e.g.

$$\Phi(L, M, \alpha) = \eta(L, \alpha) + \phi(L, \alpha) \omega(M, \alpha)$$

$$\Phi_1 = L \langle B(L) | \mathbb{H} | B(L) \rangle \stackrel{L \rightarrow \infty}{\sim} L m_B$$

$$\Phi_2 = \ln \left(L^{3/2} \langle \Omega(L) | \mathcal{A}_0 | B(L) \rangle \right) \stackrel{L \rightarrow \infty}{\sim} \ln \left(L^{3/2} F_B \sqrt{m_B/2} \right)$$

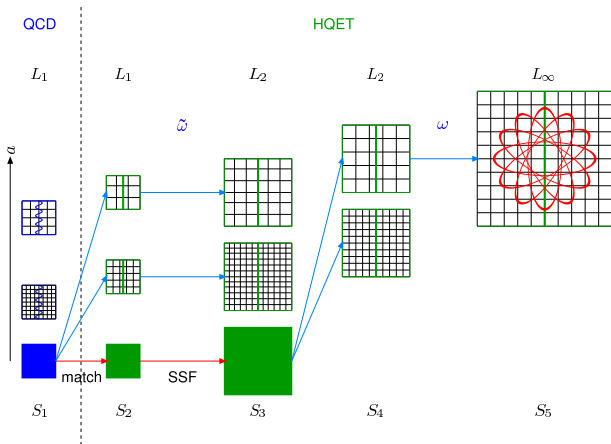
...

$$\eta = \begin{pmatrix} \Gamma^{\text{stat}} = \langle B(L) | \mathbb{H} | B(L) \rangle_{\text{stat}} \\ \zeta_A = \ln \left(L^{3/2} \langle \Omega(L) | \mathcal{A}_0 | B(L) \rangle_{\text{stat}} \right) \\ \dots \end{pmatrix} \quad \phi = \begin{pmatrix} L & 0 & \dots \\ 0 & 1 & \dots \\ \dots & & \dots \end{pmatrix}$$

Step scaling to $L = L_2$

Matching volume $L_1 \approx 0.5$ fm has very small a , but larger a are needed

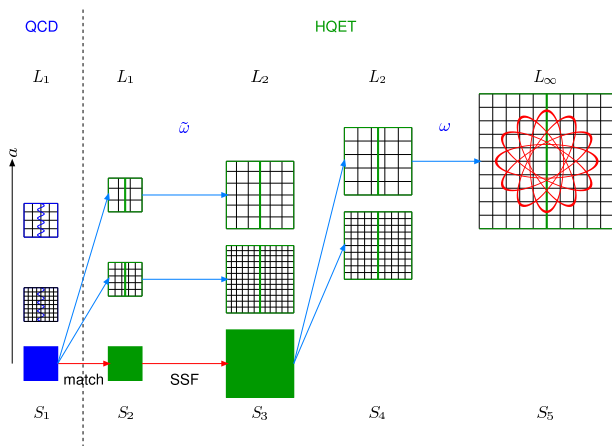
⇒ Gap to large volume & practicable lattice spacings, where physical quantities (m_B, F_B) are extracted, bridged by finite-size scaling steps



Fully NP, CL can be taken everywhere, $L \rightarrow 2L$ via Step Scaling Functions

$$\Phi_i^{\text{HQET}}(2L) = \sigma_i \left(\left\{ \Phi_j^{\text{HQET}}(L), j = 1, \dots, N_{\text{HQET}} \right\} \right) \quad 2L = 2L_1 \approx 1.0 \text{ fm}$$

Step scaling to $L = L_2$



Finite-size scaling to $L_2 = 2L_1$:

- Amounts to solve a matrix equation to obtain the HQET parameters at larger lattice spacings ...
- ... corresponding to β -values for simulations in large volume, " L_∞ ", where a B-meson in HQET fits comfortably

1.) Continuum limit

$\alpha = 0.025 \text{ fm}, \dots, 0.012 \text{ fm}$

$$\Phi_i(L_1, M, 0) = \lim_{\alpha/L_1 \rightarrow 0} \Phi_i^{\text{QCD}}(L_1, M, \alpha)$$

