

[ Submit your solutions up to 25.01. before the Tutorial in LernraumPlus ]

## Symmetries in Physics - Homework Sheet No. 12

### Exercise 12.1: (3 Points)

Recall that the Cartan-subalgebra  $\mathcal{C}$  is an Abelian algebra of a Lie-algebra  $\mathcal{L}$  fulfilling the condition:  $Y \in \mathcal{L}$  with  $[Y, X]_- = 0 \forall Y \in \mathcal{C} \Rightarrow X \in \mathcal{C}$ . Hence the Cartan-subalgebra and, thus, the Cartan-subgroup are not unique at all. However for a simply connected, compact group  $G$  different Cartan-subalgebras,  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , are similar, in particular there is a fixed group element  $g \in G$  such that  $g\mathcal{C}_1g^{-1} = \mathcal{C}_2$ .

- (i) Calculate the Cartan-subalgebras and their corresponding Cartan-subgroups of the Lie-groups  $U(N)$ ,  $SO(N)$ ,  $SU(N)$ , and  $USp(2N)$ . For this purpose show that one can find Cartan-subalgebras of  $U(N)$ ,  $SU(N)$ , and  $USp(2N)$  as subsets of the diagonal matrices and for  $SO(N)$  as subsets of  $2 \times 2$  block-diagonal matrices.
- (ii) Let us consider the non-compact group  $U(1, 1) = \{A \in GL(2) | A \text{diag}(1, -1)A^\dagger = \text{diag}(1, -1)\}$ . Show that there are different Cartan-subalgebras and Cartan-subgroups which are not similar to each other. There are all in all three Cartan-subalgebras. Enlist them all.
- (iii) The Weyl-reflection group is another important subgroup of a Lie-group. Let  $\text{Cart}_G$  be the Cartan subgroup of the Lie-Group  $G$ . Then the Weyl-reflection group is  $\text{Weyl}_G = \{g \in G | g\text{Cart}_Gg^{-1} = \text{Cart}_G\}$ . Calculate this group for  $U(N)$ ,  $SO(N)$ ,  $SU(N)$ , and  $USp(2N)$ .  
**Hint:** You have to distinguish the groups  $SO(2N)$  and  $SO(2N+1)$  since you have always to check if  $g$  is in the considered group.

**Exercise 12.2:** (3 Points)

Let  $\Delta$  be the set of roots. Given  $\alpha \in \Delta$ , define  $\sigma_\alpha$  to be the linear transformation implementing reflection in the hyperplane orthogonal to  $\alpha$ .

(i) Show that for a root  $\beta$  this projection explicitly reads

$$\sigma_\alpha(\beta) = \beta - \frac{2\alpha \cdot \beta}{|\alpha|^2} \alpha. \quad (1)$$

(ii) Show that  $\sigma_\alpha(\beta) \in \Delta$  with help of the Cartan-Weyl basis introduced in the lecture and of the antisymmetry of any generator in the adjoint representation.

**Background:** The reflections  $\sigma_\alpha$  are induced by the Weyl-reflections introduced in Exercise 12.1(iii) and are, therefore, the Weyl-reflections in the root space. This can be seen by choosing an orthonormal basis in the Cartan-subalgebra  $H = (H_1, \dots, H_r)$  and the remaining basis vectors of the Lie-algebra  $\{E_\alpha\}_{\alpha \in \Delta}$ . Let  $H_\alpha = 2\alpha \cdot H/|\alpha|^2$  with  $\alpha \in \Delta$ . Then a Weyl reflection in the Cartan-subalgebra  $H \rightarrow WH$  is a linear operation ( $W$  is an orthogonal  $r \times r$  matrix which is the adjoint representation of  $g \in \text{Weyl}_G$  acting only on  $\text{Cart}_G$ ) and carries over to one on the root space by  $\alpha \cdot (WH) = (W^T \alpha) \cdot H$ .

(iii) For two distinct roots,  $\alpha$  and  $\beta$ , note that  $\alpha \cdot \beta \neq 0$  and the quantization of the roots implies  $2\alpha \cdot \beta/|\alpha|^2 = \pm 1$  or  $2\alpha \cdot \beta/|\beta|^2 = \pm 1$ . Show with help of the quantization of the roots and the Weyl-reflections that

$$\begin{aligned} \alpha \cdot \beta < 0 &\Rightarrow \alpha + \beta \in \Delta, \\ \alpha \cdot \beta > 0 &\Rightarrow \alpha - \beta \in \Delta. \end{aligned} \quad (2)$$

**Exercise 12.3:** (4 Points)

Let us consider the three groups  $\text{SO}(n) \subset \text{U}(n)$  (special orthogonal group),  $\text{SU}(n) \subset \text{U}(n)$  (special unitary group), and  $\text{USp}(2n) \subset \text{U}(2n)$  (unitary symplectic group) denoted by the Dyson index  $\beta = 1, 2, 4$ , respectively. All three groups are defined in their fundamental representations by

$$\left\{ \begin{array}{ll} UU^T = \mathbf{1}_n, & \beta = 1, \\ UU^\dagger = \mathbf{1}_n, & \beta = 2, \\ U \begin{pmatrix} 0 & \mathbf{1}_n \\ -\mathbf{1}_n & 0 \end{pmatrix} U^T = \begin{pmatrix} 0 & \mathbf{1}_n \\ -\mathbf{1}_n & 0 \end{pmatrix}, & \beta = 4, \end{array} \right. \quad (3)$$

and the uni modularity

$$\det U = 1. \quad (4)$$

Recall that  $(\cdot)^T$  and  $(\cdot)^\dagger$  are the transpose and the adjoint of a matrix, respectively.