2.) HQET parameters for

$\alpha = 0.05 \text{ fm}, \dots, 0.025 \text{ fm}$

$$\begin{aligned} \omega(M, \alpha) &\equiv \phi^{-1}(L_1, \alpha) [\Phi(L_1, M, 0) - \eta(L_1, \alpha)] \\ &= \begin{pmatrix} L_1^{-1} \Phi_1(L_1, M, 0) - \Gamma^{\text{stat}}(L_1, \alpha) \\ \Phi_2(L_1, M, 0) - \zeta_A(L_1, \alpha) \\ \dots \end{pmatrix} \end{aligned}$$

3.) Insert into $\Phi(L_2, M, \alpha)$

$$\Phi(L_2, M, 0) = \lim_{\alpha/L_2 \rightarrow 0} [\eta(L_2, \alpha) + \phi(L_2, \alpha) \omega(M, \alpha)]$$

$$= \lim_{\alpha/L_2 \rightarrow 0} \underbrace{\begin{pmatrix} L_2 [\Gamma^{\text{stat}}(L_2, \alpha) - \Gamma^{\text{stat}}(L_1, \alpha)] \\ \zeta_A(L_2, \alpha) - \zeta_A(L_1, \alpha) \\ \dots \end{pmatrix}}_{\text{finite SSFs}} + \underbrace{\begin{pmatrix} \frac{L_2}{L_1} \Phi_1(L_1, M, 0) \\ \Phi_2(L_1, M, 0) \\ \dots \end{pmatrix}}_{\text{QCD mass dependence}}$$

4.) Repeat 2.) for $L_1 \rightarrow L_2$ to obtain $\omega(M, \alpha)$ for $\alpha = 0.1 \text{ fm}, \dots, 0.05 \text{ fm}$

$$\omega(M, \alpha) \equiv \phi^{-1}(L_2, \alpha) [\Phi(L_2, M, 0) - \eta(L_2, \alpha)]$$

Use of the HQET parameters

These HQET parameters can finally be exploited for phenomenological applications in the $B_{(s)}$ -meson system, e.g. to

- calculate the b-quark mass and the $B_{(s)}$ -meson decay constant:

$$m_B = m_{\text{bare}} + E_{\text{stat}} + \omega_{\text{kin}} E_{\text{kin}} + \omega_{\text{spin}} E_{\text{spin}}$$

$$\frac{\Phi}{\sqrt{2}} \equiv F_B \sqrt{m_B/2} = Z_A^{\text{HQET}} (1 + b_A^{\text{stat}} a m_q) p_{\text{stat}} \\ \times \left(1 + c_A^{\text{HQET}} p_{\delta A} + \omega_{\text{kin}} p_{\text{kin}} + \omega_{\text{spin}} p_{\text{spin}} \right)$$

- Mass splittings, such as (radial) excitation energies of $B_{(s)}$ -states and the $B_{(s)} - B_{(s)}^*$ mass difference to $O(1/m_b)$:

$$\Delta E_{n,1}^{\text{HQET}} = (E_{\text{stat}}^n - E_{\text{stat}}^1) + \omega_{\text{kin}} (E_{\text{kin}}^n - E_{\text{kin}}^1) + \omega_{\text{spin}} (E_{\text{spin}}^n - E_{\text{spin}}^1)$$

$$\Delta E_{P-V} = \frac{4}{3} \omega_{\text{spin}} E_{\text{spin}}^1$$

E_y^i, p_y : plateau averages of (bare) effective HQET energies and matrix elements in large volume

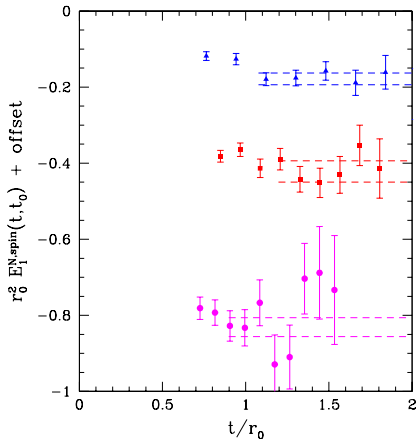
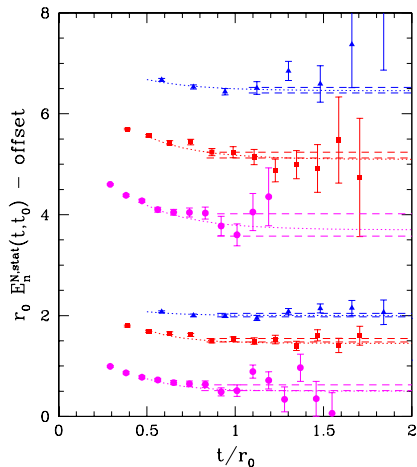
- Note: The power-divergent δm drops out in energy *differences*

Some examples of $N_f = 0$ results

Blossier, Della Morte, Garron, von Hippel, Mendes, Simma & Sommer, arXiv:1004.2661

Excited state energy levels, $a \approx (0.1, 0.08, 0.05)$ fm, $L \approx 1.5$ fm, $T = 2L$

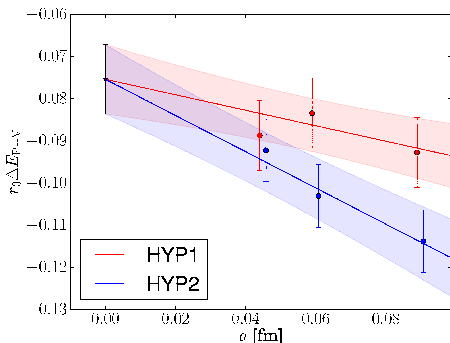
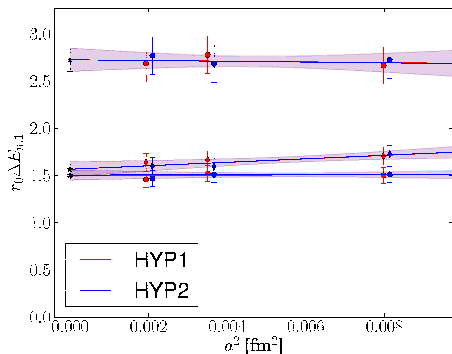
- ▶ CF matrices $C_{ij}^{\text{stat}}(t) = \sum_{x,y} \langle O_i(x_0 + t, y) O_j^*(x) \rangle_{\text{stat}}$ & $O_{\text{spin/kin}}$ insertions
- ▶ GEVP: all-to-all propagators, t -dilution, Gaussian smeared variational basis



Some examples of $N_f = 0$ results

Excited state energy levels, $a \approx (0.1, 0.08, 0.05)$ fm, $L \approx 1.5$ fm, $T = 2L$

- ▶ CF matrices $C_{ij}^{\text{stat}}(t) = \sum_{x,y} \langle O_i(x_0 + t, y) O_j^*(x) \rangle_{\text{stat}}$ & $\mathcal{O}_{\text{spin/kin}}$ insertions
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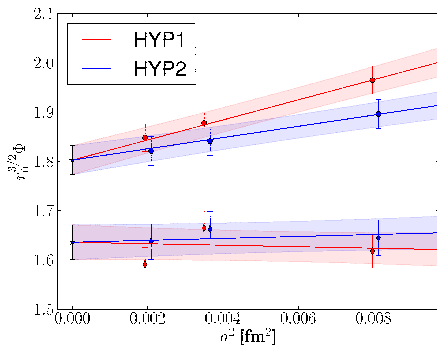


- ▶ Linear a -term suppressed by $1/m_b$, physical $\mathcal{O}(1/m_b)$ corrections are small
- ▶ Divergences cancel after proper NP renormalization
 \Rightarrow Strong *numerical* evidence for the renormalizability of HQET

Some examples of $N_f = 0$ results

Blossier, Della Morte, Garron, von Hippel, Mendes, Simma & Sommer, arXiv:1006.5816

Matrix elements in the B-meson system via applying the same techniques



- **Important remark:**

Here, the full factor $Z_A^{\text{stat}} = Z_{A,\text{RGI}}^{\text{stat}} C_{\text{PS}}(M_b/\Lambda)$ is implicitly evaluated non-perturbatively, i.e., C_{PS} *irrelevant* in the context of NP matching!