- (i) Show that the corresponding Lie algebras,  $U = \exp[iA]$ , in the fundamental representations are given by

$$\left\{ \begin{array}{ll} A = A^\dagger = -A^T, & \beta = 1, \\ A = A^\dagger, & \beta = 2, \\ A = A^\dagger = \begin{pmatrix} 0 & \mathbf{1}_n \\ -\mathbf{1}_n & 0 \end{pmatrix} A^T \begin{pmatrix} 0 & \mathbf{1}_n \\ -\mathbf{1}_n & 0 \end{pmatrix}, & \beta = 4, \end{array} \right. \quad (5)$$

and the traceless condition

$$\text{tr}A = 1. \quad (6)$$

What is the dimension  $d$  of the three kinds of Lie-groups in terms of the dimension  $N$  of the fundamental representation of the Lie groups?

- (ii) Let  $\mathbf{e}_j$  be the normalized  $n$ -dimensional vector with a 1 at the  $j$ 'th position and otherwise zero and  $\{\sigma_1, \sigma_2, \sigma_3\}$  the three Pauli matrices. Then show that the matrix sets

$$\bigcup_{1 \leq a < b \leq n} \left\{ \frac{\mathbf{e}_a \otimes \mathbf{e}_b - \mathbf{e}_b \otimes \mathbf{e}_a}{\sqrt{2i}} \right\}, \quad (7)$$

for  $\beta = 1$ ,

$$\left[ \bigcup_{1 \leq a \leq n-1} \left\{ \frac{1}{\sqrt{a(a+1)}} \left( \sum_{j=1}^a \mathbf{e}_j \otimes \mathbf{e}_j - a \mathbf{e}_{a+1} \otimes \mathbf{e}_{a+1} \right) \right\} \right] \cup \left[ \bigcup_{1 \leq a < b \leq n} \left\{ \frac{\mathbf{e}_a \otimes \mathbf{e}_b - \mathbf{e}_b \otimes \mathbf{e}_a}{\sqrt{2i}}, \frac{\mathbf{e}_a \otimes \mathbf{e}_b + \mathbf{e}_b \otimes \mathbf{e}_a}{\sqrt{2}} \right\} \right] \quad (8)$$

for  $\beta = 2$ , and

$$\left[ \bigcup_{1 \leq a \leq n} \left\{ \frac{\mathbf{e}_a \otimes \mathbf{e}_a \otimes \sigma_1}{\sqrt{2}}, \frac{\mathbf{e}_a \otimes \mathbf{e}_a \otimes \sigma_2}{\sqrt{2}}, \frac{\mathbf{e}_a \otimes \mathbf{e}_a \otimes \sigma_3}{\sqrt{2}} \right\} \right] \cup \left[ \bigcup_{1 \leq a < b \leq n} \left\{ \frac{(\mathbf{e}_a \otimes \mathbf{e}_b - \mathbf{e}_b \otimes \mathbf{e}_a) \otimes \mathbf{1}_2}{2i}, \frac{(\mathbf{e}_a \otimes \mathbf{e}_b + \mathbf{e}_b \otimes \mathbf{e}_a) \otimes \sigma_3}{2} \right\} \right] \cup \left[ \bigcup_{1 \leq a < b \leq n} \left\{ \frac{(\mathbf{e}_a \otimes \mathbf{e}_b + \mathbf{e}_b \otimes \mathbf{e}_a) \otimes \sigma_1}{2}, \frac{(\mathbf{e}_a \otimes \mathbf{e}_b + \mathbf{e}_b \otimes \mathbf{e}_a) \otimes \sigma_2}{2} \right\} \right] \quad (9)$$

for  $\beta = 4$  are orthonormal bases of the corresponding Lie algebras.

- (iii) Calculate the completeness relation for the three Lie algebras with help of the given bases, in particular calculate  $(\sum_{j=1}^d T_{ab}^j T_{cd}^j)/d$  where  $T^j$  are the generators (7), (8), and (9). For this purpose it is simpler to calculate the sum  $[\sum_{j=1}^d \text{tr}(M_1 T^j) \text{tr}(M_2 T^j)]/d$  for two arbitrary matrices  $M_1$  and  $M_2$ . Why do we not obtain the unit matrix, only? Interpret the results via the symmetries of the elements of the Lie algebra.

- (iv) Calculate the structure constants of the three Lie-Algebras.
  - (v) Show that all three groups are semi-simple. For this purpose calculate the Cartan metric. Why is  $U(n)$  not semi-simple? For what dimension  $n$  are the three groups also simple?
- 

**Preparation for Tutorial:** (0 Points)

Read the given material regarding Young Diagrams provided in LernRaumPlus as a preparation for the Tutorial session on 25.01.2021, where Tutorial exercises based on the material will be discussed.