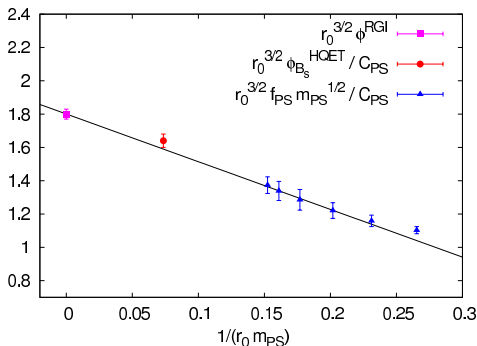
- **HYP & GEVP lead to (2–3)% precision for F_{B_s} in the continuum limit**

$r_0 = 0.5 \text{ fm}$: $F_{B_s}^{\text{stat}} = 229(3) \text{ MeV}$, $F_{B_s}^{\text{stat}+1/m} = 212(5) \text{ MeV}$

(using $r_0 = 0.45 \text{ fm}$ leads to $\simeq 15\%$ increase, but $O(1/m_b^2)$ corrections are small)

Some examples of $N_f = 0$ results

Computation of F_{B_s} in HQET matches at m_{B_s} with a straight interpolation between the QCD charm sector (around F_{D_s}) and $F_{B_s}^{\text{stat}}$

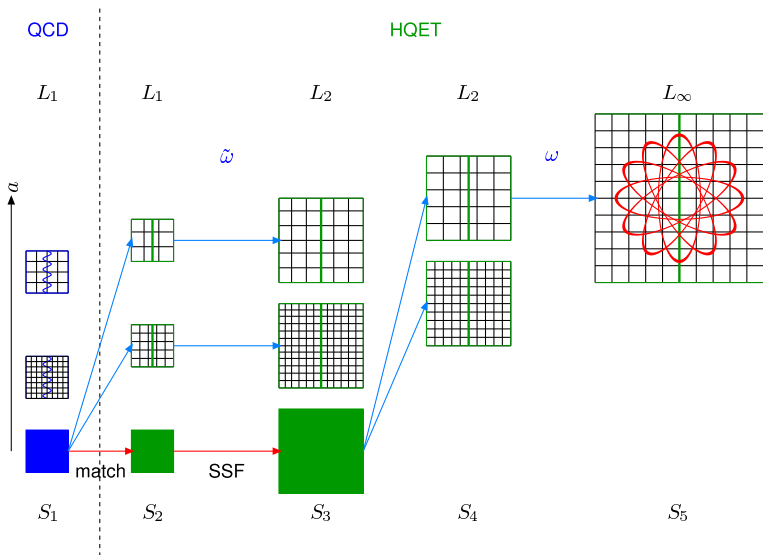


- ▶ In this comparison, C_{PS} just enters to compensate for the logarithmic scaling of Φ with m_b , i.e., $C_{PS} =$ perturbative "relic" in interpolation strategies
- ▶ Given the unclear precision of PT, interpolation methods to be taken with care, as the inherent perturbative $[\alpha_s(m_b)]^3$ -errors are difficult to estimate
- ▶ Anyway, data points beyond charm computationally challenging for $N_f > 0$

First physical results in two-flavour QCD

Which ingredients are needed ?

Recall the strategy . . .



First physical results in two-flavour QCD

Which ingredients are needed ?

S_1 NP matching of HQET to QCD in finite volume with a relativistic b , to perform the power-divergent subtractions

- ▶ Crucial element of this step:
Calculation of the *heavy quark mass dependence* of heavy-light meson observables in the continuum limit of finite-volume QCD (L_1)
- ▶ . . . already discussed above

$S_{2,3,4}$ HQET computations in small & intermediate volumes

- ▶ Evaluation of the HQET step scaling functions to connect the small matching ($L_1 \approx 0.5$ fm) to the intermediate volume ($L_2 = 2L_1 \approx 1$ fm)
- ▶ Interpolation of the resulting HQET parameters to the large-volume " L_∞ " lattice spacings ($\beta = 5.2, 5.3, 5.5$)

S_5 HQET computations in large volume

- ▶ Extract HQET energies & matrix elements, using $N_f = 2$ dynamical configurations in large volume (" L_∞ ", periodic b.c.'s) produced by **CLS**
- ▶ Action: NP'ly $O(\alpha)$ improved $N_f = 2$ Wilson; algorithm: DD-HMC
- ▶ Problem of slowed sampling of topological modes with decreasing α less relevant, because HQET can afford to work with coarser lattices

HQET energies & matrix elements (preliminary)

ALPHA Collaboration, in progress

CLS
based

Preliminary $N_f = 2$ HQET results in large volume

- ▶ Gauge configuration ensembles with $N_f = 2$ NP'ly $O(\alpha)$ improved Wilson fermions generated within **Coordinated Lattice Simulations** (= community European team effort, employing Lüscher's DD-HMC)

| β | a [fm] | $L^3 \times T$ | m_π [MeV] | # | traj. sep. |
|---------|----------|------------------|---------------|-----|------------|
| 5.2 | 0.08 | $32^3 \times 64$ | 700 | 110 | 16 |
| | | $32^3 \times 64$ | 370 | 160 | 16 |
| 5.3 | 0.07 | $32^3 \times 64$ | 550 | 152 | 32 |
| | | $32^3 \times 64$ | 400 | 600 | 32 |
| | | $48^3 \times 96$ | 300 | 192 | 16 |
| | | $48^3 \times 96$ | 250 | 350 | 16 |
| 5.5 | 0.05 | $32^3 \times 64$ | 430 | 250 | 20 |
| | | $48^3 \times 96$ | 430 | 30 | 16 |

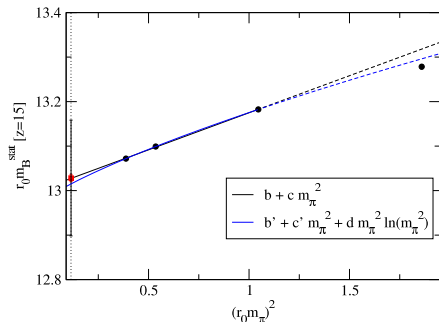
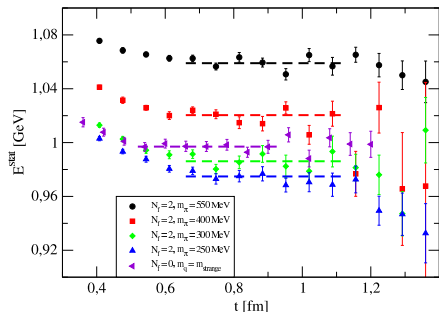
- ▶ High numerical accuracy of lattice HQET thanks to technical advances:

[Hasenfratz & Knechtli, 2001; Lüscher & Wolff, 1990; Foley et al., 2005; ALPHA Collaboration 2004-2009]

- ◊ HYP-smearred static actions, giving improved statistical precision
- ◊ solve the **Generalized EigenValue Problem** for a correlator matrix to cleanly quantify systematic errors from excited state contaminations
- ◊ Variant of the stochastic all-to-all propagator method for light quarks

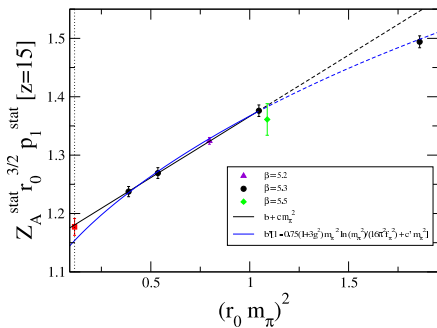
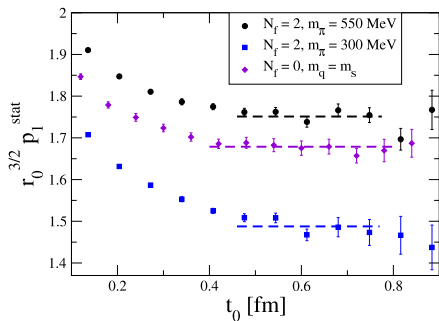
HQET energies & matrix elements (preliminary)

Static energies ($\beta = 5.3$, $a \approx 0.07$ fm) & extrapolation to the chiral limit, where the uncertainty due to r_0/a is still large [Scale setting preliminary]



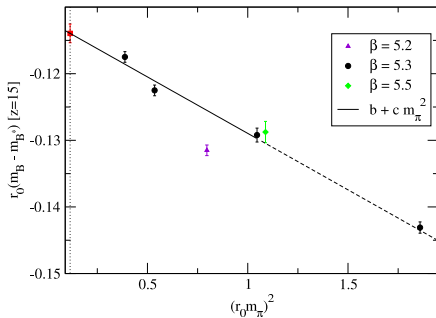
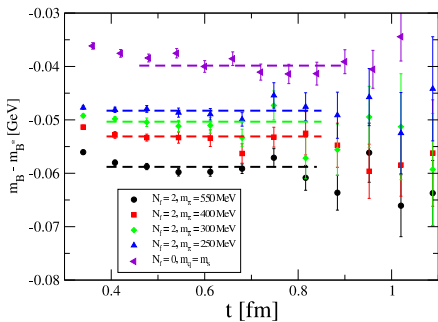
HQET energies & matrix elements (preliminary)

B-meson decay constant (F_B): renormalized (not $O(\alpha)$ improved) matrix element of A_0^{stat} , data well described by $\text{HM}\chi\text{PT}$



HQET energies & matrix elements (preliminary)

Spin-splitting: situation for $O(1/m)$ terms of energies is encouraging



HQET parameters (preliminary)

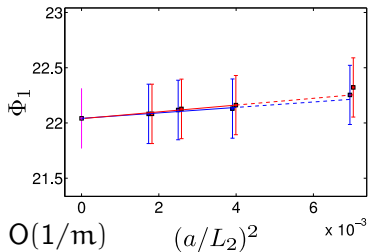
ALPHA
Collaboration, in progress

After evolution to L_2 where $5.3 \lesssim \beta \lesssim 5.8$

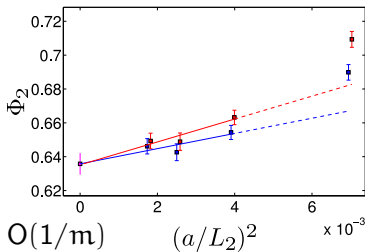
$$\Phi_1 = L \langle B(L) | \mathbb{H} | B(L) \rangle$$

$$\Phi_2 = \ln (L^{3/2} \langle \Omega(L) | A_0 | B(L) \rangle)$$

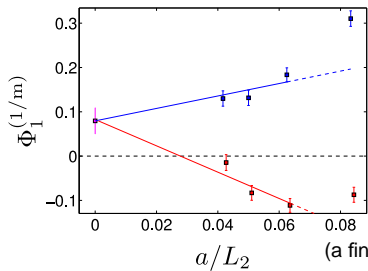
$O(m)$



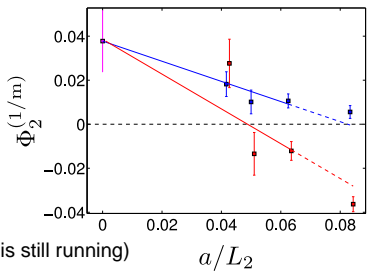
$O(1)$



$O(1/m)$



$O(1/m)$



(a finer lattice resolution is still running)

b-quark mass interpolation (preliminary)

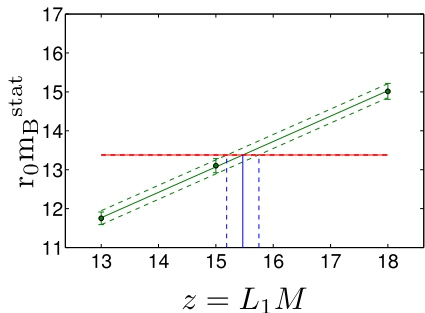
ALPHA_{Collaboration}, in progress

Now insert $\omega_1 \in \omega(M, \alpha)$ for $N_f = 2$:

$$\begin{aligned} m_B &= \omega_1 + E_{\text{stat}} = m_{\text{bare}} + E_{\text{stat}} = \omega_1 + E_{\text{stat}} \\ &= \lim_{\alpha \rightarrow 0} [E_{\text{stat}} - \Gamma^{\text{stat}}(L_2, \alpha)] && \alpha = (0.1 - 0.05) \text{ fm} \\ &\quad + \lim_{\alpha \rightarrow 0} [\Gamma^{\text{stat}}(L_2, \alpha) - \Gamma^{\text{stat}}(L_1, \alpha)] && \alpha = (0.05 - 0.025) \text{ fm} \\ &\quad + \frac{1}{L_1} \lim_{\alpha \rightarrow 0} \Phi_1(L_1, M_b, \alpha) && \alpha = (0.025 - 0.012) \text{ fm} \end{aligned}$$

Analysis with $r_0 m_B^{(\text{exp})}$, $r_0 = (0.475 \pm 0.025) \text{ fm}$

[Scale setting preliminary]



- ▶ $\overline{m}_b^{\overline{\text{MS}}}(\overline{m}_b)^{\text{stat}} = 4.255(25)_{r_0} (50)_{\text{stat+renorm} (?)_{\alpha}} \text{ GeV}$
- ▶ NP renormalization; no CL yet in the large volume part (only $\beta = 5.3$)
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b-quark mass interpolation (preliminary)

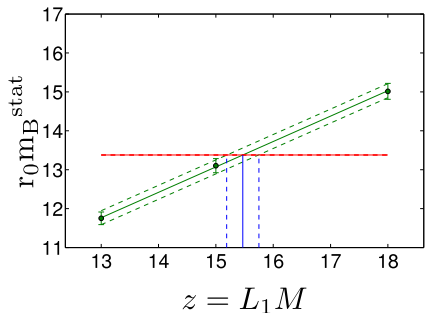
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- ▶ $\overline{m}_b^{\overline{\text{MS}}}(\overline{m}_b)^{\text{stat}+1/m} = 4.276(25)_{r_0} (50)_{\text{stat}+\text{renorm}} (?)_{\alpha} \text{ GeV}$
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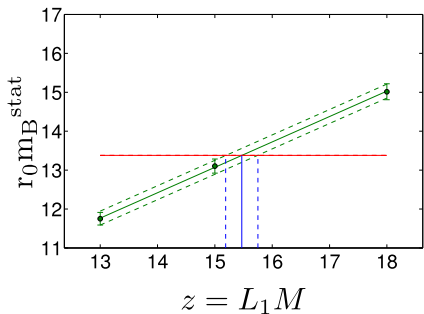
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- ▶ $\overline{m}_b^{\overline{\text{MS}}}(\overline{m}_b)^{\text{stat}+1/m} = 4.347(40)_{r_0(48)} \text{ GeV} \quad (N_f = 0!)$
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Unquenching effect is presently not significant

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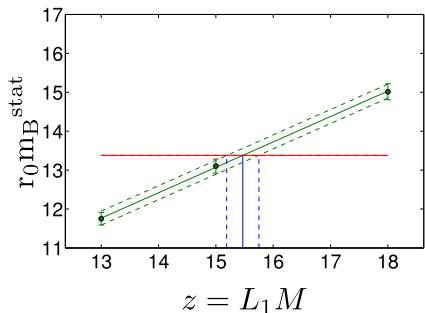
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Conclusions & Outlook

- Lattice heavy flavour physics is becoming a precision field
- Lattice QCD inputs have to be pushed to few-% level (incl. a reliable assessment of all systematics), to contribute to uncovering signals for BSM physics in CKM analyses and resolve / support current tensions
- Dynamical quark simulations ($N_f = 2, 2 + 1, 2 + 1 + 1$) are routine: $m_\pi \sim 500 \text{ MeV}$ (2001) $\rightarrow m_\pi \lesssim 250 \text{ MeV}$ (2010), but the behaviour of algorithms at small lattices spacings needs to be understood

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- **An entirely non-perturbative renormalization & matching in HQET is doable with considerable accuracy**
 - ▶ Pert. functions C_X not needed altogether within our NP HQET strategy
 - ▶ Physics goals of lattice HQET with $1/m$ -corrections:
b-quark mass, decay constants $F_{B(s)}$ (1st $O(1/m)$ computation ever!), mass splittings, semi-leptonic form factors
[ , in progress; tree-level matching: Della Morte, Dooling, H.]
 - ▶ Continuum limit of the large volume part for $N_f = 2$ finished soon
 - ▶ $N_f = 4$ in the longer run: add also strange & charm sea quark flavours